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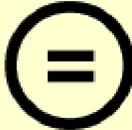
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**A Thesis for the Degree of Master of Science**

**Production of ethanol from xylose using xylose  
metabolic pathway of *Kluyveromyces marxianus*  
in *Saccharomyces cerevisiae***

효모에서 *Kluyveromyces marxianus* 의 자일로스  
대사경로를 이용하여 자일로스로부터 에탄올 생산에  
관한 연구

**By**

**Seung-Ju Son**

**Interdisciplinary Program for**

**Agricultural Biotechnology**

**Seoul National University**

**August 2013**

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**Advisor : Professor Jin-Ho Seo**

**Submitted in Partial Fulfillment of the Requirements  
for the Degree of Master of Science**

**By**

**Seung-Ju Son**

**Interdisciplinary Program for  
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**August 2013**

農學碩士學位論文

Production of ethanol from xylose using xylose  
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재조합 *Saccharomyces cerevisiae* 에서  
*Kluyveromyces marxianus* 의 자일로스 대사경로를  
이용하여 자일로스로부터 에탄올 생산에 관한 연구

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## ABSTRACT

Xylose is a major fermentable sugar present in lignocellulosic biomass, the second most abundant carbohydrate in nature to glucose. Efficient xylose fermenting strains are required to develop economically viable processes to produce biofuels such as ethanol from biomass. Although *Saccharomyces cerevisiae* has been used for industrial ethanol production, native *S. cerevisiae* cannot utilize xylose as a carbon source because the baker's yeast does not contain the metabolic activity to convert xylose to xylulose.

In yeasts, xylose is converted to xylulose by two oxidoreductases, NAD(P)H-linked xylose reductase (XR;EC1.1.1.21) and NAD<sup>+</sup>-linked xylitol dehydrogenase (XDH;EC1.1.1.9), and finally, xylulokinase (XK;EC2.7.1.17) phosphorylates xylulose into xylulose5-phosphate, which is metabolized further via the pentose phosphate pathway.

This study was carried out to clone the xylose metabolic genes from *Kluyveromyces marxianus* and to compare the xylose metabolic activity to ethanol between *K. marxianus* and *Scheffersomyces stipitis*. *K. marxianus* is thermotolerant and could produce ethanol from xylose naturally. The full genome sequences of *K. marxianus* were completed recently.

The three key genes involved in the xylose metabolism were cloned from *K. marxianus* such as *XYL1* for XR, *XYL2* for XDH and *XYL3* for XK. These genes were introduced to *S. cerevisiae* D452-2 used as host.

The engineered *S. cerevisiae* strain (DX123/KM1) containing the *K. marxianus* XR gene along with the *Sch. stipitis* XDH and XK genes was

able to produce 10.5 g/L ethanol from xylose along with specific activity of XR of 0.48 U/mg. Similarly, the genes for XDH and XK from *K. marxianus* were functionally expressed in *S. cerevisiae* and the engineered *S. cerevisiae* strains can convert xylose to ethanol successfully.

All three xylose metabolic genes from *K. marxianus* were introduced to *S. cerevisiae* and the xylose metabolic activity was evaluated in terms of ethanol yield and ethanol productivity. Specific activities of XR, XDH and XK from *Sch. stipitis* were all higher than the corresponding enzymes from *K. marxianus*. The recombinant *S. cerevisiae* strain containing the *K. marxianus* xylose metabolic genes (KM123) produced 10.1 g/L ethanol, 0.33 g ethanol/ g xylose ethanol yield and 0.14 g/L.h ethanol productivity. While the *S. cerevisiae* strain transformed with the *Sch. stipitis* (SS123) produced 10.2 g/L ethanol, 0.35 g ethanol/ g xylose ethanol yield and 0.14 g/L.h ethanol productivity.

In order to improve an ethanol fermentation performance by reducing acetate formation, the *ALD6* gene which can convert acetaldehyde to acetate was disrupted to construct the *S. cerevisiae* strain (KM123:: $\Delta$ ALD6). The strain produced 0.2 g/L acetate compared with 2.8 g/L in the control strain. Interestingly, the consumption of xylose and production of ethanol were not affected by disruption of ALD6.

This thesis showed production of ethanol from xylose by the recombinant *S. cerevisiae* containing the three genes (XR, XDH and XK) from *K. marxianus*. The ethanol performance of the *S. cerevisiae* KM123 was comparable to the *S. cerevisiae* strain transformed with the *Sch. stipitis* XR and XDH and *S. cerevisiae* XK.

**Keywords** : bioethanol, xylose, KmXR, KmXDH, KmXK, *ScALD6*,  
cofactor imbalance, acetate, metabolic engineering

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# I. INTRODUCTION

## 1. Bioethanol

Rising concerns over the cost of petroleum and the prospect of global warming are driving the development of technologies for the production of alternative fuels such as ethanol[Ragauskas AJ et al., 2006]. The long-term source of ethanol is from plant matter(biomass) through the fermentation of biomass carbohydrates to ethanol. The conversion of biomass to useable energy is not economical, however, unless hemicellulose is used in addition to the cellulose[Wyman CE, 2003]. Xylose is the second most abundant carbohydrate in nature and its commercial fermentation to ethanol could provide an alternative fuel source for the future.

Renewable lignocellulosic biomass is an attractive feedstock for fuel ethanol production since it is readily available, e.g., as a waste from the pulp and paper or agricultural industries, and also due to the fact that it is renewable with cycles many orders of magnitude shorter compared with those of fossil fuels. Lignocellulose, which is composed of cellulose, hemicellulose, and lignin[Aristidou and Penttila 2000| Saha 2003], is often hydrolyzed by acid treatment; the hydrolysate obtained is then used for ethanol fermentation by microorganisms such as yeast and

bacteria. Because such lignocellulose hydrolysate contains not only glucose, but also various monosaccharides, such as xylose, mannose, galactose, and arabinose and oligosaccharides, microorganisms should be required to efficiently ferment these sugars for the successful industrial production of ethanol.

## **2. Ethanol production in recombinant *Saccharomyces cerevisiae***

One of the most effective ethanol-producing yeasts, *Saccharomyces cerevisiae*, has several advantages owing to its high ethanol production from hexoses and high tolerance to ethanol and other inhibitory compounds in the acid hydrolysates of lignocellulosic biomass (Olsson and Hahn-Hagerdal 1993; Hahn-Hagerdal *et al.*, 2001). However, because wild-type strains of this yeast cannot utilize pentoses, such as xylose and arabinose, and celloligosaccharides, ethanol production from a lignocellulose hydrolysate is inadequate. Accordingly, many researchers have engineered yeast capable of xylose fermentation [Ho *et al.*, 1998; Eliasson *et al.* 2000; Hahn-hagerdal *et al.* 2001, Jeffries and Jin 2004]. Natural xylose-fermenting yeasts, such as *Pichia stipitis* [verduyn *et al.*, 1985], *Candida shehatae* [Ho *et al.*, 1990], and *Candida parapsilosis* [Lee *et al.*, 2003], can metabolize xylose via the action of

xylose reductase(XR) to convert xylose to xylitol, and of xylitol dehydrogenase(XDH) to convert xylitol to xylulose. Therefore, ethanol fermentation from xylose can be successfully performed by recombinant *S. cerevisiae* carrying heterologous XR and XDH from *P. stipitis*, and xylulokinase(XK) from *S. cerevisiae*[Ho *et al.*, 1999; Eliasson *et al.*, 2000; Toivari *et al.*, 2001]

### **3. Xylose metabolism in yeast**

Xylose can be utilized as a carbon source by various microorganisms such as bacteria, yeast and filamentous fungi [Ostergaard *et al.*, 2000]. In bacteria, xylose can be isomerized to xylulose by xylose isomerase. Xylulose is subsequently phosphorylated by xylulokinase into xylulose-5-phosphate of the pentose phosphate pathway (the PP pathway). In general, yeasts convert xylose to xylulose through a two-step reduction and oxidation. Firstly, xylose is reduced to xylitol by NAD(P)H dependent xylose reductase. Then xylitol is either secreted from the cell or oxidized to xylulose by NAD<sup>+</sup> dependent xylitol dehydrogenase. The phosphorylation of xylulose to xylulose-5-phosphate is catalyzed by xylulokinase of the PP pathway [Hähn-Hägerdal *et al.*, 2001]. The PP pathway consists of an oxidative stage that converts hexose phosphates to pentose phosphates, providing NADPH needed in biosynthetic

pathways and a non-oxidative stage in which the pentose phosphates are converted into hexose and triose phosphates.

*S. cerevisiae* is a very attractive organism to work with since it is nonpathogenic. In addition, due to its long history of application in the production of consumable products such as ethanol and baker's yeast, it has been classified as a GRAS (generally recognized as safe) organism. Its large-scale fermentation technology is well developed [Romanos *et al.*, 1992]. Also, it can be easily manipulated at a gene level by recombinant DNA technology because its genome has been completely sequenced [Goffeau *et al.*, 1996]. But *S. cerevisiae* cannot metabolize xylose as a sole carbon source since this yeast does not possess intrinsic enzymes necessary for xylose metabolism [figure 1].

#### **4. *Kluyveromyces marxianus* and its xylose metabolism**

Ethanol production at elevated temperatures has received much attention because of the potential cost savings, which could be obtained by continuous evaporation of ethanol from the broth under reduced pressure (Hacking *et al.* 1984; Gough *et al.* 1996, 1997, 1998; Banat *et al.* 1998). This topic was recently reviewed for yeasts in general, including *K. marxianus*. The advantages described, besides the energy savings due to reduced cooling costs, were higher saccharification and fermentation

rates, continuous ethanol removal, and reduced contamination (Banat et al. 1998). However, the temperature increase has a negative effect on ethanol yield and also reduces the cell viability (Anderson et al. 1986; Ballesteros et al. 1991). *K. marxianus* was reported to produce alcohol at temperatures above 40°C and to have a maximum growth temperature of 47°C (Anderson et al. 1986), 49°C (Hughes et al. 1984), or even 52°C (Banat et al. 1992). Lower ethanol tolerance was observed when *K. marxianus* was compared to *S. cerevisiae*, and this was correlated with the activity of the plasma membrane ATPase (Rosa and Sa-Correia 1992; Fernanda and Sa-Correia 1992). Hacking et al. (1984) screened yeast strains for their ability to ferment glucose to ethanol at high temperatures. The tolerance of all species seemed to decrease with temperature, but in general, *Kluyveromyces* strains were more thermotolerant than *Saccharomyces*, which in turn can produce higher ethanol yields. Anderson et al. (1986) compared *K. marxianus* strains isolated from sugar mills and CBS strains for ethanol production at high temperatures. The CBS strains produced the same ethanol amounts as the new isolates but with lower cell viability and higher cultivation time. Sakanaka et al. (1996) reported the fusion of a thermotolerant strain of *K. marxianus* with a high ethanol producing strain of *S. cerevisiae*; however, their fermentative capacity was severely impaired and the fusants'

thermostability was lower than for either of the parental cells. Recently XR, XDH, XK of *K. marxianus* were reported. [Biao Zhang *et al.*, 2011, Li Lulu *et al.*, 2013, Rongliang Wang *et al.*, 2013]

Ethanol from lignocellulosic materials by a simultaneous saccharification and fermentation process (SFS) was produced with *K. marxianus*. [M Ballasteros *et al.*, 2004] Also, by glucose and xylose co-fermentation, ethanol could be produced in *K. marxianus*. [JM laplace 1996]

## **5. Xylose reductase (XR)**

Xylose reductase (XR) is classified as a member of the aldo-keto reductase (AKR) superfamily which is made up of 14 different families and approximately 120 members [Hyndman *et al.*, 2003; Kavanagh *et al.*, 2003]. XR has been purified from many yeasts such as *P. stipitis* [Rizzi *et al.*, 1988; Webb *et al.*, 1992], *C. shehatae* [Ho *et al.*, 1990]. XR from *P. stipitis* is active with various aldose substrates such as D-xylose, D-glucose and D-ribose [Verduyn, C *et al.*, 1985].

XR from *P. stipitis*, *C. shehatae*, *C. parapsilosis* can utilize both NADPH and NADH as a cofactor, whereas XR from *C. tropicalis*, *C. utilis*, *C. guilliermondii*, *D. hansenii* exclusively uses NADPH only [Kim *et al.*, 2002; Lee *et al.*, 2003; Parajo *et al.*, 1998; Winkelhausen

and Kuzmanova, 1998; Yokoyama *et al.*, 1995].

XR from *K. marxianus* can utilize NADPH only as a cofactor. The  $K_m$  of the recombinant KmXR for NADPH is 65.67  $\mu\text{M}$  and KmXR activity is 1.295 U/mg, which is lower than those of most reported yeast XRs, and the enzyme has no activity with coenzyme NADH. This result demonstrates that the XR from *K. marxianus* is highly coenzyme specific.[Biao Zhang *et al.*, 2011]

## 6. Xylitol dehydrogenase (XDH)

Xylitol dehydrogenases (EC 1.1.1.9) are classified as members of the medium-chain alcohol dehydrogenase family, and share structural and functional properties with medium-chain sorbitol dehydrogenases [Lunzer *et al.*, 1998]. XDHs have been purified and characterized from various xylose-fermenting yeasts, including *K.marxianus* *P. stipitis* [Rizzi *et al.*, 1989b], *C. shehate* [Yang and Jeffries, 1990]

*S. cerevisiae* xylitol dehydrogenase(ScXDH), the gene product of the YLR070c ORF, is specific for  $\text{NAD}^+$  as a cofactor(Richard *et al.*, 1999). The apparent kinetic constants of the ScXDH were:  $K_{m,\text{xylitol}} = 25 \text{ mM}$ ,  $K_{m,\text{NAD}^+} = 240 \text{ }\mu\text{M}$ ,  $K_{m,\text{xylulose}} = 1.1 \text{ mM}$  , and  $K_{m,\text{NADH}} = 55 \text{ }\mu\text{M}$ . These kinetic values suggest that the enzyme actually favors the reverse direction whereby xylulose is reduced to xylitol and that this enzyme

reaction may be incapable of supporting a high flux of xylose through central metabolism which is needed for fermentation of xylose to ethanol.

The highest activity of KmXDH could be observed at pH 9.5 during 55°C. The values of  $k_{cat}/K_m$  indicate that KmXDH prefers  $\text{NAD}^+$  to  $\text{NADP}^+$  ( $k_{cat}/K_m_{\text{NAD}^+}$  3681/min mM and  $k_{cat}/K_m_{\text{NADP}^+}$  1361/min mM).[Li Lulu *et al.*, 2013]

## 7. Xylulokinase(XK)

Xylulokinase(EC 2.7.1.17) that acts to phosphorylate xylulose for entry into the pentose phosphate pathway has been studied in *Lactobacillus pentosus*, *Klebsiella aerogenase*, and *Klebsiella pneumonia* [Lampen, 1953; Stumpf and Horecker, 1956; Feldmann *et al.*, 1992].

The gene encoding xylulokinase (*XKSI*) from *S. cerevisiae* is found on the right arm of chromosome VII and encodes the native XK (ScXK) [Rodriguez-Pena *et al.*, 1998]. Deletion of the *XKSI* gene results in mutants unable to grow on xylulose as the sole carbon source [Richard *et al.*, 2000]. *K.marxianus* had its own XK gene. *KmXYL3* was expressed in *Escherichia coli* BL21 (DE3) cells, and the specific activity of the resulting recombinant purified xylulokinase was 23.5 mU/mg. [Rongliang Wang *et al.*, 2013]

## 8. Acetaldehyde dehydrogenase family (ACDHs)

Two acetaldehyde dehydrogenase(ACDHs) were originally identified in *S. cerevisiae*;an  $Mg^{2+}$ -activated,  $NADP^+$ -dependent cytosolic enzyme [Seegmiller, 1953] and a glucose-repressed,  $NAD(P)^+$ -dependent, mitochondrial enzyme activated by  $K^+$  and thiols [Jacobson & Bernofsky, 1974]. Five ACDH genes have now been identified in the genome sequence of *S. cerevisiae* S288C. According to the nomenclature of Navarro—Avino *et al.*(1999), the cytosolic ACDHs are encoded by *ALD6*, *ALD2* and *ALD3*, whereas the mitochondrial isoforms are encoded by *ALD4* and *ALD5*. Ald6p and Ald4p, which are  $Mg^{2+}$ -and  $K^+$ -dependent, respectively, are the major isoforms and have been shown to be involved in growth on glucose and on ethanol [Meaden *et al.*, 1998].

In *S. cerevisiae*, acetate is produced as an intermediated of the pyruvate dehydrogenase (PDH) bypass, which converts pyruvate into acetyl-CoA in a series of reactions catalysed by pyruvate decarboxylase(PDC), acetaldehyde dehydrogenase(ACDH) and acetyl-CoA synthetase. This pathway is the sole source of cytosolic acetyl-CoA, which is required for anabolic processes such as lipid biosynthesis [Flikweert *et al.*, 1996]. The reaction catalyze by ACDH also generates reducing equivalents, which are required for a variety of synthetic pathways and redox reactions, in the form of  $NAD(P)H$ . ACDH produces acetate by

oxidizing the acetaldehyde produced from pyruvate during the fermentation of sugars and that formed during ethanol oxidation.

One reason for improvement of specific rate of xylose consumption may be that *ALD6* gene disruption led to escape the inhibition of cellular metabolism caused by acetate accumulation in the medium [M. Teresa Ramos *et al.*, 1990].

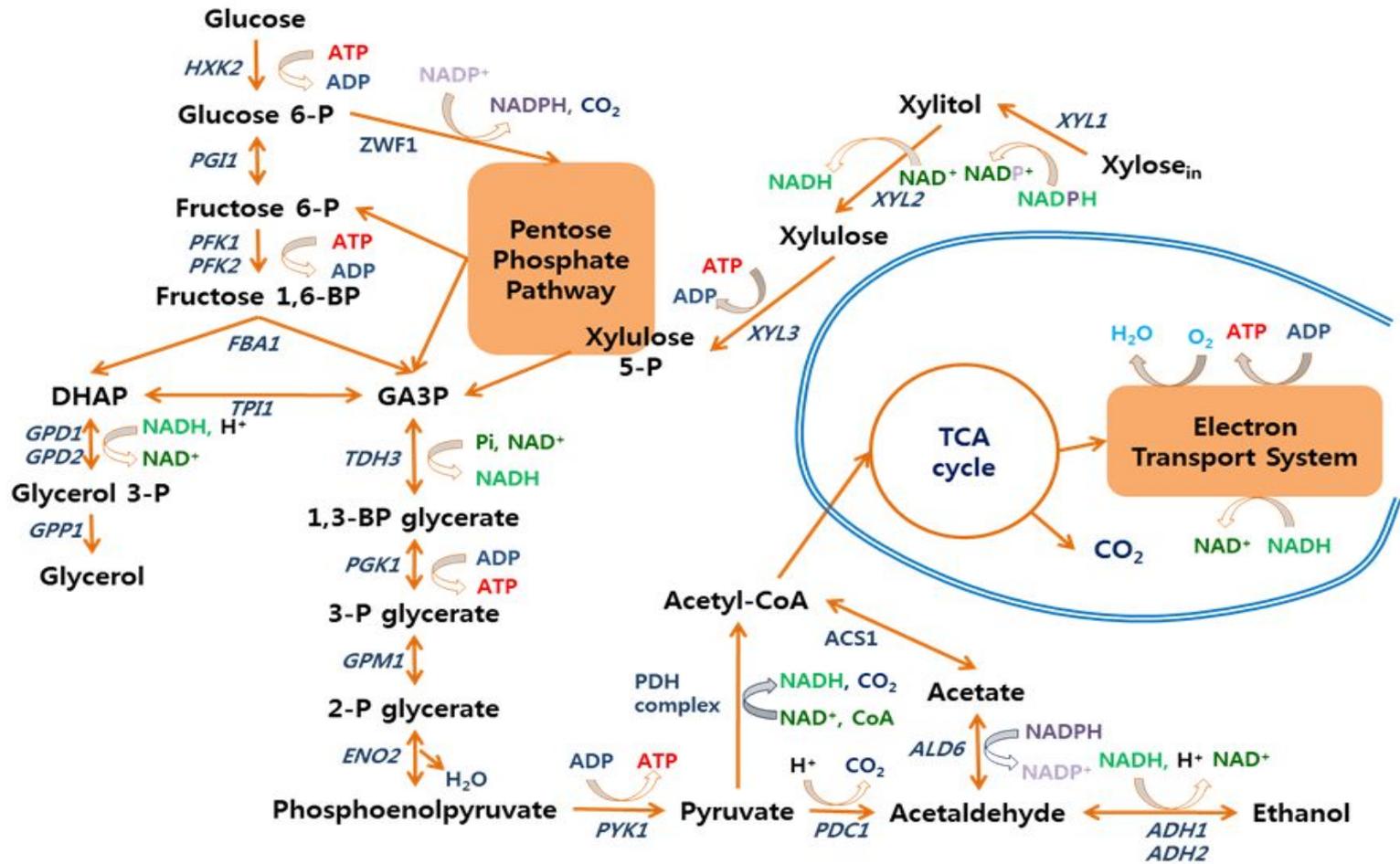


Figure 1. Metabolic pathway of xylose metabolism in recombinant *S. cerevisiae* harboring *XYL1*, *XYL2* and *XYL3* from *K. marxianus*

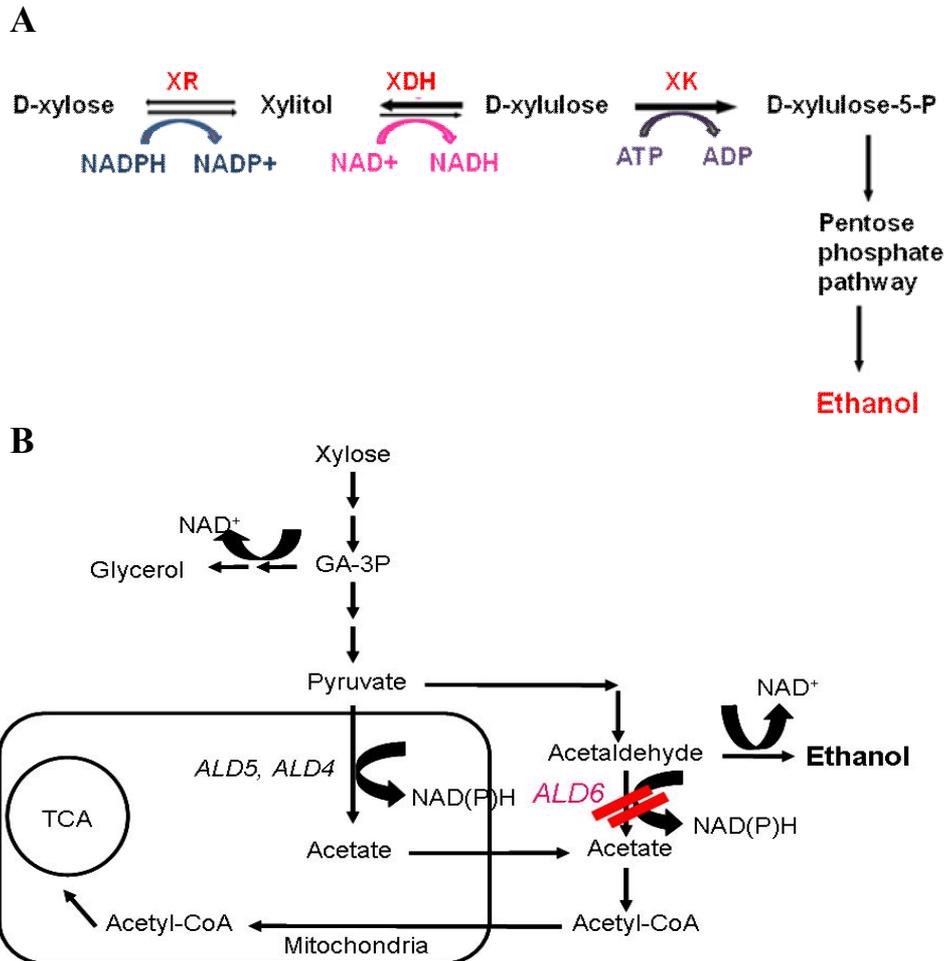


Figure 2. Strategy to improve the xylose fermentation in recombinant *S. cerevisiae*

(A) Process of converting xylose to ethanol and key enzymes

(B) To minimize the acetate formation by *ALD6* gene disruption

## 9. Cofactors

Heterologous expressions of xylose reductase (*XYL1*) and xylitol dehydrogenase (*XYL2*), either case of which being accompanied by overexpression of xylulokinase (*XKS1* or *XYL3*), are known as the prevalent strategies for metabolic engineering of *S. cerevisiae* to ferment xylose. [Kötter & Ciriacy, 1993; Tantirungkij *et al.*, 1993; Walfridsson *et al.*, 1997; Ho *et al.*, 1998, 1999; Meinander *et al.*, 1999; Eliasson *et al.*, 2000, 2001; Jin *et al.*, 2000.]

But alternative strategy employs overexpression of *GRE3* coding for endogenous aldose reductase instead of *XYL1* to construct efficient xylose-fermenting *S. cerevisiae*. Replacement of *XYL1* with *GRE3* has been regarded as an undesirable approach because NADPH-specific aldose reductase (*GRE3*) would aggravate redox balance with xylitol dehydrogenase (*XYL2*) using NAD<sup>+</sup> exclusively. Optimized expression levels of *GRE3*, *XYL2*, and *XYL3* could overcome redox imbalance during xylose fermentation by engineered *S. cerevisiae* under oxygen-limited conditions.[S R Kim *et al.*, 2013]

As XR from *K. marxianus* also utilize only NADPH, cofactor imbalance between NADPH dependent XR and NAD<sup>+</sup> dependent XDH would be occurred. But It is possible to produce ethanol in spite of redox imbalance by combination of XR, XDH and XK.

## 10. Research Objectives

Key genes like *XYL1*, *XYL2*, *XYL3* involved in the xylose metabolic pathway were introduced to produce ethanol from xylose in recombinant *S. cerevisiae*. *ADL6* gene was disrupted to increase ethanol and decrease byproduct that inhibited ethanol fermentation should be considered.

This study was focused on characterization of genetic factors and process conditions for the production of ethanol from xylose in metabolically engineered *S. cerevisiae*

The specific objectives of this study are as follows.

- (1) To clone genes of xylose metabolism *XYL1* encoding XR, *XYL2* encoding XDH and *XYL3* encoding XK from *K. marxianus*.
- (2) To compare recombinant strain harboring *K. marxianus* genes with *Sch. stipitis* genes generally used in xylose fermentation.
- (3) To express *XYL1*, *XYL2* and *XYL3* for producing ethanol and minimize the acetic acid formation as inhibitory compound by *ALD6* gene disruption

## II. MATERIALS AND METHODS

### 1. Reagents

All chemicals used were of reagent grade. Glucose, xylitol, agarose, ampicillin, ethidium bromide, yeast synthetic drop-out supplement, yeast nitrogen base (YNB, w/o amino acid), protease inhibitor cocktail, bovine serum albumin,  $\beta$ -nicotinamide adenine dinucleotide phosphate ( $\beta$ -NADPH,  $\beta$ -NADP<sup>+</sup>),  $\beta$ -nicotinamide adenine dinucleotide ( $\beta$ -NADH,  $\beta$ -NAD<sup>+</sup>), EDTA, trizma base, and antifoam were purchased from Sigma-Aldrich Chemical Company (St. Louis, MO, USA). Protease inhibitor cocktail was purchased from Roche Company. Bacto-peptone, bacto-trypton, yeast extract and bacto-agar were obtained from Difco (Detroit, MI., USA); xylose and glycine from Junsei (Tokyo, Japan); ethanol from Merck (Darmstadt, Germany); HPLC-grade water from J.T. Baker (Phillipsburg, NJ, USA); 2N NaOH and 5N HCl, NaCl, H<sub>2</sub>SO<sub>4</sub>, and potassium phosphate from Duksan (Ansan, Korea).

## 2. Strains and plasmids

### 2.1. Strains

*E. coli* TOP10 (Invitrogen, Carlsbad, CA, USA) was used for the propagation and preparation of plasmid DNA. *S. cerevisiae* D452-2 [*Mata, leu2 his3 ura3 can1*] were used as host strains for the expression of xylose metabolic pathway genes. *S. cerevisiae* D452-2 strain was kindly donated by Prof. Makino at Kyoto university. *S. cerevisiae* ISXX [D452-2, *Ty1-delta::P<sub>GPD</sub>-XKS1-T<sub>GPD</sub>-neo<sup>r</sup>*] which had been engineered for overexpression of endogenous XK [Lee 2008] was also used as a host for additional engineering.

#### ***XYL1* expression system**

The *XYL1* gene from *K. marxianus* was introduced and expressed in *S. cerevisiae*. The linearized plasmid p403KmXR was transformed into *S. cerevisiae* DX23 strain. *S. cerevisiae* DX23 [D452-2, *ura3::URA3-PGK<sub>P</sub>-XYL2-PGK<sub>T</sub>, PGK<sub>P</sub>-XYL3-PGK<sub>T</sub>*] harboring pRS306XDHXK [Jung-Hyun Jo] had XDH, XK from *scheffersomyces stipitis* for production of ethanol from xylose. The plasmid p403KmXR and pRS306XDHXK were linearized by restriction enzyme *BsmI*. The transformants were named as DX23/KM1.

#### ***XYL1-XYL2* expression system**

The *XYL1* and *XYL2* genes from *K. marxianus* were introduced and

expressed in recombinant *S. cerevisiae*. The linearized plasmids p406KmXRXDH were transformed into recombinant *S. cerevisiae* ISXK strain. The plasmid p406KmXRXDH was linearized by restriction enzyme *XcmI*. The transformants were named as ISXK/KM12.

#### ***XYL1-XYL2 –XYL3* expression system**

The *XYL1*, *XYL2* and *XYL3* genes were introduced and expressed in *S. cerevisiae*. The linearized plasmid p406KmXRXDH and p403KmXK was transformed into *S. cerevisiae* D452-2 strain. The plasmid p406KmXRXDH and p403KmXK were linearized by restriction enzyme *XcmI*. The transformants were named KM12,3.

#### ***XYL1-XYL2 –XYL3* and $\Delta$ *ALD6* expression system**

The linearized plasmid pAUR\_d\_ALD6 [Lee 2008] was transformed into KM12,3. The plasmid pAUR\_d\_ALD6 was linearized by restriction enzyme *SalI*. The transformant was named KM12,3 $\Delta$ *ald6*

#### **Strains for confirming *XYL1*, *XYL2* and *XYL3* from *K. marxianus* and *Sch. stipitis***

To confirm activity of each *XYL1*, *XYL2* and *XYL3*, p403Km(Ss)XR, P405Km(Ss)XDH and p406Km(Ss)XK was respectively transformed to *S. cerevisiae* D452-2 strain to construct D/Km(Ss)XR, D/Km(Ss)XDH and D/Km(Ss)XK.

The wild type and recombinant strains were stored on YEPD and YNB

medium in a deep freezer at -80 °C suspended in 15% glycerol.

## 2.2. Plasmids

pRS306XDHXK plasmids were kindly donated by Jung-Hyun Jo at Seoul National university. *XYL2* and *XYL3* genes coding for NAD<sup>+</sup> dependent PsXDH and NADH-dependent PsXK each were cloning to pRS306.

Plasmid pAUR\_d\_ALD6 was constructed by Sung-Hang Lee. [2008] Truncated *ALD6* gene was isolated from *S. cerevisiae* CEN.PK2-1D genomic DNA and introduced into plasmid pAUR101(Takara, Japan) .

Plasmid pRS403, pRS405, pRS406, pRS403PGK and pRS426GPD were used as mother vectors and cloning vectors for introduction of *XYL1*, *XYL2*, *XYL3* genes.

XR was isolated from genomic DNA of *K. marxianus* by the PCR using primers listed in Table 2. This was introduced into plasmid pRS426 to construct plasmid pRS426GPDKmXR. XR was expressed under the control of the constitutive glyceraldehyde-3-phosphate dehydrogenase (GPD) promoter. Additionally, for activity assay of single KmXR, XR cassettes from *K. marxianus* and *Sch. Sipitis* was cloned to pRS403 to construct p403GPDKm(Ss)XR [Figure 3].

XDH also could be isolated from genomic DNA of *K. marxianus* by the PCR using primers listed in Table 2. This was cloned into plasmid pRS403 to construct plasmid pRS403PGKKmXDH. Then, XDH was expressed under the control of the constitutive phosphoglycerate kinase (PGK) promoter. Additionally, for activity assay of single KmXDH, XDH cassettes from *K. marxianus* and *Sch. Sipitis* was cloned to pRS405 to construct p405PGKKmXDH [Figure 4].

XR and XDH expression cassettes were isolated from pRS426GPDkmXR and pRS403PGKKmXDH plasmids by the PCR using primers listed in Table 2, respectively. They introduced into plasmid pRS406 to construct plasmid pRS406KmXRXDH [Figure 3]. XR and XDH were expressed under the control of the constitutive glyceraldehyde-3-phosphate dehydrogenase (GPD) promoter and phosphoglycerate kinase (PGK) promoter respectively.

Finally, XK was isolated from genomic DNA of *K. marxianus* by the PCR using primers listed in Table 2. Plasmid pRS403PGKKmXK was constructed by introducing XK [Figure 3]. XK was expressed under the control of the constitutive phosphoglycerate kinase (PGK) promoter. Additionally, for activity assay of single KmXK, XK cassettes from *K. marxianus* and *Sch. Sipitis* was cloned to pRS406 to construct p406PGKKmXK [Figure 4].

Table 1. A list of recombinant *S. cerevisiae* strains used in this study

Strains	Genotype	Used plasmid
D452-2	<i>Mata, leu2 his3 ura3 can1</i>	
ISXK	D452-2, <i>Ty1</i> -delta:: <i>GPD<sub>p</sub>-XKS1- GPD<sub>t</sub>-neo</i>	pAUR_d_ALD6 [SH LEE 2008],
DX23	D452-2, <i>ura3::URA3-PGK<sub>p</sub>-XYL2-PGK<sub>T</sub>-PGK<sub>p</sub>-XYL3-PGK<sub>T</sub></i>	pRS306XDHXK [Jung-Hyun Jo]
DX23/KM1	DX23, <i>his3::HIS3- GPD<sub>p</sub>-KmXYL1- CYC1<sub>t</sub></i>	p403GPDkmXR
ISXK/KM12	ISXK, <i>ura3::URA3- GPD<sub>p</sub>-KmXYL1- CYC1<sub>t</sub>-PGK<sub>p</sub>-KmXYL2-PGK<sub>T</sub></i>	p406KmXRDXH
KM12,3	D452-2, <i>ura3::URA3-GPD<sub>p</sub>-KmXYL1-CYC1<sub>T</sub>-PGK<sub>p</sub>-KmXYL2-PGK<sub>T</sub>, his3::HIS3- PGK<sub>p</sub> - KmXYL3- PGK<sub>t</sub></i>	p406KmXRDXH, p403PGKKmXK
Ss12,3	D452-2, <i>ura3::URA3-GPD<sub>p</sub>-XYL1-CYC1<sub>T</sub> -PGK<sub>p</sub> -XYL2-PGK<sub>T</sub>, -his3::HIS3- PGK<sub>p</sub> - XKS1- PGK<sub>t</sub></i>	p403PGKXK [Jung-Hyun Jo]
KM12,3 $\Delta$ <i>ald6</i>	KM12,3, <i>Ty1</i> -delta:: <i>GPD<sub>p</sub>-XKS1- GPD<sub>t</sub>-neo</i>	pAUR_d_ALD6 [SH LEE 2008],

Table 2. A list of primers used in this study

Name	Sequence	Relevant work (Tm)
F_KmXR_NheI	CTAGCTAGCATGACATACCTCGCACCAACA	Cloning KmXR (50)
R_KmXR_BglII	GAAGATCTTTATAAGATAAAGGTTGGGAATTCGTTG	Cloning KmXR (50)
F_KmXDH_NheI	CTAGCTAGCATGACCAACACTCAAAAAGCCG	Cloning KmXDH (50)
R_KmXDH_BglII	GAAGATCTTCATTCTGGACCATCAATGATAGTCC	Cloning KmXDH (50)
F_KmXK_SpeI	GACTAGTATGTCTACCCCATACTATTTAGG	Cloning KmXDK (50)
R_KmXK_BamHI	CGGGATCCTCAACTGGTCCTGCTGCTC	Cloning KmXDK (50)
R_GPDp_SacI	GAGCTCTCATTATCAATACTCGCCAATT	Coning GPDp-CYC1t cassette (50)
F_CYCt_SacI	GAGCTCGGCCGCAAATTAAGCCTTCG	Coning GPDp-CYC1t cassette (50)
F_PGKp_SpeI	GACTAGTGTGAGTAAGGAAAGAGTGAGGA	Coning PGKp-PGKt cassette (50)
R_PGKt_SacII	TCACGCGGTAACGAACGCAGAATTTTCGAGTTA	Coning PGKp-PGKt cassette (50)
F_check_ald6-d	ATGACTAAGCTACACTTTGACACTGC	Checking disruption of <i>ALD6</i>
R_check_pAUR_d	AAATACCGCATCAGGCGCCATTC	Checking disruption of <i>ALD6</i>

### **3. DNA Manipulation and Transformation**

#### **3.1. Enzymes**

Restriction enzymes, calf intestinal alkaline phosphatase (CIP) and Klenow fragment were purchased from New England Biolabs (Beverly, MA, USA). T4 DNA ligase was obtained from Takara(Tokyo,Japan).

#### **3.2. Transformation of *E. coli***

Transformation of *E. coli* was carried out as described by Sambrook et al. [1989]. *E. coli* Top10 was cultured in 5 ml LB medium for 12hr. Half a milliliter of the culture was transferred to fresh 50 ml LB medium and cultured until OD<sub>600</sub> reached 0.5. Cells harvested by centrifugation at 6000 rpm for 5 min at 4°C were resuspended in 5 ml of ice-cold 100 mM CaCl<sub>2</sub> solution containing 15% (v/v) glycerol. Resuspended cells were aliquoted to 100 µl, mixed with DNA, and kept on ice for 30 min. They were subjected to heat-shock at 42°C for 45 sec, and one ml of LB medium was added to the test tubes and incubated at 37°C for 1 hour to allow the bacteria to express the antibiotic resistance. An appropriate volume of the transformed cells was spread on LB agar plates with an ampicillin selection marker.

#### **3.3. Preparation of plasmid DNA and yeast genomic DNA**

Mini-scale preparation of plasmid DNA was carried out using *AccuPrep*<sup>TM</sup> Plasmid Extraction Kit from Bioneer Co. (Daejeon, Korea) according to the manufacturer's instruction.

Preparation of yeast genomic DNA to obtain a template for the gene was carried out using Masterpure<sup>TM</sup> Yeast DNA Purification Kit (EPICENTRE, Madison, Wis., USA) according to the manufacturer's instruction.

#### **3.4. Isolation of DNA fragments and DNA sequencing**

DNA was digested with restriction enzymes and separated on a 0.1% (w/v) agarose gel. After full separation of the desired DNA band from the gel, the gel containing the DNA fragment was solubilized and further purified by using Gel Extraction Kit from Takara(Tokyo,Japan). DNA sequencing was performed at SolGent co., Ltd (Daejeon, Korea).

#### **3.5. Polymerase chain reaction (PCR)**

PCRs were performed with the GeneAmp PCR System 2400 (Applied Biosystems, Lincoln, CA, USA). PCRs for subcloning of *XYL1*, *XYL2* and *XYL3* were performed in 50  $\mu$ L of dyemix solution from Enzynomics Co.(Korea) containing 10 pM each of forward and reverse primers (Table 2), and 1  $\mu$ L genomic DNA of *K. marxianus*. PCRs for

cloning of *XYL1* and *XYL2* cassettes were performed in 50  $\mu$ L of dyemix solution from Enzynomics Co.(Korea) containing 10 pM each of forward and reverse primers (Table 2), and 1  $\mu$ L pRS426GPDKmXR and pRS403PGKKmXDH respectively. After heating the reaction tubes for 5 min at 94°C, 25 cycles of PCR amplification were performed as follows: 30 sec at 94°C, 30 sec at 50°C, and 1min 30 sec at 72°C (time is dependent on product size, 1 min for 1kb), followed by 7 min at 72°C during the last cycle.

In case of colony PCR, total volume of dyemix solution was 20  $\mu$ L containing 10 pM each of forward and reverse primers, and colony was put into dyemix solution. The reaction tubes were heated for 10 min at 94°C.

### **3.6. Yeast transformation**

Yeast transformation was carried out by the Alkali-Cation method and MicroPulser<sup>TM</sup> Electroporation Apparatus (Bio-Rad, Richmond, USA) with the following modifications to increase the transformation efficiency [Wang *et al.*, 1996]. Transformants were cultivated and selected on YNB ura<sup>-</sup>, YNB ura<sup>-</sup>his<sup>-</sup> or YP-AbA(0.5 mg/L) plates.

## **4. Homologous recombination**

Introduction of *XYL1*, *XYL2* and *XYL3* and disruption of the *ALD6* gene

were carried by homologous recombination. Two step gene replacement method with some modification was used in this study [Dan Burke, 2000].

*XYL1*, *XYL2* cassettes and *XYL3* were obtained by PCR. The PCR product was cloned into pRS406 having *URA3* marker and pRS403 having *HIS3* marker to make pRS406KmXRXDH and pRS403KmXK. Plasmid pRS406KmXRXDH and pRS403KmXK were linearized with restriction enzyme *XcmI* and *BsmI* before transformation into D452-2 strain.

Truncated *ALD6* gene was amplified was obtained by PCR. The PCR product was cloned into pAUR101 that has AUR1-C marker to make pAUR\_d\_ALD6. Plasmid pAUR\_d\_ALD6 was linearized with restriction enzyme *Sal I* as shown in before transformation into KM12,3 strain.

Transformants were selected on YP glc with AbA 0.5 mg/L plate and used for diagnostic colony PCR. PCR primers listed in Table 2 were used.

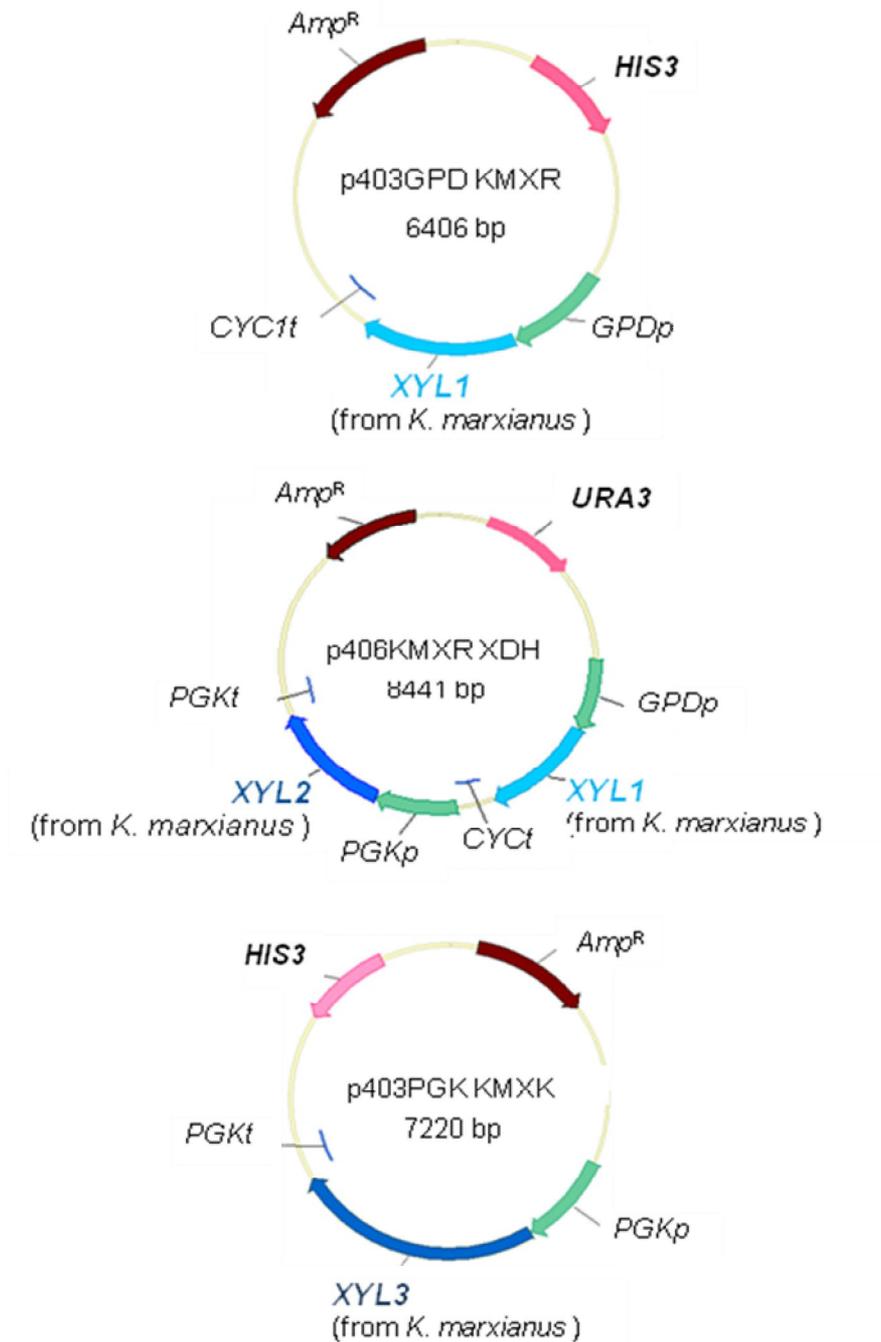


Figure 3. Genetic maps of plasmids pRS403KmXR, pRS406KmXR<sub>XDH</sub> and pRS403KmXK

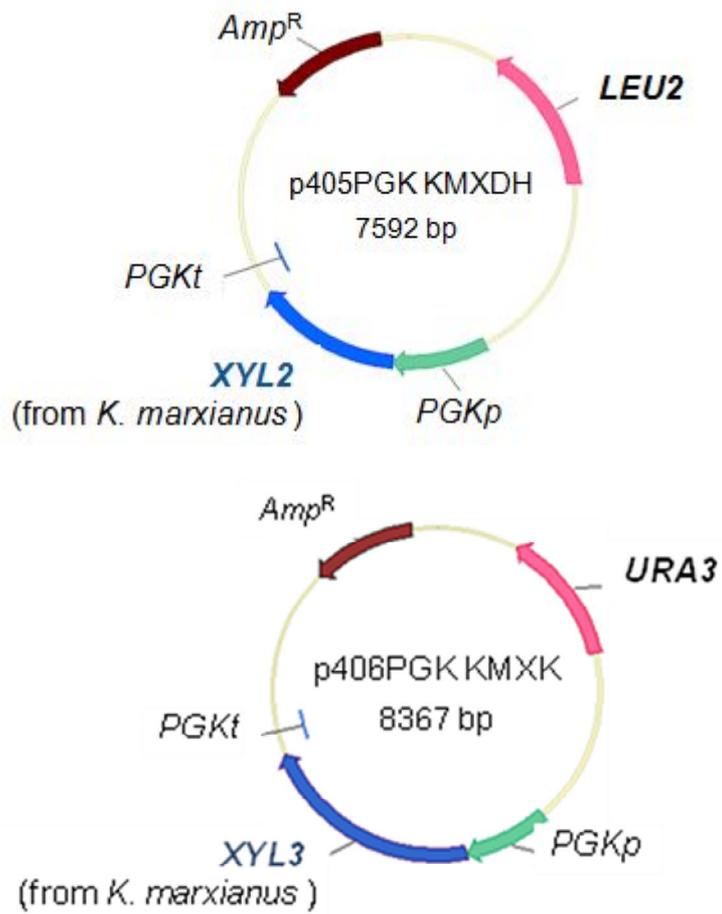


Figure 4. Genetic maps of plasmids pRS405KmXDH, pRS406KmXK

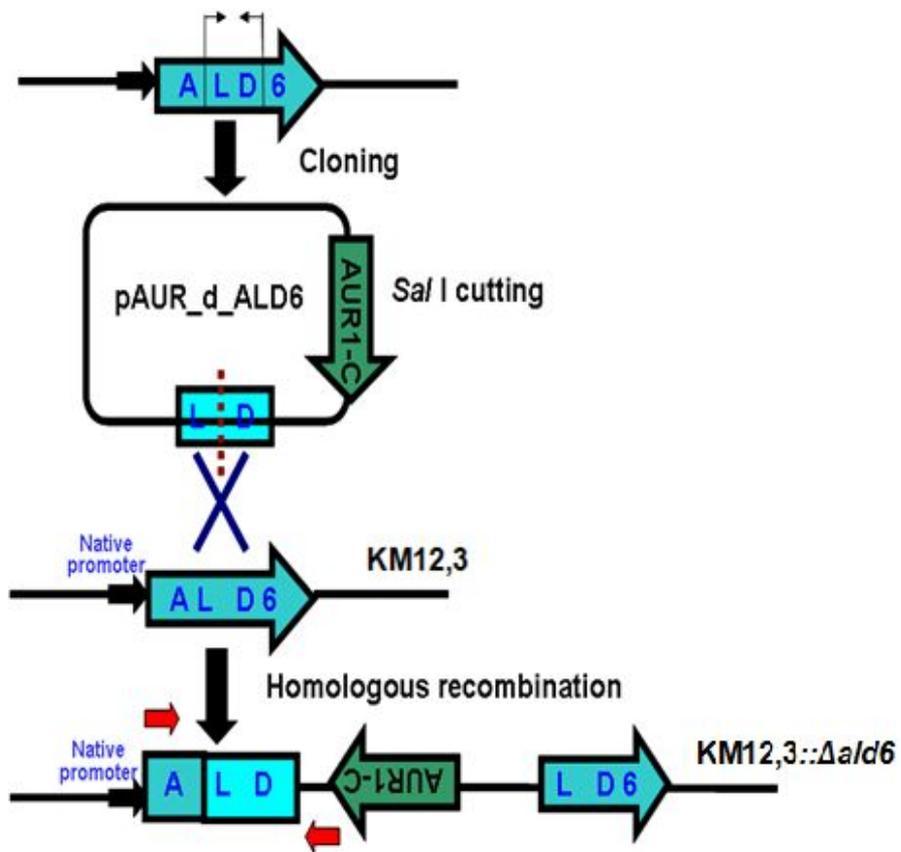


Figure 5. Schematic representation of *ALD6* gene disruption by homologous recombination.

## **5. Media and culture conditions**

### **5.1 Media**

LB medium (1 % tryptone, 0.5 % yeast extract, 1 % NaCl) was used for *E. coli* cultivation. YEPD-ABA medium (1% yeast extract, 2% bacto-peptone, 2% glucose, Aureobasidin A 0.5 mg/L ) and YNB medium (0.6 g/L) which lacked appropriate amino acid were used for selection of yeast strains. YEPX (1% yeast extract, 2% bacto-peptone, 4% xylose) were used for cultivation of yeast strains.

### **5.2 Inoculation**

Recombinant *S. cerevisiae* stock was transferred to a test-tube containing 5 ml of appropriate medium and incubated overnight at 30°C in a shaking incubator (Vision, Korea). Pre-culture was carried out in a 500 ml baffled flask with 100 ml or 200 ml working volume at 30°C for 12 h in YPD (2% glucose) media at fully aerobic condition (250 rpm). The inocula were prepared by growing cells overnight to an OD<sub>600</sub> of over 10. The cells were then harvested in 50 mL autoclaved falcon, resuspended in 50 mL DDW and then transferred to the bioreactor, giving an initial OD<sub>600</sub> of approximately 5.

In case of flask culture, recombinant cell stock was transferred to test-tube containing 5ml YPD (2%glucose) and incubated overnight at 30°C,

fully aerobic condition (250 rpm). These cells were harvested in 2 ml eppendorf tube, and then transferred to 250 ml glass flask containing 50 ml YPX (4% xylose), giving an initial  $OD_{600}$  of approximately 5.

### **5.3 Cultivations**

Batch flask cultivations took place in a 250 ml glass flask with 50 ml working volume at 30 °C, oxygen-limited condition (80 rpm). YEP medium (1 % (w/v) yeast extract, 2 % (w/v) peptone) supplemented with 4 % (w/v) xylose was used for batch flask cultivation.

Bioreactor cultivations took place in a 1L B.Braun multi-fermenter (BIOSTAT-Q, Germany) with 0.5 L working volume. Medium acidity was automatically controlled at pH 5.5 by addition of 2 N NaOH from Duksan (Korea) and temperature of 30 °C was maintained throughout the cultivation. Foam was removed by the addition of Antifoam 204 (Sigma Chemical Co., USA). YEP medium (1 % (w/v) yeast extract, 2 % (w/v) peptone) supplemented with 4 % (w/v) xylose was also used for batch fermentations. The fermentation experiments were performed under oxygen limited condition at 0.3 vvm and 200 rpm.

## **6. Analysis**

### **6.1 Dry cell mass**

Dry cell mass concentration was estimated by measuring absorbance at 600 nm by a spectrometer (Ultrospec 2000, Amersham Pharmacia Biotech, Piscataway, NJ, USA). Optical density was converted into dry cell mass by using the following conversion equation.

$$\text{Dry cell mass (g/L)} = 0.30 \times \text{OD}_{600}$$

## **6.2 Sugar and ethanol concentrations**

Concentrations of glucose, xylose, xylitol, glycerol, acetic acid and ethanol were measured by a high performance liquid chromatography (Agilent 1260infinity, U.S.A) equipped with the Carbohydrate Analysis column (Phenomenex, USA). The carbohydrate analysis ion exclusion column heated at 60°C was applied to analyze the 20  $\mu\text{l}$  of diluted culture broth. Detection was made with a reflective index detector at 35°C. HPLC operation conditions were set according to the instruction manual of the column supplier.  $\text{H}_2\text{SO}_4$  (5 mM) solution was used as mobile phase at a flow rate of 0.6 ml/min.

## **6.3 Measurement of enzyme activities**

### **Sample preparation**

50  $\mu\text{l}$  of the recombinant *S. cerevisiae* stock was transferred to a test-tube containing 5 ml of YPD media and incubated overnight at 30 °C in

a shaking incubator (Vision, Korea) at 250 rpm.

Samples of steady-state cultures were harvested by 13200 rpm centrifugation, giving them same OD<sub>600</sub> at 20. After washing cells twice, centrifuge them and threw supernatant away. Then, cells were resuspended with 500 µl Y-PER (THERMO #78991) and appropriated buffers containing protease inhibitor cocktail and agitated for 20 minutes at room temperature. After centrifugation of the samples for 10 minutes at 4°C, 13200 rpm, the supernatant was used for crude extract.

### **Xylose reductase (XR) activity**

Preparation of crude cell extract and measurement of xylose reductase activity were one using the method described by Jeun [Jeun *et al.*, 2003] with some modification.

The standard assay volume of 200 µL contained 50 mM Potassium phosphate buffer (pH 6.0 ), 0.4 mM NADPH or NADH and 2M xylose. Xylose reductase activity was measured microplate reader reader (Molecular Devices Co., Sunnyvale, CA, USA) by monitoring the oxidation of NADPH or NADH at 30°C and 340 nm.

One unit of XR activity was defined as the amount of enzyme that can oxidize one micromole of NADPH or NADH per minute. Specific

enzyme activity (U/mg protein) was estimated by dividing enzyme activity by the cellular protein concentration.

### **Xylitol dehydrogenase (XDH) activity**

Preparation of crude cell extract and measurement of xylitol dehydrogenase activity were one using the method described by Jin [Jin *et al.*, 1998] with some modification.

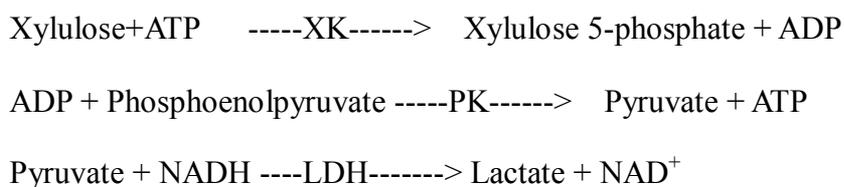
The standard assay volume of 200  $\mu$ L contained 50 mM Tris-HCl buffer (pH 8.5), 50 mM MgCl<sub>2</sub>, 4 mM NAD<sup>+</sup> and 300 mM xylitol. Xylitol dehydrogenase activity was measured microplate reader reader (Molecular Devices Co., Sunnyvale, CA, USA) by monitoring the reduction of NAD<sup>+</sup> at 30°C and 340 nm.

One unit of XDH activity was defined as the amount of enzyme that can reduce one micromole of NAD<sup>+</sup> per minute. Specific enzyme activity (U/mg protein) was estimated by dividing enzyme activity by the cellular protein concentration.

### **Xylulokinase (XK) activity**

Preparation of crude cell extract and measurement of xylulokinase activity were one using the method described by Lee [Lee *et al.*, 2000] with some modification.

The xylulokinase reaction is done by coupling the reduction of pyruvate to lactate by lactate dehydrogenase. Xylulokinase produces a small amount of ADP to allow pyruvate kinase to convert phosphoenolpyruvate into lactate. Xylulokinase activity was determined by measuring the rate of  $\text{NAD}^+$  production at 340 nm.



The standard assay volume of 200  $\mu\text{L}$  contained 20 mM glycylglycin buffer (pH 7.4), 0.2 mM NADH, 1.1 mM ATP, 2.3 mM phosphoenolpyruvate, 5 mM  $\text{MgSO}_4$ , 2 U pyruvate kinase, 2 U lactate dehydrogenase and 8.5 mM xylulose. Xylulokinase activity was measured microplate reader reader (Molecular Devices Co., Sunnyvale, CA, USA) by monitoring the oxidation of NADH at 30°C and 340 nm.

One unit of XK activity was defined as the amount of enzyme that can oxidize one micromole of NADH per minute. Specific enzyme activity (U/mg protein) was estimated by dividing enzyme activity by the cellular protein concentration.

### **Protein concentration**

Protein concentration was determined by the protein assay kit (Bio-Rad, Richmond, CA, USA) based on the method of Bradford using bovine serum albumin (BSA) as standard.

### **III. RESULTS AND DISCUSSIONS**

#### **1 . Cloning and sequence analysis of *XYL1*, *XYL2*, *XYL3* genes from *K. marxianus***

*K. marxianus* genome is currently being sequenced but the projects are listed as incomplete [<http://genomesonline.org/cgi-bin/GOLD/bin/Search.cgi>]. Sequencing of *XYL1*, *XYL2*, *XYL3* from *K. marxianus* was done [<http://ergo.integratedgenomics.com/ERGO/login.cgi> ], thus primer for cloning genes was able to be constructed. ORF of each genes were successfully obtained by PCRs with following primers

A 990 bp ORF of KmXR encoding a 329 amino acid protein [fig. 6], a 1,065 bp ORF of KmXDH encoding a 354 amino acid protein [fig. 7], a 1,812 bp ORF of KmXK encoding a 603 amino acid protein could be found. [fig. 8]

1	ATGACATACC TCGCACCAAC AGTTACCTTG AACAAATGGAT CCAAGATGCC GCTAGTCGGC TTGGGATGCT GGAAAATCCC AAACGAAGTG TGTGCCGAAC TACTGTATGG AGCGTGGTTG TCAATGGAAC TTGTTACCTA GTTTCTACGG CGATCAGCCG AACCCCTACGA CCTTTTAGGG TTTGCTTCAC ACACGGCTTG
-1	His Cys Val Glu Cys Trp Cys Asn Gly Gln Val Ile Ser Gly Leu His Arg *** Asp Ala Gln Ser Ala Pro Phe Asp Trp Val Phe His Thr Gly Phe Leu
101	AGGTGTACGA AGCCATCAAG TTGGGCTACC GCTTGTTCGA CGGC GCGCAG GACTACGCCA ACGAAAAAGA GGTGGGCCAA GGTATTAACA GAGCCATCAA TCCACATGCT TCGGTAGTTC AACCCGATGG CGAACAAAGCT GCCGCGCGTC CTGATGCGGT TGCTTTTTCT CCACCCGGTT CCATAATTGT CTCGGTAGTT
-1	His Val Phe Gly Asp Leu Gln Ala Val Ala Gln Glu Val Ala Arg Leu Val Val Gly Val Phe Phe Leu His Ala Leu Thr Asn Val Ser Gly Asp Leu
201	GGAAGGAATC GTCAAGAGAG AAGACTTGGT CGTCGTTTCT AAGTTGTGGA ACAGTTTCCA CCACCCAGAC AACGTGCGTA CCGCAGTCGA AAGAACTTTG CCTTCCTTAG CAGTTCTCTC TTCTGAACCA GCAGCAAAGA TTCAACACCT TGTCAAAAGT GGTGGGTCTG TTGCACGCAT GGCCTCAGCT TTCTTGAAC
-1	Phe Ser Asp Asp Leu Ser Phe Val Gln Asp Asp Asn Arg Leu Gln Pro Val Thr Glu Val Val Trp Val Val His Thr Gly Cys Asp Phe Ser Ser Gln
301	AACGACTTGC AATTGGACTA CTTGGACTTG TTCTACATCC ATTTCCCATT GGCTTTCAAG TTCGTGCCAC TAGACGAAAA GTACCCTCCA GGTTCCTACA TTGCTGAACG TTAACCTGAT GAACCTGAAC AAGATGTAGG TAAAGGGTAA CCGAAAGTTC AAGCACGGTG ATCTGCTTTT CATGGGAGGT CCAAGATGT
-1	Val Val Gln Leu Gln Val Val Gln Val Gln Glu Val Asp Met Glu Trp Gln Ser Glu Leu Glu His Trp *** Val Phe Leu Val Arg Trp Thr Glu Val Cys
401	CAGGTAAGGA CAATTTCCGCC AAGGAAATCA TCGAAGAGGA GCCTGTCCCA ATCTTGGACA CCTACAGAGC CCTTGAGAAG TTGGTCGACG AAGGTTTGT GTCCATTCCT GTTAAAGCGG TTCCTTTAGT AGCTTCTCCT CGGACAGGGT TAGAACCTGT GGATGTCTCG GGAACCTCTC AACCCAGCTGC TTCCAAACTA
-1	Thr Leu Val Ile Glu Gly Leu Phe Asp Asp Phe Leu Leu Arg Asp Trp Asp Gln Val Gly Val Ser Gly Lys Leu Leu Gln Asp Val Phe Thr Gln Asp
501	CAAATCTTTG GGTATCTCAA ACTTTTCGGG TGCATTGATC CAGGACTTGT TGCGTGGCGC CCGTATCAAG CCAGTCGCCT TGCAGATCGA ACACCACCCA GTTTAGAAAC CCATAGAGTT TGAAAAGCCC ACGTAACTAG GTCCTGAACA ACGCACCCGG GGCATAGTTC GGTACGCGGA ACGTCTAGCT TGTGGTGGGT
-1	Phe Arg Gln Thr Asp *** Val Lys Arg Thr Cys Gln Asp Leu Val Gln Gln Thr Ala Gly Thr Asp Leu Trp Asp Gly Gln Leu Asp Phe Val Val Trp
601	TACTTGGTCC AGGACCGCTT GATCACGTAC GCCCAAAGG TGGGCTTGCA AGTCGTCGCC TACTCCAGTT TCGGCCCACT ATCCTTTGTC GAGTTGAACA ATGAACCAGG TCCTGGCGAA CTAGTGCAATG CGGGTTTTCC ACCCGAACGT TCAGCAGCGG ATGAGGTCAA AGCCGGGTGA TAGGAAACAG CTCAACTTGT
-1	Val Gln Asp Leu Val Ala Gln Asp Arg Val Gly Leu Leu His Ala Gln Leu Asp Asp Gly Val Gly Thr Glu Ala Trp *** Gly Lys Asp Leu Gln Val Val
701	ACGAAAAGGC CTTGCACACA AAGACTTGT TCGAAAACGA CACCATCAAG GCCATCGCTC AAAAAACAAA CGTCACCCCA TCCCAGTCT TGTGAAGTG TGCTTTCCG GAACGTGTGT TTCTGAAACA AGCTTTTGTCT GTGGTAGTTC CGGTAGCGAG TTTTGTGTT GCAGTGGGGT AGGGTGCAGA ACAACTTCAC
-1	Phe Leu Gly Gln Val Cys Leu Ser Gln Glu Phe Val Val Gly Asp Leu Gly Asp Ser Leu Phe Val Val Asp Gly Trp Gly Val Asp Gln Gln Leu Pro
801	GTCCACCCAA CGTGGTATCG CCGTCATTCC AAAGTCTCTC AAGAAGGAAC GTCTCCTCGA GAACTTGAAG ATCGAAGAGA CCTTTACCTT GTCCGACGAA CAGGTGGGTT GCACCATAGC GGCAGTAAGG TTTCCAGGAG TTCTTCTTTC CAGAGGAGCT CTTGAACCTC TAGCTTCTCT GGAAATGGAA CAGGCTGCTT
-1	Gly Gly Leu Thr Thr Asp Gly Asp Asn Trp Leu Gly Gly Leu Leu Phe Thr Glu Glu Leu Val Gln Leu Asp Phe Leu Gly Lys Gly Gln Gly Val Phe
901	GAGATCAAGG AGATCAACGG CTTGGACCAG GGATTGAGAT TTAACGACCC ATGGGACTGG TTGGGCAACG AATTCCTAAC CTTTATCTAA CTCTAGTTCC ICTAGTTGCC GAACCTGGTC CCTAACTCTA AATTGCTGGG TACCCTGACC AACCCGTTGC TTAAGGGTTG GAAATAGATT
-1	Leu Asp Leu Leu Asp Val Ala Gln Val Leu Ser Gln Ser Lys Val Val Trp Pro Val Pro Gln Ala Val Phe Glu Trp Gly Lys Asp *

Figure 6. Nucleotide sequence of the *XYL1* gene from *K. marxianus* and its deduced amino acid sequence.



1	ATGICTACCC	CATACTATTT	AGGTTTTCGAT	CTTTCTACGC	AGCAATTGAA	ATGCTCTCGCT	ATCGATGACC	AATTGAACAT	CGTCACCAGT	GTTTCCATCG																								
	TACAGATGGG	GTATGATAAA	TCCAAAGCTA	AAAAGATGCG	TCGTTAACTT	TACAGAGCGA	TAGTCTACTGG	TTAACTTGTA	GCAGTGGTCA	CAAAGGTAGC																								
-1	His	Arg	Gly	Trp	Val	Ile	***	Thr	Glu	Ile	Lys	Arg	Arg	Leu	Leu	Gln	Phe	Thr	Glu	Ser	Asp	Ile	Val	Leu	Gln	Val	Asp	Asp	Gly	Thr	Asn	Gly	Asp	Leu
101	AGTTCGACAG	GGACTTCCCG	GCTTACAACA	CCAAGAAGGG	TGTGTACATC	AAGAATGGCG	GTGTTATTGA	TGCTCCAGTG	GCCATGTGGC	TAGAAGCTGT																								
	TCAAAGCTGTC	CCTGAAGGGC	CGAATGTTGT	GGTCTTCCCG	ACACATGTAG	TTCTTACC	CACAATAACT	ACGAGGTAC	CGGTACACCG	ATCTTCGACA																								
-1	Glu	Val	Pro	Val	Glu	Arg	Ser	Val	Val	Gly	Leu	Leu	Thr	His	Val	Asp	Leu	Ile	Ala	Thr	Asn	Asn	Ile	Ser	Trp	His	Gly	His	Pro	***	Phe	Ser	His	
201	GGACTTGTGT	TTCTCACAGC	TAGCGGAACG	AATCGATTTG	AAACGAGTCC	AGTCGATGTC	TGGCTCGTGC	CAGCAGCACG	GAACCGTGTA	CTGGAATTGC																								
	CCTGAAACACA	AAGAGTGTG	ATCGCCTTGC	TTAGCTAAAC	TTTGCTCAGG	TCAGCTACAG	ACCGAGCACG	GTCGTCGTGC	CTTGGCACAT	GACCTTAACG																								
-1	Val	Gln	Thr	Glu	***	Leu	***	Arg	Phe	Ser	Asp	Ile	Gln	Phe	Ser	Asp	Leu	Arg	His	Arg	Ala	Arg	Ala	Leu	Leu	Val	Ser	Gly	His	Val	Pro	Ile	Ala	
301	GAACACTTGC	CCTCAAATCT	GGACCCGGCA	TCCACGCTTC	GCGAACAGTT	GCAAGGGTGC	CTCAGCAGAC	CGGTGGCCCC	CAATTGGCAA	GACCACAGCA																								
	CTTGTGAACG	GGAGTTTAGA	CCTGGGCCGT	AGGTGCGAAG	CGCTTGTCAA	CGTTCCCAGC	GAGTCGTCTG	GCCACCGGGG	GTTAACCGTT	CTGGTGTCTG																								
-1	Phe	Val	Gln	Gly	***	Ile	Gln	Val	Arg	Cys	Gly	Arg	Lys	Ala	Phe	Leu	Gln	Leu	Pro	Arg	Glu	Ala	Ser	Arg	His	Gly	Gly	Ile	Pro	Leu	Val	Val	Ala	Cys
401	CAAAGAAACA	GTGCGATGAG	TTGGCAGAAA	GCGTTGGAGG	TCCAGAAGAG	TTGGCACGAA	TCACTGGTTC	TGGTGCCAC	TACAGTTTTT	CCGGCTCGCA																								
	GTTTCTTTGT	CACGCTACTC	AACCGTCTTT	CGCAACCTCC	AGGTCTTCTC	AACCGTGTCT	AGTGACCAAG	ACCACGGGTG	ATGTCCAAAA	GGCCGAGCGT																								
-1	Leu	Phe	Leu	Ala	Ile	Leu	Gln	Cys	Phe	Ala	Asn	Ser	Thr	Trp	Phe	Leu	Gln	Cys	Ser	Asp	Ser	Thr	Arg	Thr	Gly	Val	Val	Pro	Lys	Gly	Ala	Arg	Leu	
501	AATAGCCAAG	ATCCACGAGA	CCGAGCCCGA	GGTTTACGAA	GCTACCAAGA	GGATCTCGCT	TGTGTCTGCT	TTCCTAGCCT	CTGTGCTTGT	TGGGACATT																								
	TTATCGGTTT	TAGGTGCTCT	GGCTCGGGCT	CCAAATGCTT	CGATGTTTCT	CCTAGAGCGA	ACACAGCAGA	AAGGATCGGA	GACACGAACA	ACCCCTGTAA																								
-1	Tyr	Gly	Leu	Asp	Val	Leu	Gly	Leu	Gly	Leu	Asn	Val	Phe	Ser	Gly	Leu	Pro	Asp	Arg	Lys	His	Arg	Arg	Glu	***	Gly	Arg	His	Lys	Asn	Pro	Val	Asn	
601	GTCCCATTTG	AAGAAGCGGA	TGCGTGCGGC	ATGAACTTGT	ACGACTTGAG	CAAGCACGAT	TTGACGAGA	CTTTACTGGC	AGTGGTGGAC	CACGACACGG																								
	CAGGGTAACC	TTCTTCGCTT	ACGCACGCCG	TACTTGAACA	TGCTGAACTC	GTTCTGTGTA	AAGCTGCTCT	GAAATGACCG	TCACCACCTG	GTGCTGTGCC																								
-1	Asp	Trp	Gln	Phe	Phe	Arg	Ile	Arg	Ala	Ala	His	Val	Gln	Val	Val	Gln	Ala	Leu	Val	Ile	Glu	Val	Leu	Ser	***	Gln	Cys	His	His	Val	Val	Val	Arg	Ser
701	CTCGTCTCAG	GAGAAAGTTG	AGCGATCCAC	CGGTGGGAGC	TCCCCTGGA	GAGTCCCCTT	TGACCAGTTT	GGGTAAAGTG	TCCAAGTACT	TCCAGGACAA																								
	GAGCAGAGTC	CTCTTTCAAC	TCGCTAGGTG	GCCACCCTCG	AGGGTIGACCT	CTCAGGGGAA	ACTGGTCAAA	CCCATTTCAC	AGGTTTCATGA	AGGTCCTGTT																								
-1	Thr	Glu	Pro	Ser	Leu	Gln	Ala	Ile	Trp	Arg	His	Ser	Ser	Gly	Ser	Ser	Leu	Gly	Arg	Gln	Gly	Thr	Gln	Thr	Phe	His	Gly	Leu	Val	Glu	Leu	Val	Leu	
801	GTACGGCGTG	AACTGCGAGT	GCGAGATCTT	CCCTTCACT	GGAGACAACC	TAGCCACCAT	ATGCTCGCTT	CCCTTGACAG	AGAACGACGT	GTTGATCTCG																								
	CATGCCGCAC	TTGACGCTCA	CGCTCTAGAA	GGGAAGTGA	CCTCTGTTGG	ATCGGTGTA	TACGAGCGAA	GGGAACGTCT	TCTTGCTGCA	CAACTAGAGC																								
-1	Val	Ala	His	Val	Ala	Leu	Ala	Leu	Asp	Glu	Gly	Glu	Ser	Ser	Val	Val	***	Gly	Gly	Tyr	Ala	Arg	Lys	Gly	Gln	Leu	Leu	Val	Val	His	Gln	Asp	Arg	
901	TTGGGTACCT	CGACCACGAT	TCTCTTGGTC	ACGGACCAGT	ACCACTCGTC	GCCCAATTAC	CACCTTGTTC	TCCATCCAAC	GGTGCCCGGA	TACTACATGG																								
	AACCCATGGA	GCTGGTGCTA	AGAGAACCAG	IGCCTGGTCA	TGGTIGACAG	CGGGTTAATG	GTGAACAAGT	AGGTAGGTTG	CCACGGGCCT	ATGATGTACC																								
-1	Gln	Thr	Gly	Arg	Gly	Arg	Asn	Glu	Gln	Asp	Arg	Val	Leu	Val	Val	Arg	Arg	Gly	Ile	Val	Val	Gln	Glu	Asp	Met	Trp	Arg	His	Gly	Ser	Val	Val	His	Ala

1001	GCATGATTG CTACTGCAAC GGGTCTTTGG CGCGCGAGCG TGTTGCGGAC GACTTGGCGG GACCACAGGC CTCGCAGGCC CCCGGAGAAC AGGTGCCCTG CGTACTAAAC GATGACGTTG CCCAGAAACC GCGCGCTCGC ACAAGCGCTG CTGAACCGCC CTGGTGTCCG GAGCGTCCGG GGGCCTCTTG TCCACGGGAC	-1	His Asn Ala Val Ala Val Pro Arg Gln Arg Ala Leu Thr Asn Ala Val Val Gln Arg Ser Trp Leu Gly Arg Leu Gly Gly Ser Phe Leu His Gly Pro
1101	GACCCAATC AACGACGCTT TGCTCGACGA CAGTTTATCC AACGACAACG AAATCGGGTT GTACTTCCCA TTGGGCGAGA TCGTGCCCAA CGTCGACGCC CTGGGTTAAG TTGCTGCGAA ACGAGCTGCT GTCAAATAGG TTGCTGTTGC TTTAGCCCAA CATGAAGGGT AACCCGCTCT AGCACGGGTT GCAGTGCGG	-1	Gly Leu Glu Val Val Ser Gln Glu Val Val Thr *** Gly Val Val Val Phe Asp Pro Gln Val Glu Trp Gln Ala Leu Asp His Gly Val Asp Val Gly
1201	GTGACCAAGC GCTGGACGTT CGAGCGCAAG GGAGACCATG CCAACAAAAC CATTGTGCTA CACGAGCTCG ACCAATTCAC CCCAAAACGC AAGGACGCCA CACTGGTTCG CGACCTGCAA GCTCGCGTTC CCTCTGGTAC GGTGTTTTG GTAACACGAT GTGCTCGAGC TGTTAAGTG GGGTTTTGCG TTCCTGCGGT	-1	His Gly Leu Ala Pro Arg Glu Leu Ala Leu Ser Val Met Gly Val Phe Gly Asn His *** Val Leu Glu Val Leu Glu Gly Trp Phe Ala Leu Val Gly Leu
1301	AGAATATCGT CGAGTGCCAA GCCTTAAGCT GCAGGGTCCG CATTCTCCA CTATTGTCGG ACGAAACGGA CGCCCTGAGC GAGACCCAGG TGCTATCCAA TCTTATAGCA GCTCAGCGTT CGGAATTCGA CGTCCCAGGC GTAAAGAGGT GATAACAGGC TGCTTTGCCT GCGGGACTCG CTCTGGGTCC ACGATAGGTT	-1	Ile Asp Asp Leu Arg Leu Gly *** Ala Ala Pro Asp Ala Asn Arg Trp *** Gln Gly Val Phe Arg Val Gly Gln Ala Leu Gly Leu His *** Gly Leu
1401	GAAAGAAAAC ACCCAAGTGA CATTGACTA CGACGCATT CCACTCTGGA CCTACGCAA GAGACCCAAC CGTGCCTTCT TCGTCGGTGG TGCCTCCAAG CTTTCTTTG TGGGTTCACT GTAAGCTGAT GCTGCGTAAG GGTGAGACCT GGATGCGTTT CTCTGGGTTG GCACGGAAGA AGCAGCCACC ACGGAGGTT	-1	Phe Phe Val Gly Leu His Cys Glu Val Val Val Cys Glu Trp Glu Pro Gly Val Cys Leu Ser Gly Val Thr Gly Glu Glu Asp Thr Thr Gly Gly Leu
1501	AACGATGCCA TTGTCCGGAC AATGGCCAAC GTCATTGGCG CCAGAAACGG CAACTACAGA CTAGAAACAC CCAATTCGTG CGCATTGGGC GGCTGCTACA TTGCTACGGT AACAGGCCCTG TTACCGGTTG CAGTAACCGC GGTCTTTGCC GTTGATGTCT GATCTTTGTG GGTTAAGCAC GCGTAACCCG CCGACGATGT	-1	Val Ile Gly Asn Asp Pro Cys His Gly Val Asp Asn Ala Gly Ser Val Ala Val Val Ser *** Phe Cys Gly Ile Arg Ala Cys Gln Ala Ala Ala Val Leu
1601	AGGCGATGTG GTCCTGGTTA AAGGTCCACG AGCCCACTAC CACCCCATCC TTCGACGTTT GGCTGAATGC CAGTTTCAAC TGGCAAAGAG ACTGCGAATT TCCGCTACAC CAGGACCAAT TTCCAGGTGC TCGGGTGATG GTGGGGTAGG AAGCTGCAAA CCGACTTACG GTCAAAGTTG ACCGTTTCTC TGACGCTTAA	-1	Arg His Pro Gly Pro *** Leu Asp Val Leu Gly Ser Gly Gly Trp Gly Glu Val Asn Pro Gln Ile Gly Thr Glu Val Pro Leu Ser Val Ala Phe Lys
1701	TGTGTGTCAA TCTGACGTTG CCAAATGGGA GCAATGCAAC GGCAAGATAC AGGCATTGAG CGAAGCAGAG GCGTACGTCA AGGCCCTGGC CCAGGAGCAG ACACACAGTT AGACTGCAAC GGTTTACCCT CGTTACGTTG CCGTCTATG TCCGTAACTC GCTTCGTCTC CGCATGCAGT TCCGGGACCG GGTCTCTGTC	-1	His Thr Leu Arg Val Asn Gly Phe Pro Leu Leu Ala Val Ala Leu Tyr Leu Cys Gln Ala Phe Cys Leu Arg Val Asp Leu Gly Gln Gly Leu Leu Leu
1801	CAGGACCAGT GA GTCCTGGTCA CT	-1	Leu Val Leu Ser

Figure 8. Nucleotide sequence of the *XYL3* gene from *K. marxianus* and its deduced amino acid sequence

## **2 . Construction of recombinant *S. cerevisiae***

The *K. marxianus* gene *XYL1* encoding KmXR was integrated into the *HIS3* locus of *S. cerevisiae* DX23 and cells selected on YNB *his*- plate were checked by colony PCR whether vectors for transferring *XYL1* gene were inserted to exact location. Several colonies showing appropriate size of PCR product were picked and fermented with 40 g/L xylose. One colony having best fermenting ability was chosen and stored as cell stocks. Introducing *XYL1*, *XYL2* to *URA3* locus of *S. cerevisiae* ISXK and *XYL1*, *XYL2* to *URA3* as well as *XYL3* to *HIS3* of *S. cerevisiae* D452-2 were also done and confirmed same way.

To confirm activity of *XYL1*, *XYL2*, *XYL3* from *K. marxianus* and compare with each genes of *Sch. Stipitis*, each genes was integrated to *S. cerevisiae* D452-2. D/Km(Ss)XR, D/Km(Ss)XDH, D/Km(Ss)XK were constructed..

The linearized plasmid pAUR\_d\_ALD6 was integrated into the *ALD6* locus of KM12,3 in order to disruption of *ALD6* gene using YP-AbA plate. All strains were confirmed whether having or deleting right genes or not.

## **3. Confirmation of heterologous expression of *XYL1***

To confirm expression of exogenous *XYL1* gene in *S. cerevisiae*, it was transformed to DX23 host strain that show expression of *XYL2* and *XYL3*

surely. If *XYL1* was expressed well, XR would be produced and *S. cerevisiae* could produce ethanol from xylose.

### 3.1 Specific activity assay of KmXR

Cofactor specificity of xylose reductase (XR) was checked by measuring enzyme activity. Specific activities of a single KmXR in D/KmXR and the recombinant *S. cerevisiae* DX23/KM1 in the presence of NADPH and NADH were 0.58, 0.06 and 0.48, 0.03 U/mg-protein, respectively [Figure 9]. It also seems that KmXR in recombinant strain was almost dependent to NADPH. It is similar with single KmXR in D/KmXR in an aspect to both cofactor affinity and specific activities.

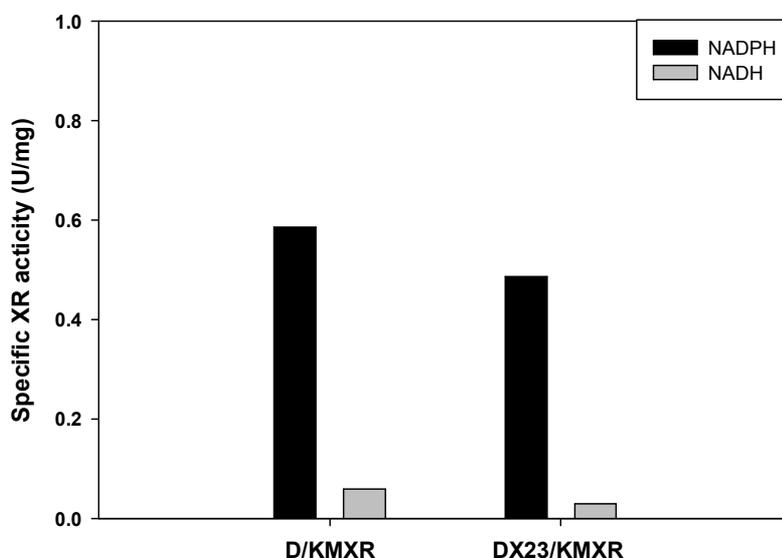


Figure 9. Comparison of the specific activities of xylose reductase (XR) between *S. cerevisiae* D/KmXR, *S. cerevisiae* DX23/KM1

### 3.2 Microaerobic bath fermentation

Microaerobic batch flask fermentations were performed with DX23/KM1 and DX23/pRS403 control strain. YEPD medium containing 4 %(w/v) xylose was used. Figures 10 and Table 3 showed Microaerobic batch fermentation profiles and fermentation results.

As control strain didn't have entire xylose metabolic pathway, it couldn't produce ethanol, but recombinant strain DX23/KM1 surely produce ethanol. Therefore, heterologous expression of *XYL1* could be confirmed in *S. cerevisiae*.

*S. cerevisiae* DX23/KM1 consumed 34.1 g/L xylose then, produced 6.67 g/L of final dry cell weight and 10.5 g/L of ethanol for 96 h at initial O.D. 5. Ethanol yield of *S. cerevisiae* DX23/KM1 was 0.31 g ethanol/g xylose and its productivity was 0.11 g/L·h.

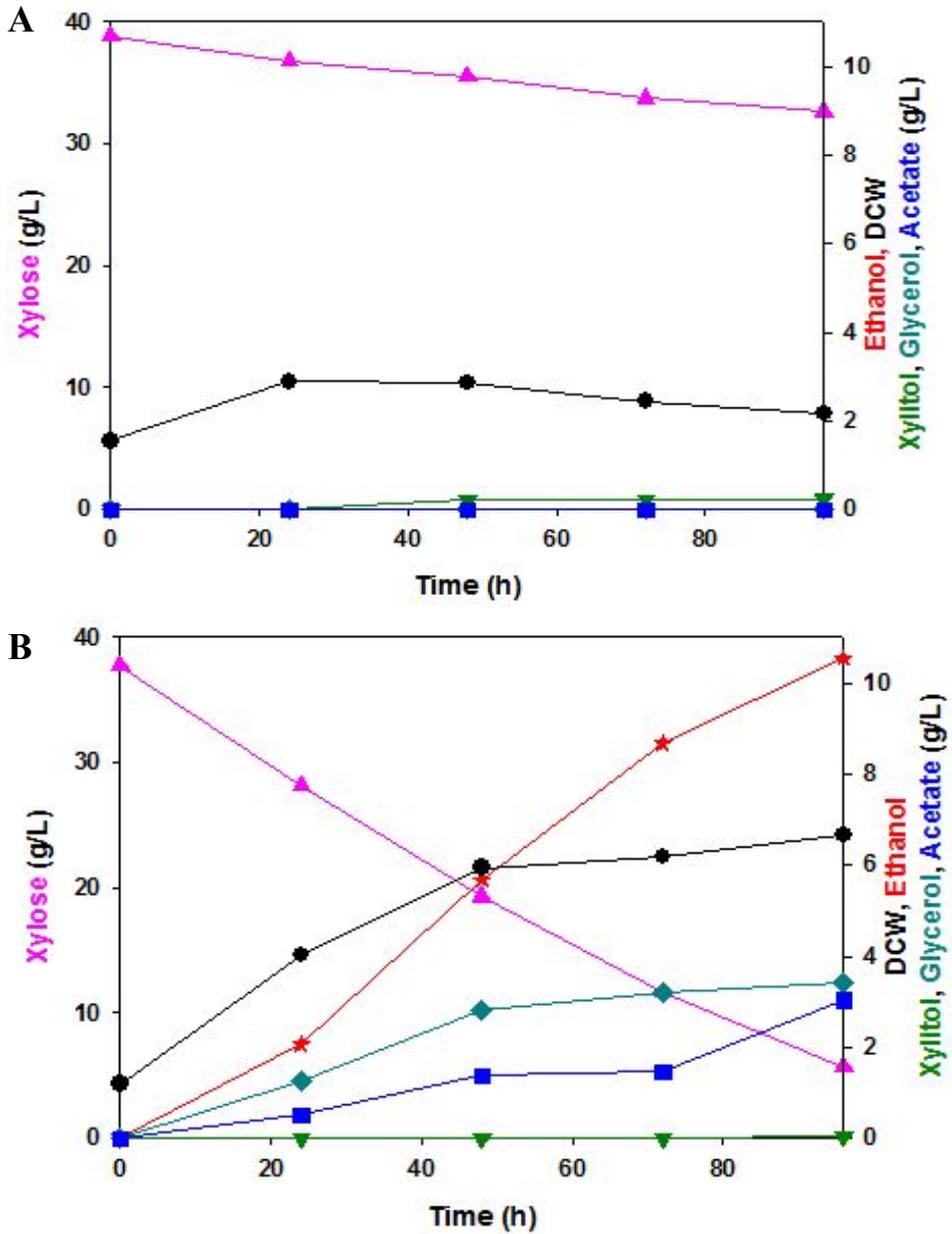


Figure 10. Microaerobic batch fermentation profiles of *S. cerevisiae* DX23/pRS403 (A) and *S. cerevisiae* DX23/KM1(B) in YEPD containing 4 % (w/v) xylose at 30°C, 80 rpm, initial OD : 5 (◆: Dry cell weight, ▲: Xylose, ▼: Xylitol, ◆: Glycerol, ■: Acetate, ★: Ethanol)

Table 3. Summary of Microaerobic batch fermentation of *S. cerevisiae* DX23/KM1

Strains	Dry cell weight (g/L)	Final ethanol concentration (g/L)	Ethanol yield (g ethanol/g xylose)	Ethanol productivity (g/L-hr)	xylose consumption rate (g/L-hr)
DX23/KM1	6.67	10.5	0.31	0.11	0.28

#### **4. Confirmation of heterologous expression of *XYL2***

To confirm expression of exogenous *XYL2* gene in *S. cerevisiae*, *XYL1* which was verified to express well in *S. cerevisiae* and *XYL2* was transformed to ISXX host strain that show expression of *XYL3* surely. If *XYL2* was expressed well, XDH would be produced and *S. cerevisiae* could produce ethanol from xylose.

##### **4.1 Specific activity assay of KmXDH**

Expression of XDH was detected by measuring enzyme activity. Specific activities of single KmXDH in D/KmXDH and the recombinant *S. cerevisiae* ISXX/KM12 in the presence of NAD<sup>+</sup> were 0.57 and 0.21 U/mg-protein respectively. It also seems that KmXDH in recombinant strain was almost dependent to NAD<sup>+</sup>. It is similar with single KmXDH in D/KmXDH in an aspect to both cofactor affinity but specific activities is somewhat lower that of KmXDH [Figure 11].

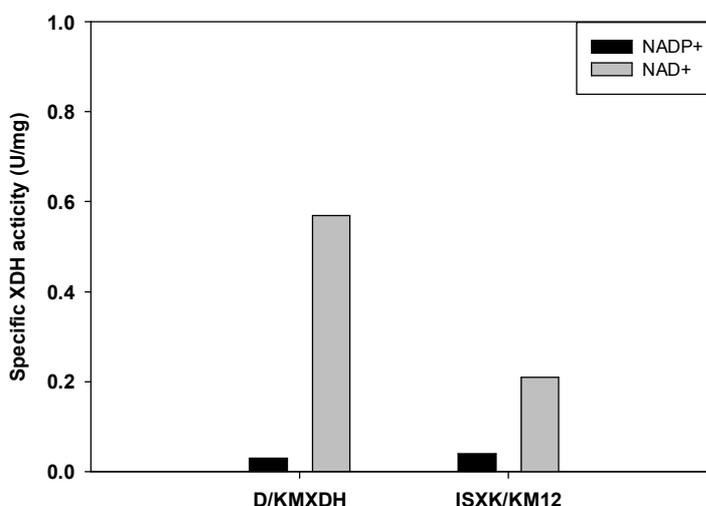


Figure 11. Comparison of the specific activities of xylitol dehydrogenase (XDH) between *S. cerevisiae* D/KmXDH, *S. cerevisiae* ISXK/KM12.

#### 4.2 Microaerobic bath fermentation

Microaerobic batch flask fermentations were performed with ISXK/KM12 and ISXK/pRS406 control strain. YEPD medium containing 4 %(w/v) xylose was used. Figures 12 and Table 4 showed Microaerobic batch fermentation profiles and fermentation results.

As control strain depleted XR, XDH enzyme among entire xylose metabolic pathway, it couldn't produce ethanol, while recombinant strain ISXK/KM12 surely produce ethanol. Therefore, heterologous expression of *XYL2* in *S. cerevisiae* could be confirmed.

*S. cerevisiae* ISXK/KM12 consumed 27.5 g/L xylose then, produced 4.89 g/L of final dry cell weight and 9.26 g/L of ethanol for 96 h at initial O.D. 5. Ethanol yield of *S. cerevisiae* DX23/KM1 was 0.34 g ethanol/g xylose and its productivity was 0.10 g/L·h.

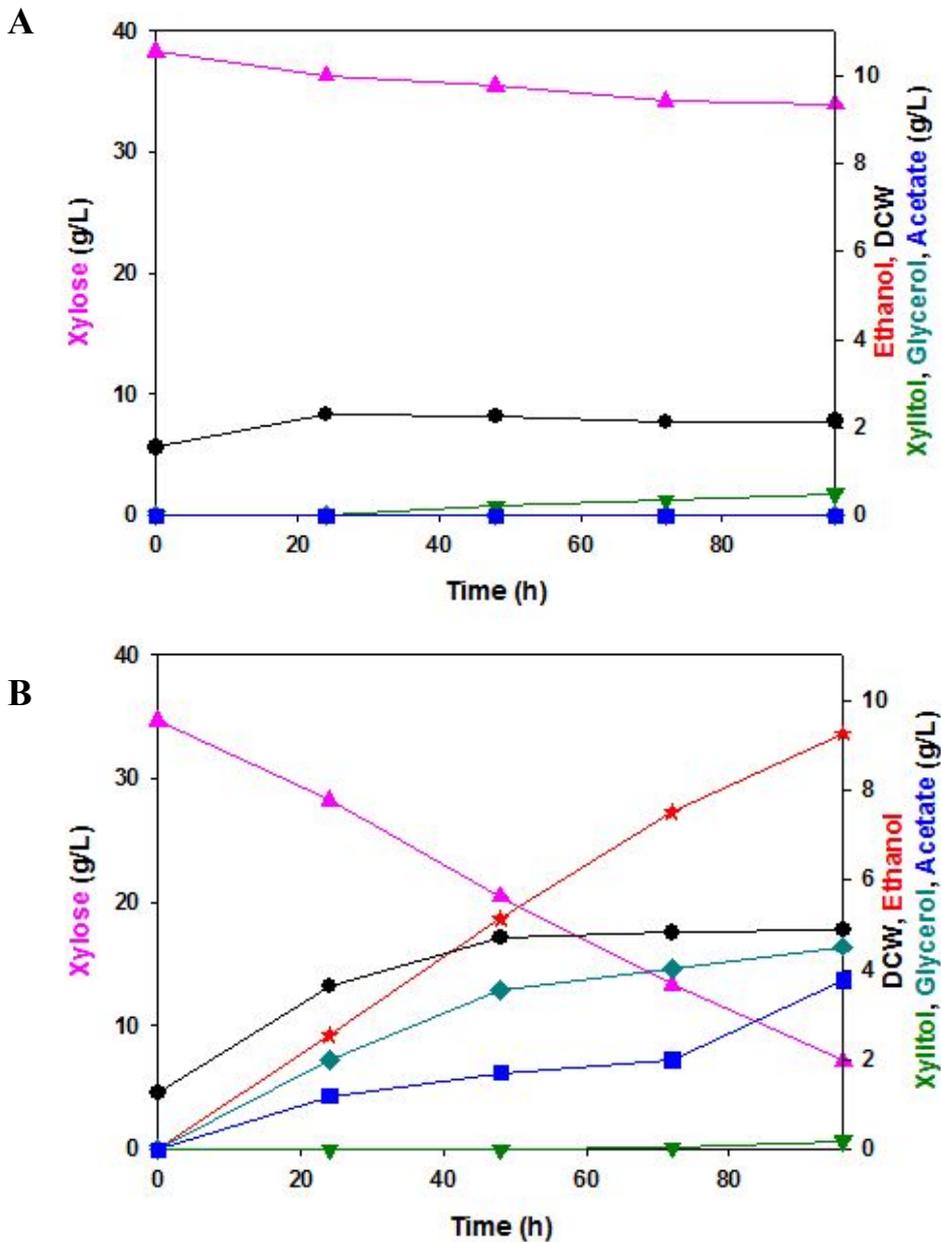


Figure 12. Microaerobic flask batch fermentation profile of *S. cerevisiae* ISXX/pRS406 (A) and *S. cerevisiae* ISXX/KM12 (B) in YEPD containing 4 %(w/v) xylose at 30 °C, 80 rpm, initial OD : 5 (◆: Dry cell weight, ▲: Xylose, ▼: Xylitol, ◆: Glycerol, ■: Acetate, ★: Ethanol)

Table 4. Summary of aerobic batch fermentation of *S. cerevisiae* ISXK/KM12

Strains	Dry cell weight (g/L)	Final ethanol concentration (g/L)	Ethanol yield (g ethanol/g xylose)	Ethanol productivity (g/L-hr)	xylose consumption rate (g/L-hr)
ISXK/KM12	4.89	9.26	0.34	0.10	0.29

## **5. Confirmation of heterologous expression of *XYL3***

To confirm expression of exogenous *XYL3* gene in *S. cerevisiae*, *XYL1* and *XYL2* which were proved to express in *S. cerevisiae* and *XYL3* were transformed to *S. cerevisiae* D452-2 strain that could not metabolize xylose. If *XYL3* was expressed well, XK would be produced and *S. cerevisiae* could produce ethanol from xylose through entire combination of XR, XDH and XK.

### **5.1 Specific activity assay of KmXK**

Expression of xylulokinase (XK) was detected by measuring enzyme activity. Specific activity of KmXR, XDH in recombinant *S. cerevisiae* KM12,3 was 4.40, 4.60 U/mg-protein respectively [Figure 13]. Specific activities of single KmXK and KmXK of KM12,3 are similar each other.

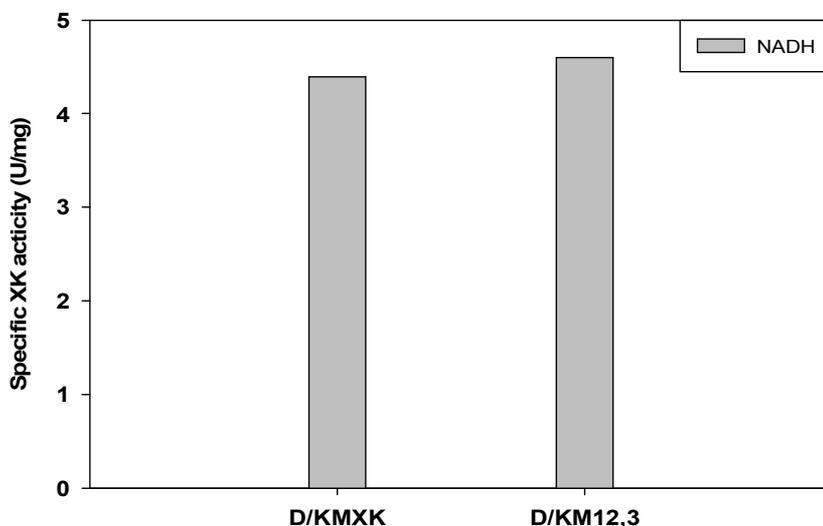


Figure 13. Comparison of the specific activities of xylulokinase (XK) between *S. cerevisiae* D/KmXK and *S. cerevisiae* KM12,3

## 5.2 Microaerobic batch fermentation

Microaerobic batch flask fermentations were performed with KM12,3 and D/pRS403,406 control strain. YEPD medium containing 4 %(w/v) xylose was used. Figures 14 and Table 5 showed Microaerobic flask batch fermentation profiles and fermentation results.

As control strain depleted entire xylose metabolic pathway, it couldn't produce ethanol, while recombinant strain KM12,3 surely produce ethanol. Therefore, heterologous expression of *XYL3* in *S. cerevisiae* could be confirmed as well as expression of *XYL1* and *XYL2*.

*S. cerevisiae* KM12,3 consumed 32.3 g/L xylose then, produced 6.69

g/L of final dry cell weight and 11.1g/L of ethanol for 96 h at initial O.D.

5. Ethanol yield of *S. cerevisiae* KM12,3 was 0.31 g ethanol/g xylose and its productivity was 0.12 g/L·h.

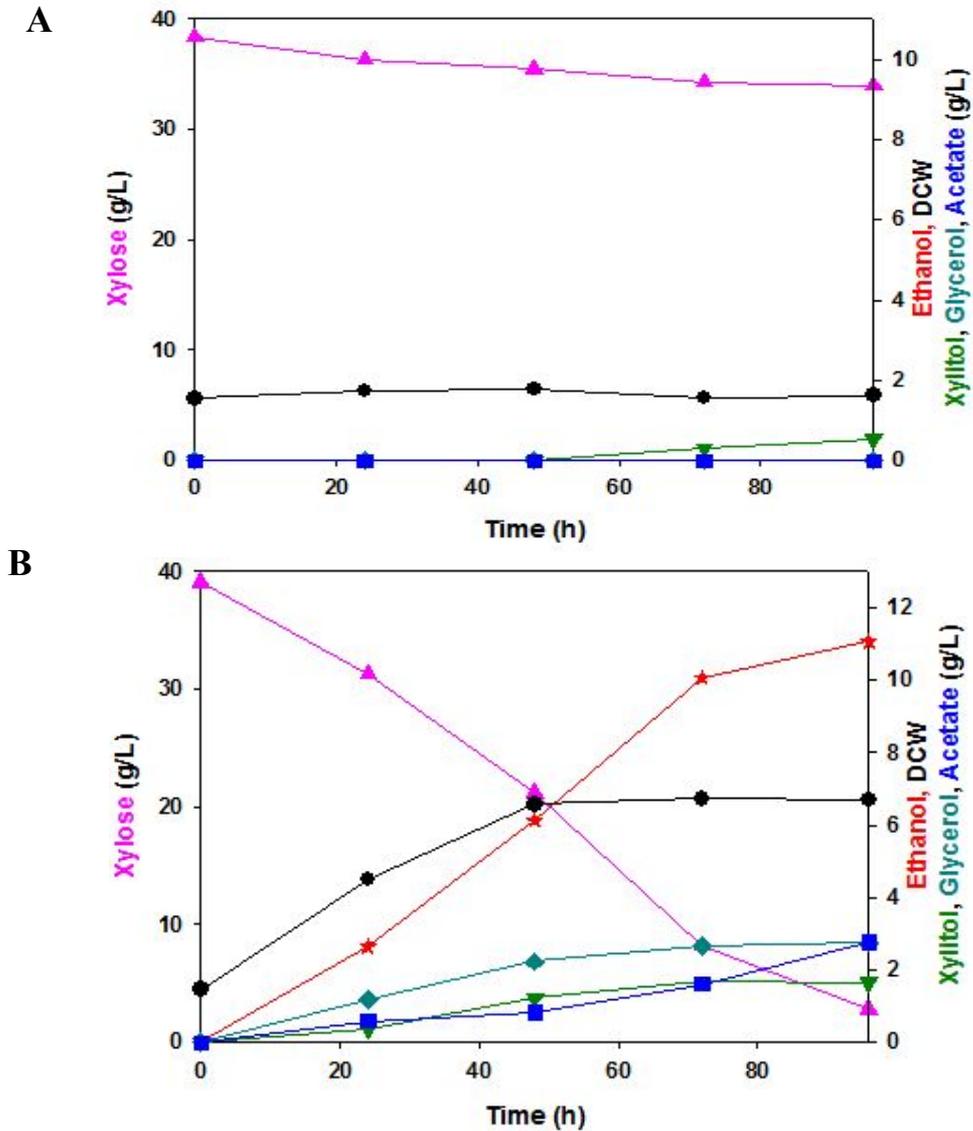


Figure 14. Microaerobic flask batch fermentation profiles of and *S. cerevisiae* D/pRS403406 (A) and *S. cerevisiae* KM12,3 (B) in YEPD containing 4 %(w/v) xylose at 30°C, 80 rpm, itintial OD : 5 .

(●: Dry cell weight, ▲: Xylose, ▼: Xylitol, ◆: Glycerol, ■: Acetate, ★: Ethanol )

Table 5. Summary of aerobic batch fermentation of *S. cerevisiae* KM12,3(96 h – Point of maximum ethanol production)

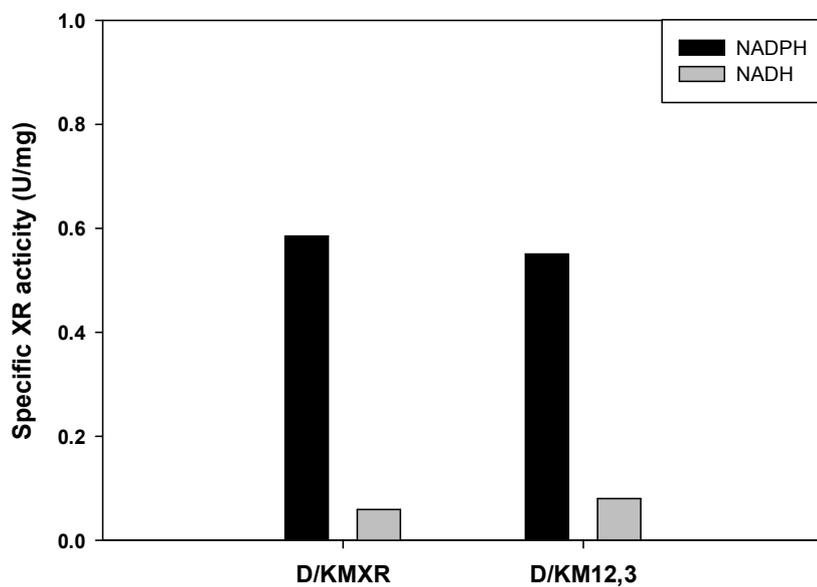
Strains	Dry cell weight (g/L)	Final ethanol concentration (g/L)	Ethanol yield (g ethanol/g xylose)	Ethanol productivity (g/L-hr)	xylose consumption rate (g/L-hr)
KM12,3	6.69	11.1	0.31	0.12	0.38

## 6. Comparison of with *Sch. stipitis*

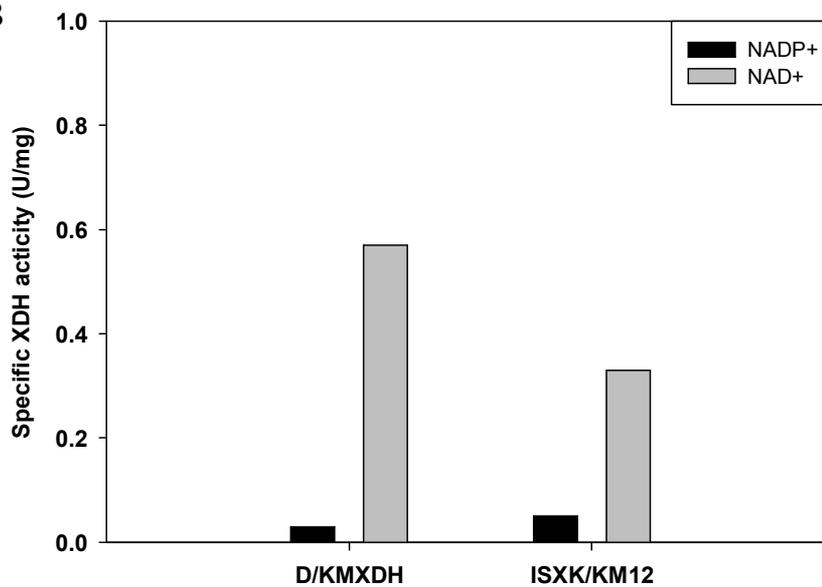
### 6.1 Specific activity

To compare genes from *Sch. stipitis*, At first, activity of single KmXR in D/KmXR was compared with single SsXR in D/SsXR. XR from *K. marxianus* preferred NADPH as the coenzyme and specific activity was very low with NADH in contrast with XR from *Sch. Stipitis* dependent both NADPH and NADH. Considered NADPH only, specific activity of KmXR was 0.58 U/mg-protein and 0.8 times lower than that of SsXR. Activity of single KmXDH in D/KmXDH was compared with single SsXDH in D/SsXDH. XDH from *K. marxianus* preferred NAD<sup>+</sup> as the coenzyme and specific activity was very low with NADP<sup>+</sup>. These properties are similar with XDH from *Sch. Stipitis*. Considered NAD<sup>+</sup> only, specific activity of KmXDH was 0.56 U/mg-protein and 0.35 times lower than that of single SsXDH. Activity of single KmXK in D/KmXK was compared with single SsXK in D/SsXK. XK from *K. marxianus*. Specific KmXK activity was 4.4 U/mg-protein and 6 times higher compared with that of single SsXK. Specific KmXK activity of 4.3 U/mg-protein in was obtained for the recombinant *S. cerevisiae* KM12,3, which was similar with specific D/KmXK activity [Figure 15].

**A**



**B**



C

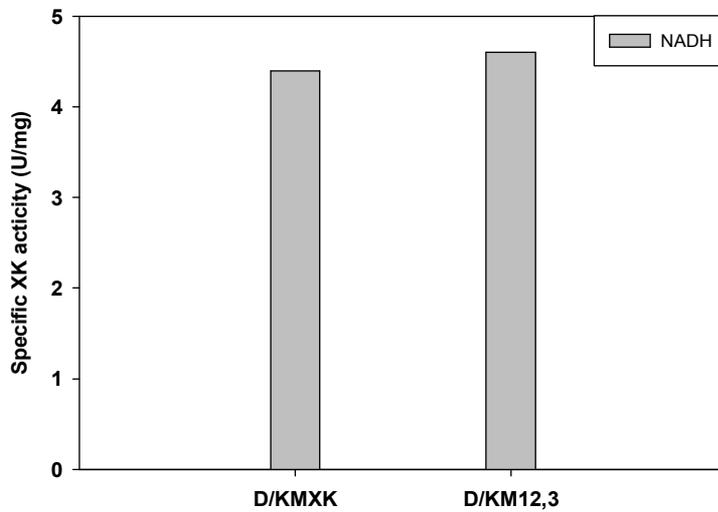
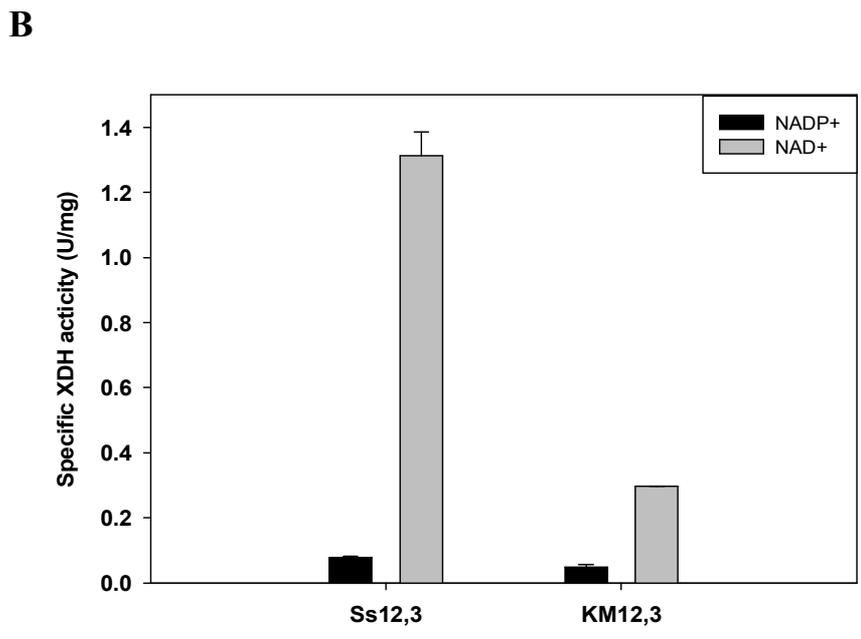
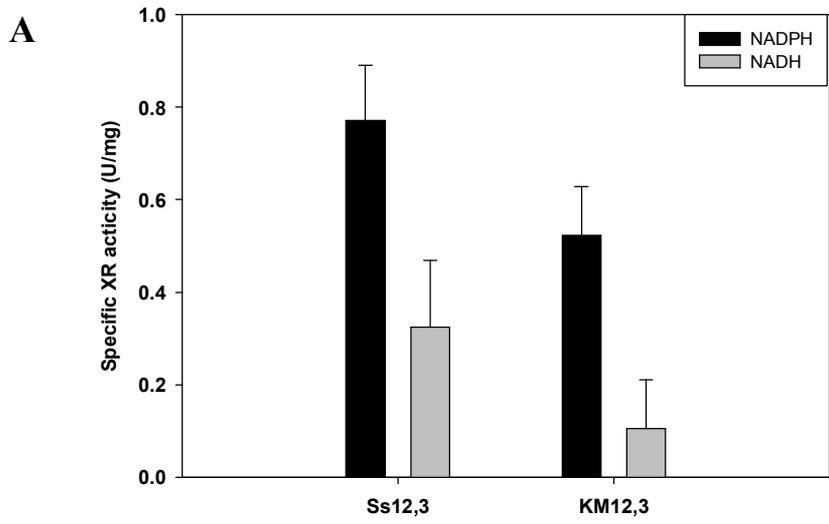


Figure 15. Comparison of the specific activities of single XR (A), XDH (B) and XK (C)

Specific activities of KM12,3 and SS12,3 were shown in figure 16. KM12,3 activities were all lower than those of Ss12,3 .



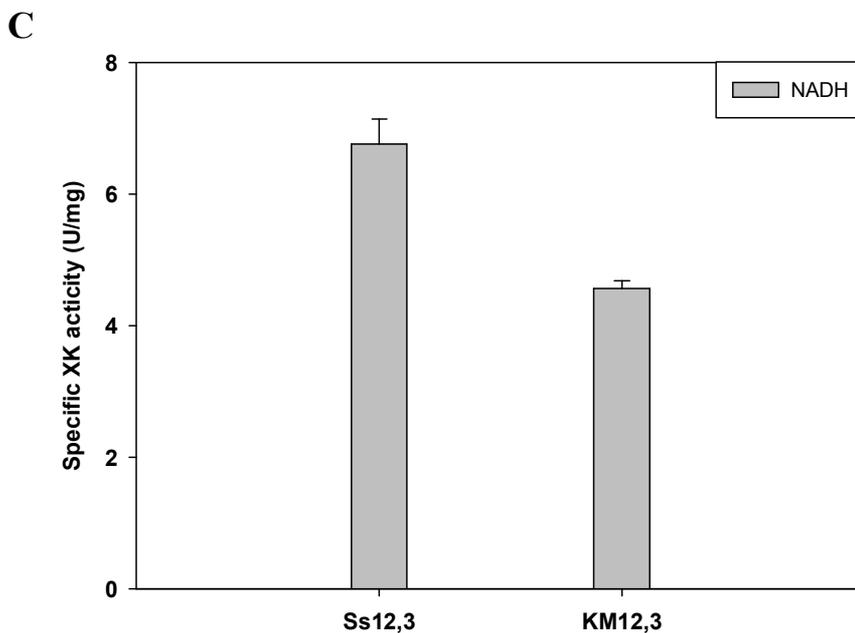


Figure 16. Comparison of the specific activities of XR (A), XDH (B) and XK (C) between *S. cerevisiae* SS12,3 and *S. cerevisiae* KM12,3

## 6.2 Microaerobic fermentation

Microaerobic batch flask fermentations were performed with Both KM12,3 and Ss12,3. YEPD medium containing 4 %(w/v) xylose was used. Figures 17 and Table 6 showed Microaerobic flask batch fermentation profiles and fermentation results.

At 72 h, KM2,3 strain produce 10.1 g/L of ethanol at initial OD : 5, similar with Ss12,3 strain. Also, KM12,3 accumulates more byproduct such as xylitol, glycerol, acetate. These phenomenones might be occurred

by difference of specific activities and cofactor imbalance between NADPH dependent KmXR and NAD<sup>+</sup> dependent KmXDH. As specific activity of KmXDH is much lower than that of SsXDH, xylitol would be more accumulated than in case of Ss12,3.

KmXR was totally dependent in NADPH, supply of NAD<sup>+</sup> was not occurred within KmXR – KmXDH process. NADH was converted to NAD<sup>+</sup> though the pathway of producing Glycerol and this NAD<sup>+</sup> could fulfill depletion of cofactor in expressing KmXDH.[J W Kim 2012]. Acetate was accumulated in cells as fermentation lasted for a long time. Cell growth and xylose metabolism were inhibited over 2 g/L of acetate [S H Lee 2011]. But when Acetaldehyde was converted to acetate, NADPH was produced and it might help working of KmXR, xylose uptake. So, It is possible for Km12,3 to produce more acetate for supplying NADPH.

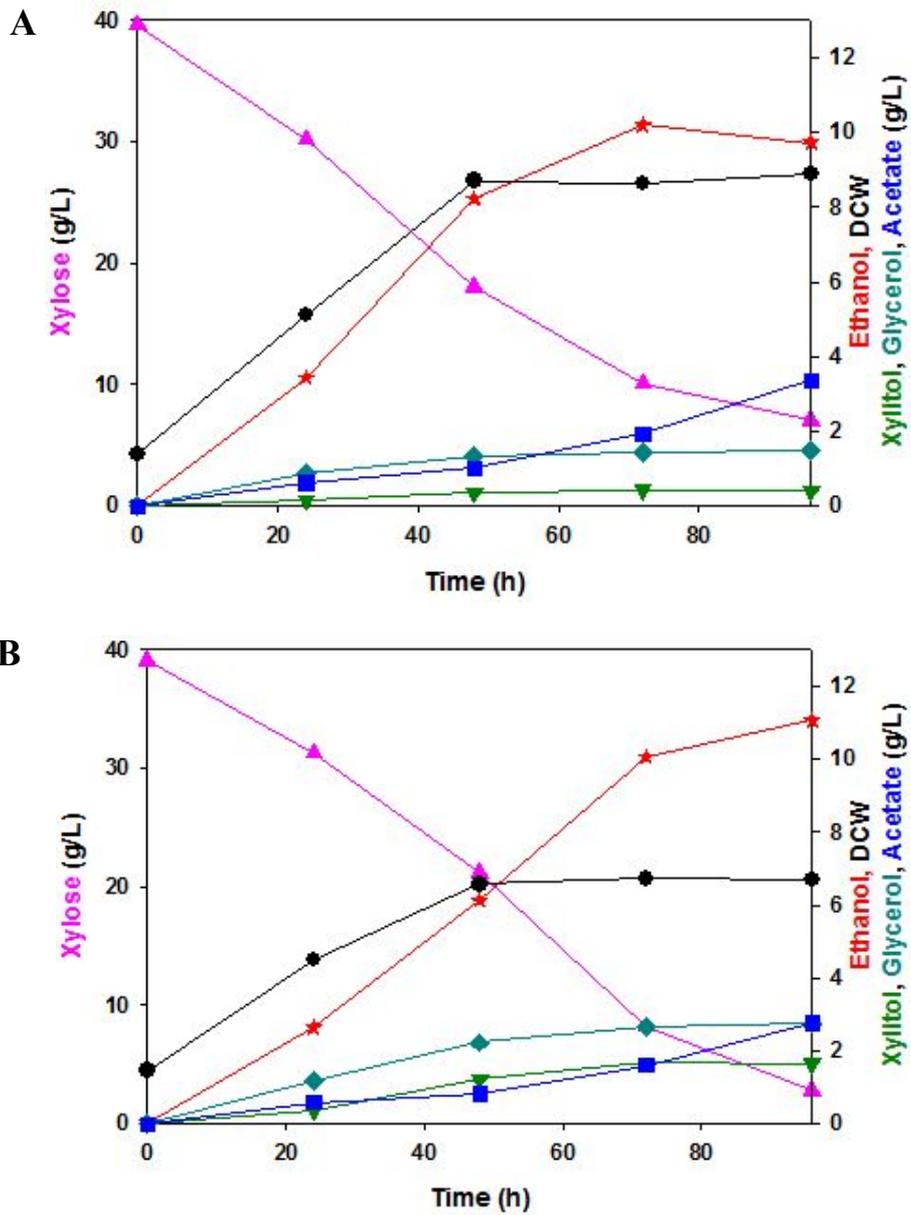


Figure 17. Microaerobic flask batch fermentation profile of SS12,3 (A) and KM12,3 (B) YEPD containing 5 % (w/v) xylose at 30 °C, pH 5.5.

(●: Dry cell weight, ▲: Xylose, ▼: Xylitol,  
 ◆ : Glycerol, ■: Acetate, ★: Ethanol)

Table 6. Summary of microaerobic batch fermentation of SS12,3 and KM12,3 (72 h)

Strains	Xylose consumption rate (g/L-hr)	ethanol concentration (g/L)	Ethanol productivity (g/L-hr)	Ethanol yield (g ethanol /g xylose)	Dry cell mass (g/L)	Xylitol	Glycerol	Acetate
SS12,3	0.41	10.2	0.14	0.35	8.64	0.43	1.45	1.94
KM12,3	0.43	10.1	0.14	0.33	6.72	1.68	2.65	1.60

## 7. Effect of *ALD6* gene disruption on xylose fermentation in recombinant *S. cerevisiae*

### 7.1 Confirmation of *ALD6* gene disruption

The *ALD6* gene was disrupted by introducing linearized pAUR\_d\_ALD6 plasmid by single crossover homologous recombination event. Disruption cassette shown in Figure 15 was transformed into KM12,3 strain.

Disruption of *ALD6* gene was confirmed by diagnostic PCR using appropriate primers (Table 2). Expected-sized PCR product was obtained as shown in Figure 18.

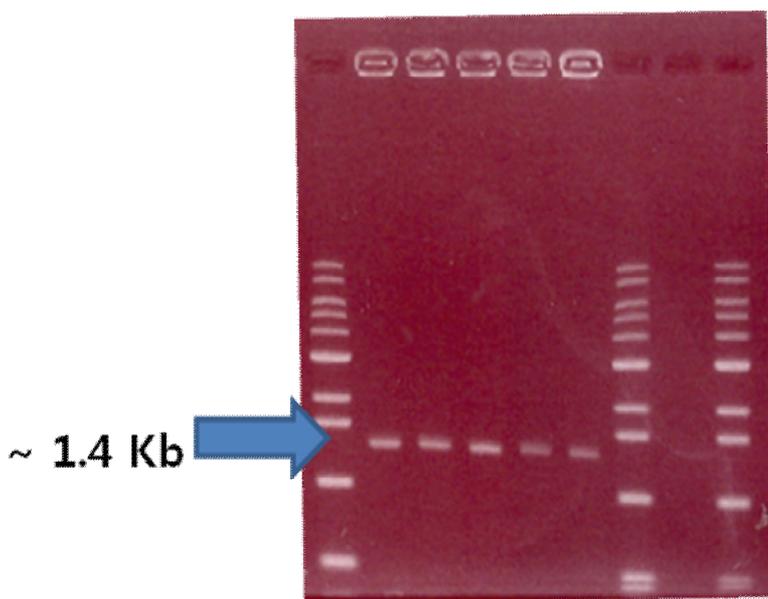


Figure 18. Electrophoresis of diagnostic PCR products.

Lane 1, 2, 3, 4, 5 : KM12,3::  $\Delta$ *ald6*, lane 6 : KM12,3

## 7.2 Microaerobic batch fermentation

The effects of the *ALD6* gene disruption on cell growth and xylose metabolism in recombinant yeast strains: Km12,3 and Km12,3::  $\Delta ald6$  were examined in microaerobic flask batch cultivations. YEPD medium containing 4 % (w/v) xylose was used. Figure 19 showed microaerobic batch fermentation profiles. Table 7 showed comparison of microaerobic batch fermentation data obtained for two strains.

As shown in Table 7, two strains show differences in acetate concentration and cell growth. Accumulation of Acetate was reduced in deletion of *ALD6*, so cell growth of Km12,3:: $\Delta ald6$  would not be inhibited by acetate compared with Km12,3. But rate of xylose consumption and production of ethanol was slightly increased, because Km12,3 already uptakes xylose as much as Km12,3:: $\Delta ald6$  in spite of being acetate. This could be related to supply of NADPH during *ALD6* process. Reduction of acetate also decreased concentration of NADPH and this affected expression of KmXR negatively, thus, it seems that concentration of glycerol in Km12,3:: $\Delta ald6$  was 1.3 folds increased than that of Km12,3 for balancing cofactors.

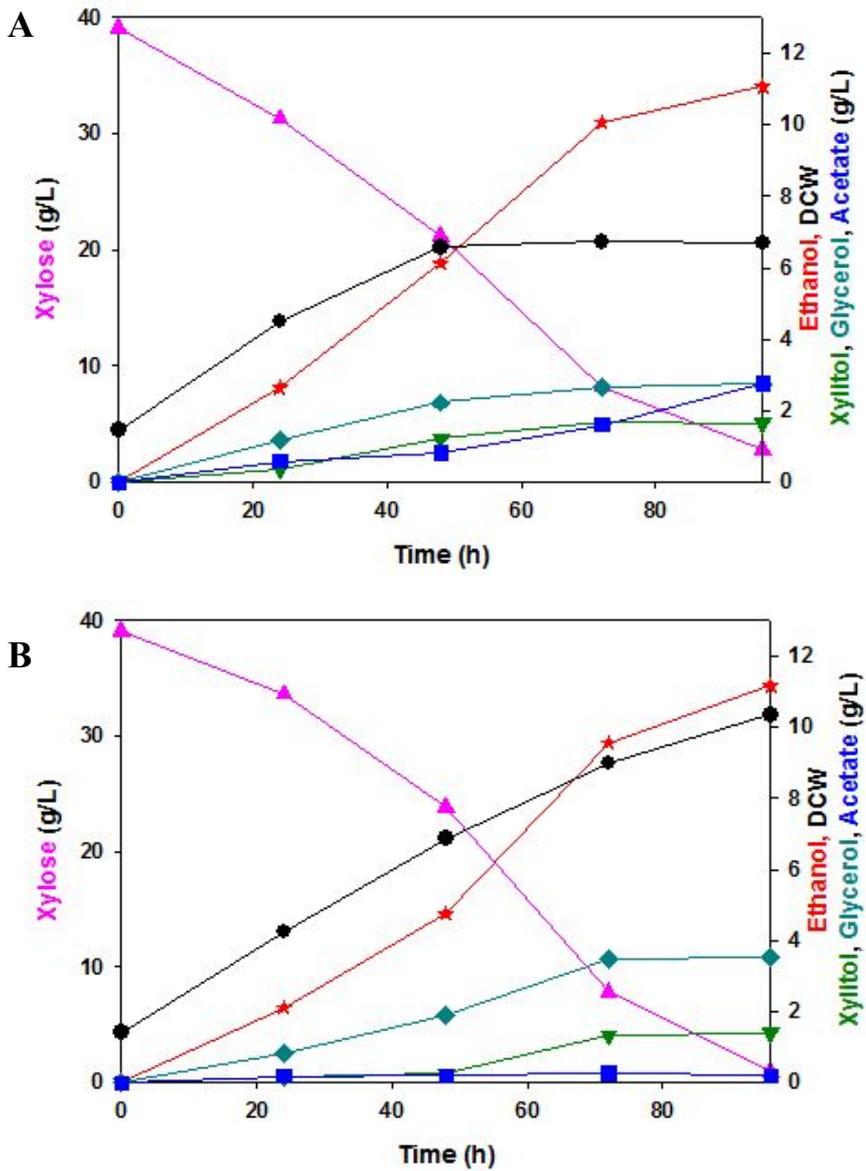


Figure 19. Microaerobic flask batch fermentation profiles of KM12,3 (A) and KM12,3::  $\Delta ald6$  (B) in YEPD containing 4 %(w/v) xylose at 30°C, 80 rpm, initial OD : 5.

(●): Dry cell weight, (▲): Xylose, (▼): Xylitol,

◆ : Glycerol, ■: Acetate, ★: Ethanol)

Table 7. Summary of microaerobic batch fermentation of Km12,3 and Km12,3:: $\Delta ald6$  (96h)

Strains	Xylose consumption rate (g/L-hr)	ethanol concentration (g/L)	Ethanol productivity (g/L-hr)	Ethanol yield (g ethanol /g xylose)	Dry cell mass (g/L)	Xylitol	Glycerol	Acetate
KM12,3	0.38	11.1	0.12	0.31	6.69	1.65	2.74	2.76
Km12,3:: $\Delta ald6$	0.40	11.2	0.12	0.30	10.4	1.41	3.55	0.19

## **8 . Microaerobic batch fermentation in Bioreactor**

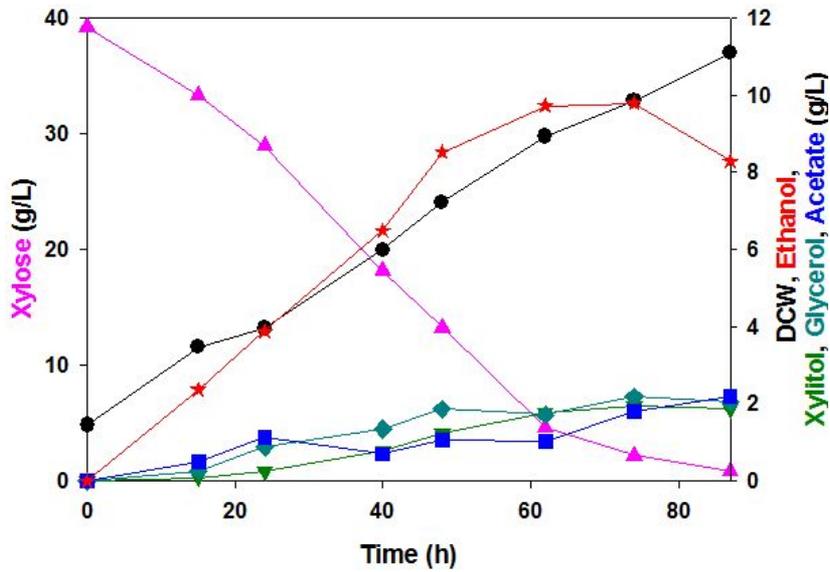
In spite of deletion of *ALD6*, ethanol yield and productivity of Km12,3 was higher than that of Km12,3:: $\Delta$ *ald6*. Finally, Km12,3 examined in microaerobic batch cultivations compared with Ss12,3. YEPD medium containing 4 % (w/v) xylose was used. Figure 20 showed microaerobic batch fermentation profiles and Table 8 showed comparison of microaerobic batch fermentation data obtained for two strains As xylose is depleted in the medium, ethanol was produced from the xylose metabolism. Ethanol wasn't used as a cosubstrate for generation of ATP and NAD(P)H at microaerobic cultivation condition.

At 76 h, KM2,3 strain produce 7,62 g/L of ethanol at initial OD : 5, = 0.8 folds decrease compared with SS12,3 strain. KM12,3 accumulate more byproduct such as xylitol, glycerol, and acetate. These phenomenones might be occurred by difference of specific activities and cofactor imbalance between NADPH dependent KmXR and NAD<sup>+</sup> dependent KmXDH. As specific activity of KmXDH is much lower than that of SsXDH, xylitol would be more accumulated than in case of SS12,3.

KmXR was totally dependent in NADPH, supply of NAD<sup>+</sup> was not occurred within KmXR – KmXDH process. NADH was converted to NAD<sup>+</sup> though the pathway of producing Glycerol and this NAD<sup>+</sup> could

fulfill depletion of cofactor in expressing KmXDH. [J W Kim 2012].

This study produced ethanol from xylose using genes from *K. marxianus* first. Productivity and yield would be more increased through optimizing process and fermentation conditions



A.

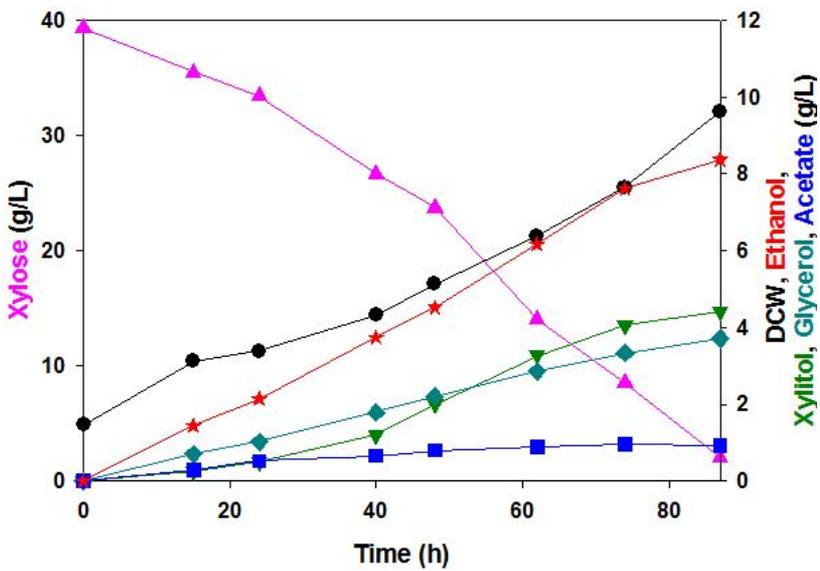


Figure 20. Microaerobic batch fermentation profiles of SS12,3 (A) and KM12,3 (B) in YEPD containing 4 %(w/v) xylose at 30 °C, 200 rpm, 0.3 vvm, initial OD : 5.

(●): Dry cell weight, (▲): Xylose, (▼): Xylitol,  
 (◆) : Glycerol, (■): Acetate, (★): Ethanol

Table 8. Summary of microaerobic batch fermentation of SS12,3 and KM12,3 in bioreactor

Strains	Xylose consumption rate (g/L-hr)	ethanol concentration (g/L)	Ethanol productivity (g/L-hr)	Ethanol yield (g ethanol /g xylose)	Dry cell mass (g/L)	Xylitol	Glycerol	Acetate
SS12,3	0.50	9.76	0.13	0.27	9.87	1.94	1.82	1.82
KM12,3	0.42	7.62	0.10	0.25	7.65	4.06	3.34	0.96

## IV. CONCLUSIONS

This thesis can draw the following conclusions :

- (1) The genes coding for **XR**, **XDH** and **XK** from *K. marxianus* were functionally expressed in *S. cerevisiae*. and the recombinant *S. cerevisiae* strain (KM123) able to produce 10.1 g/L ethanol from xylose using the xylose metabolic pathway of *K. marxianus* was successfully constructed.
- (2) Microaerobic batch fermentation by KM123 resulted in 10.1 g/L final ethanol concentration, 0.33 g ethanol/g xylose ethanol yield and 0.14 g/L·h productivity which are comparable to the values of 10.2 g/L ethanol, 0.35 g ethanol/ g xylose ethanol yield and 0.14 g/L.h ethanol productivity in the strain expressing *Sch. stipitis* XR, XDH and XK (SS123).
- (3) The disruption of the ACD6 gene reduced acetate concentration to 0.2 g/L from 2.8 g/L in KM123 but did not affect the rates of xylose consumption and ethanol production.

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## 국문초록

바이오에탄올은 친환경적이고, 현재 운송 수단에도 바로 이용 가능하여 경제성, 효율성이 우수하므로 화석 연료의 대체에너지로서 주목 받고 있다. Xylose는 목질계에서 포도당에 이어서 2번째로 풍부한 발효 가능한 탄소원이다. 바이오매스로부터 에탄올을 경제적으로 생산하기 위해서는 효율적으로 xylose를 발효할 수 있는 재조합 균주 개발이 반드시 필요하다. *Saccharomyces cerevisiae*는 산업적으로 에탄올 생산 균주로 사용되지만, xylose를 탄소원으로 이용하지 못한다. 이는 xylose를 xylulose로 전환하는 대사활성이 없기 때문이다. 효모에서, xylose는 2종류의 산화환원효소인, NAD(P)H의존적 XR과 NAD<sup>+</sup> 의존적인 XDH에 의해서 xylulose로 전환이 된다. 마지막으로 XK에 의해 xylulose는 xylulose-5-phosphate로 전환이 되며 이것은 5탄당 인산회로에 의해서 이후의 대사가 진행된다.

이 연구는 xylose 대사경로를 자체적으로 갖고 있는 균주 중 *Kluyveromyces marxianus*를 선택하여 관련 유전자들을 얻고, 이들을 *S. cerevisiae*에 도입하여 xylose에서 에탄올을 생산하고자 하였다.

필요한 유전자는 XR을 암호화하는 *XYL1*, XDH를

암호화하는 *XYL2*, XK를 암호화하는 *XYL3*이다. 먼저, *S. cerevisiae* 내에서 *XYL1*, *XYL2*, *XYL3* 각각의 유전자 발현 여부를 확인하였다. *KmXYL1*이 도입된 DX23/KM1에서 *KmXYL1*의 specific activity는 0.48 U/mg이었고, xylose 발효에서 10.5 g/L ethanol을 생산하면서 *KmXYL1*의 발현을 확인할 수 있었다. *KmXYL2*를, 발현이 검증된 *KmXYL1*과 함께 도입한 ISXX/KM12에서 *KmXYL2*의 specific activity는 0.21 U/mg, 9.26 g/L ethanol을 생산했다. *KmXYL3* 역시 발현이 확인된 *KMXYL1*, 2과 함께 도입하여 KM123을 구축했고 *KmXYL3*의 specific activity는 4.6 U/mg, 11.1 g/L ethanol 생성함으로서, *S. cerevisiae* 내에서 *KmXYL1*, 2, 3 유전자가 모두 발현됨을 확인하였다. 따라서, *K. marxianus*의 xylose 대사 경로를 이용해 xylose로부터 에탄올을 생산하는 균주를 성공적으로 구축했다.

이 균주를 현재 많이 이용되는 *Scheffersomyces stipitis* 유래의 *XYL1,2,3*와 비교해 보았다. *K. marxianus*는 NADPH 의존적 XR과 NAD<sup>+</sup> 의존적 XDH 사이에 조효소가 불균형을 이룰 가능성이 높는데, NADPH와 NADH 모두 의존적인 *Sch. stipitis* 유래의 XR과 비교했을 때 xylose 발효에 미치는 영향을 보고자 하였다. specific activity는 XR, XDH, XK 모두 *Sch. stipitis* 유전자들이 더 높았지만, 40 g/L xylose 발효에서

KM123이 약 10.1 g/L의 에탄올을 생성해 Ss123과 유사했고 Ethanol yield는 0.33 ethanol g/xylose g, productivity는 0.14 g/L.h로 역시 유사했다. 조효소의 불균형이 있지만 유전자들 간의 조화가 에탄올 생성에 미치는 영향도 매우 중요하다고 사료된다.

Km12,3에서 에탄올 생산을 증가시키고자 초산을 생성하는 *ALD6* 유전자를 파쇄하였다. (KM12,3::*AALD6*) 이를 미세호기조건에서 발효한 결과 KM12,3::*ΔALD6*가 세포 성장이 좋고 acetate 축적이 눈에 띄게 줄어들었지만, xylose의 소비와 ethanol 생성에는 크게 영향을 미치지 않았다. 이는 KM123 균주 자체로 xylose 소비가 좋았고, *ALD6* 유전자를 파쇄로 NADPH의 생성이 저해되어 XR의 기능에 도움을 주지 못했을 것으로 생각된다.

본 연구에서는 *K. marxianus* 유전자를 이용해 xylose에서 에탄올을 생성하였으며, 표면적인 조효소의 불균형이 있더라도 에탄올을 생성할 수 있음을 분명하게 보여주었고 이는 유전자들 간의 조화가 다른 중요한 요소이기 때문으로 사료된다.

주요어 : 바이오에탄올, xylose, KmXR, KmXDH, KmXK, *ScALD6*,  
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## 감사의 글

먼저 대학교 4년에 이어 대학원 2년을 마칠 때까지 한결 같은 모습으로 저를 뒷바라지 해주신 부모님께 가장 먼저 감사의 말씀을 드리고 싶습니다. 제 선택과 행동에 대해 항상 응원해주시고 지지해주신 부모님의 은혜는 평생 갚아도 지나치지 않을 것 입니다. 또 다른 시작을 하는 딸의 모습을, 이제는 조금이나마 마음을 놓으시고 지켜봐 주시길 바랍니다.

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실험실의 최고 언니인 수정언니, 실험하느라 너무 고생 많으신데 좋은 결과 있기를 바라고 하반기엔 좀 여유가 생기셨으면 좋겠습니다. 요즘 연애에 푹 빠지신 상민오빠, 얼굴 많이 피신 것 같습니다. 좋은 소식으로 이어지길 기대할게요. 한창 신혼이신 이현언니, 가정과 실험 모두 챙기느라 힘드실텐데, 언니는 현명하시니깐 들 다 잘 하실

꺼예요. 제 석사 생활동안 방장이셨던 영욱오빠, 꼭 여자친구 응원하셨습니다. 사수이신 진우오빠, 이것 저것 물어봐도 다 받아주시고 알려주셔서 너무 고마웠고 감사했습니다. 실험 잘 마무리 되어서 졸업 준비 잘 되었으면 좋겠습니다. SRC에서 동거동락했던 선기오빠, 실험도 방장일도 너무 잘하셔서 역시 선기오빠구나 합니다. 오빠와의 인연도 참 오래되었는데 항상 응원할게요. 동기이지 선배인 정현오빠, 학부 때보다 좀 더 친해진 것 같아 좋았습니다. 오빠한테 실험적으로 많은 도움을 얻었습니다. 감사해요. 제일 달달한 신혼을 보내고 계신 형로오빠, 미국가게 되신 거 다시 한 번 축하드리고, 새로운 생활에 잘 적응하실거라 믿습니다.

SPC의 또 다른 동거동락자 효란아, 항상 웃는 얼굴로 웃은 일 마다 앓고 해줘서 너무 고마웠어. 언니로서 더 잘해주지 못해 항상 미안했고 맛난 거 함 먹자. 피부미인 민지야, 8층에 있을 때는 교류가 별로 없었는데 그래도 마지막 학기에 같이 지내서 참 좋았어. 효란이랑 같이 2년 차인데도 막내일 하느라 너무 고생이 많았는데, 민지랑도 맛난 거 먹어야지. 같은 동네 주민 인영이, 실험할 땐 똑부러진데 다른 데는 약간 허당인 모습이 진짜 매력적이야. 많은 일을 맡아서 힘들었을 텐데 잘 마무리하길 응원할게.

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2년 동안 많은 가르침을 준 선생님과 선, 후배님들 다시 한 번 감사드립니다. 항상 좋은 일만 가득하길 기원하겠습니다.