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공학박사 학위논문

**Catalytic Depolymerization of  
Lignin to Value-added Chemicals  
in Supercritical Ethanol**

초임계 에탄올에서 고부가가치 화합물 생산을  
위한 리그닌의 촉매적 분해반응

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## **Abstract**

# **Catalytic Depolymerization of Lignin to Value-added Chemicals in Supercritical Ethanol**

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Finding alternative resources is inevitable as the conventional fossil fuels are rapidly depleted due to the increasing demand. In this respect, biomass is the most abundant renewable carbon resources so that it is considered as one of the promising alternative chemicals. Especially, lignocellulosic biomass, the second-generation biomass does not have a ‘food vs. fuel’ issue like the first-generation biomass. Therefore, the supply of lignocellulosic biomass is relatively easy compared with the other resources. Especially, lignin, one of the constituents of lignocellulosic biomass, is an amorphous co-polymer consisting of various aromatic compounds, cross-linked by C-C and C-O bonds. Therefore, it has considerable potential to be used as value-added chemicals. Meanwhile, the amount of C-O bonds in lignin such as  $\beta$ -O-4 (46 ~ 60 %),  $\alpha$ -O-4 (6 ~ 8 %) and 4-O-5 (3.5 ~ 6.5 %) is much more than that of C-C bonds in lignin such as

$\beta$ - $\beta$  (7 %),  $\beta$ -5 (6 ~ 12 %),  $\beta$ -1 (4.5 ~11 %) and 5-5 (2 ~3 %). Hence, the selective cleavage of C-O bonds in lignin is considered as an essential process to depolymerize lignin into value-added chemicals.

For the decades, various thermochemical processes such as pyrolysis, gasification, catalytic cracking and solvolysis have been applied for depolymerization lignin in order to get the high yield of value-added chemicals. However, the recalcitrant structures of lignin make it hard to be converted into value-added chemicals. Meanwhile, solvolysis has gained attention as one of the promising techniques because yield of products is relatively high and the better quality of products can be obtained by using solvolysis compared with the others. Especially, solvolysis by using alcohol solvents such as methanol or ethanol receives more attention because *in-situ* hydrogen is generated from supercritical alcohol, which is essential to cleave C-O bonds. Also, the combination of catalyst and supercritical ethanol is recently applied to the depolymerization of lignin since the catalyst is able to selectively cleave C-O bonds.

In this work, catalytic conversion in supercritical ethanol was conducted to explore i) reaction pathway of benzyl phenyl ether, containing  $\alpha$ -O-4 bonds ii) depolymerization of real lignin sources (concentrated strong acid hydrolysis lignin; CSAHL, Protobind lignin; PL) without supplying external hydrogen.

At first, model compound reaction was conducted by using benzyl phenyl ether (BPE, containing  $\alpha$ -O-4 bonds) in supercritical ethanol. The yield of

phenol and toluene over carbon-supported catalysts was two times higher than that of phenol and toluene over  $\text{Al}_2\text{O}_3$ -supported catalysts. In addition, Ru catalysts demonstrated the maximum yield of phenol and toluene of 96.4 % among the three different metal catalysts (Ru, Pt and Ni). It was ascribed to the small particle size (about 1.5 nm) with highly dispersed phases. Meanwhile, both the amount of generated  $\text{H}_2$  and the amount of alkylated phenols were the highest in supercritical ethanol over 5 wt.% Pt/C among the catalysts, implying that the catalyst promoted alkylation more abundantly.

Secondly, the concentrated strong acid hydrolysis lignin (CSAHL) was used for depolymerization lignin in supercritical ethanol over various catalysts. In case of  $\text{MO}(30)\text{MgAlO}_y$  ( $\text{M}=\text{Co}$ ,  $\text{Ni}$ , and  $\text{Cu}$ ) catalysts,  $\text{CuO}(30)\text{MgAlO}_y$  revealed the highest yield of monoaromatic compounds of 18.4 wt.% because the amount of  $\text{NH}_3$  desorbed from the catalyst was the highest among the catalysts. It can be concluded that acid sites is a critical factor for depolymerization of lignin to produce monoaromatic compounds. Meanwhile, as the amount of Cu loading was changed from 10 wt.% to 40 wt.% in  $\text{CuO}(X)\text{MgAlO}_y$ , the trend of the yield of monoaromatic compounds showed a volcano shape. Thus, the maximum yield of monoaromatic compounds displayed in  $\text{CuO}(30)\text{MgAlO}_y$ , resulting from the highest number of acid sites. When the amount of Cu loading exceeded over 30 wt.%, the number of acid sites decreased, leading to the decrement of the yield of monoaromatic compounds.

The depolymerization of Protobind lignin was conducted in supercritical ethanol over various parameters based on ZSM-5 zeolites such as types of transition metals (Co, Ni and Cu), the amount of Cu loading (5 wt.%, 10 wt.% and 30 wt.%) and the Si/Al<sub>2</sub> ratio (30, 50, 80 and 200). As a result, 10 wt.% Cu/ZSM-5(30) showed the highest yield of monoaromatic compounds of 98.2 wt.% due to the highest acid density (3.2 mmol/m<sup>2</sup>). As the acid density increased, the yield of monoaromatic compounds increased as well. Thus, the linear correlation between the acid density and the yield of monoaromatic compounds was confirmed. On the basis of the results, it can be summarized that the acid density played a key role in depolymerizing Protobind lignin to convert monoaromatic compounds. Meanwhile, through HSQC NMR analysis, it was identified that depolymerization of Protobind lignin was conducted via hydrogenolysis. Moreover, HSQC NMR signals corresponding to ethylated products were observed with weak intensity, which is consistent with the product analysis. It was also found that the addition of Cu metal plays a promotional role in enhancing the lignin depolymerization.

**Keywords:** Catalytic depolymerization of lignin, Supercritical ethanol, *in-situ* hydrogen, acid site

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# Chapter 1. Introduction

## 1.1 Biomass as a renewable source

Biomass is the most abundant renewable carbon resources so that it is considered as one of the promising alternative chemicals [1, 2]. Because of the depletion of fossil fuels, the production of chemicals from biomass is inevitable [3]. Especially, lignin has significant potential as a good feedstock for the production of valuable chemicals such as BTX, phenols and other aromatics [4, 5]. Therefore, a large amount of bulk and fine chemicals such as aromatic compounds can be acquired from lignin. Indeed, various researches on the production of chemicals from lignin are widely reported. Singh *et al.* reported on the production of substituted phenols and aromatic ethers from Asian lignin via hydrothermal liquefaction [4]. Rajappagowda *et al.* described the selective liquefaction of lignin to value-added phenolic monomers [6].

## 1.2 Structure of lignin and linkages

Lignocellulosic biomass typically consists of three major components, cellulose, hemicellulose and lignin as shown in Figure 1-1 [7]. Lignin constitutes about 15-35 % of the dry weight lignocellulosic biomass [8]. It fills the spaces between cellulose and hemicellulose to retain strength of lignocellulose matrices. It also leads to structural rigidity. Depending on the types of wood, the lignin content in lignocellulosic biomass varies between 17-33 wt.% [9]. The amount of lignin in a plant is followed by softwood > hardwood > grasses. The content of lignin in softwood is about 27-33 wt.%, that of lignin in hardwood is about 18-50 wt.% and that of lignin in grasses is about 17-24 wt.% [10]. Lignin, a randomly cross-linked polymer by using coumaryl (hydroxyphenol unit), coniferyl (guaiacyl unit) and sinapyl alcohols (sinapyl unit), is a three-dimensional amorphous polymer consisting of polyaromatic compounds displayed in Figure 1-2. Meanwhile, the composition of the units in lignin is depending on the wood types. In other words, softwood lignin is composed of 90-95 % of coniferyl units and the others are sinapyl units. Hardwood lignin consists of the equal amount of coniferyl and sinapyl units. In case of grasses, the amount of coniferyl units is 75 %, that of sinapyl is 25% and coumaryl is 5 % [11-13].

Building blocks of lignin are mainly linked by ether bonds (C-O) and carbon-carbon bonds (C-C) such as  $\beta$ -O-4,  $\alpha$ -O-4, 4-O-5,  $\beta$ -5,  $\beta$ -1,  $\beta$ - $\beta$  and 5-5 bonds and their properties and schematic structures are displayed in Table 1-1 and Figure 1-3. The composition of these linkages in lignin varies with the types of wood [14]. Especially, among various ether bonds,  $\beta$ -O-4 is a representative one included in all lignins. In case of hardwood, it contains about 60 % and does in softwood as 40 % [15].

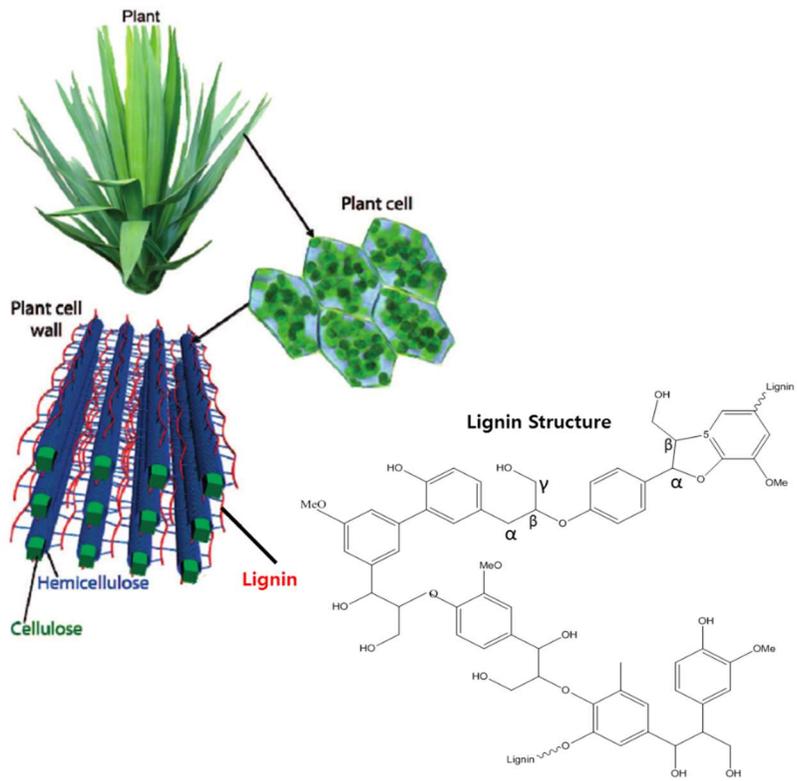


Figure 1-1. Schematic structure of lignin in lignocellulosic biomass [7].

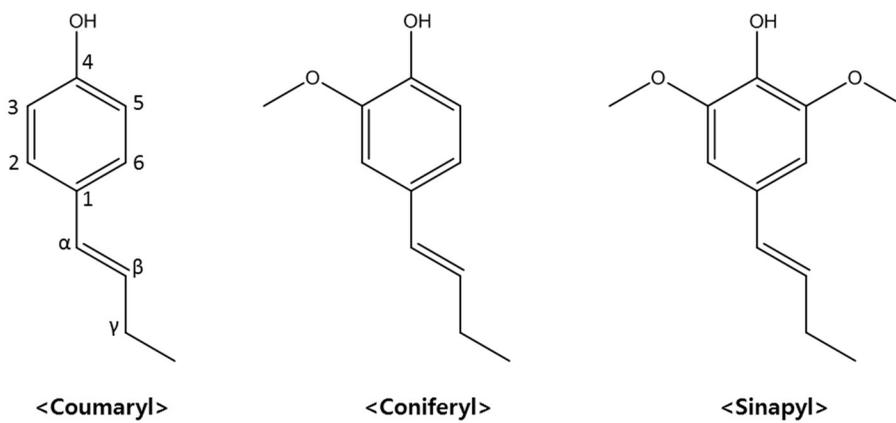


Figure 1-2. Chemical structure of three primary monomers of lignin [10].

Table 1-1. Proportion of interunit linkages in lignin [15]

Linkages	Softwood (%)	Hardwood (%)
$\beta$ -O-4	46	60
$\alpha$ -O-4	6-8	6-8
4-O-5	3.5-4	6.5
$\beta$ -5	9-12	6
$\beta$ -1	9.5-11	4.5
$\beta$ - $\beta$	7	7
5-5	2	3
Others	13	5

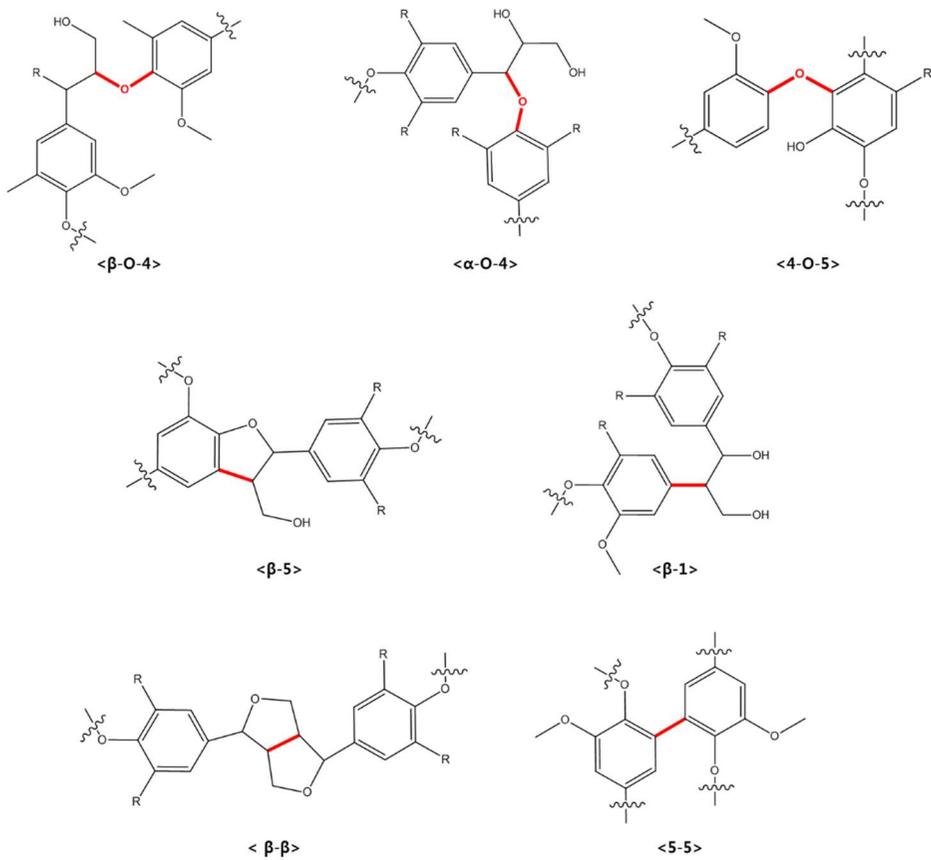


Figure 1-3. Schematic structure of various linkages of lignin [10].

### **1.3 Valorization of lignin**

In pulp industry, lignin is produced about 95 % of every year and it is mostly converted into heat and power sources only [16, 17]. In this respect, as the consideration of the depletion of the fossil fuels, lignin has great potential to be used as a renewable source for value-added chemicals.

For the several decades, the valorization of lignin into chemicals has been developed by using thermochemical processes: gasification, pyrolysis, catalytic cracking, hydrotreatment and solvolysis [2, 14, 18-21]. Figure 1-4 displays these various thermochemical processes depending on temperature and pressure [22]. Gasification and pyrolysis are considered as the easiest ways to convert lignin into chemicals. Meanwhile, hydrotreatment method is another attractive one because it can easily remove ether linkages in lignin subunits so that monoaromatic compounds can be produced [22]. The other attractive method is solvolysis. It is because lignin can be converted into value-added chemicals under relatively lower temperature compared with pyrolysis and gasification. Also, it is useful for preventing repolymerization reaction and reverse reaction [23, 24]. However, it is inevitable to find more efficient ways to get high yield and selectivity of chemicals. For an alternative, catalytic cracking has been widely used for lignin depolymerization because lignin can

be cleaved by applying appropriately selected catalysts [25]. In this respect, the use of this method would be the best way to obtain the high yield of value-added chemicals.

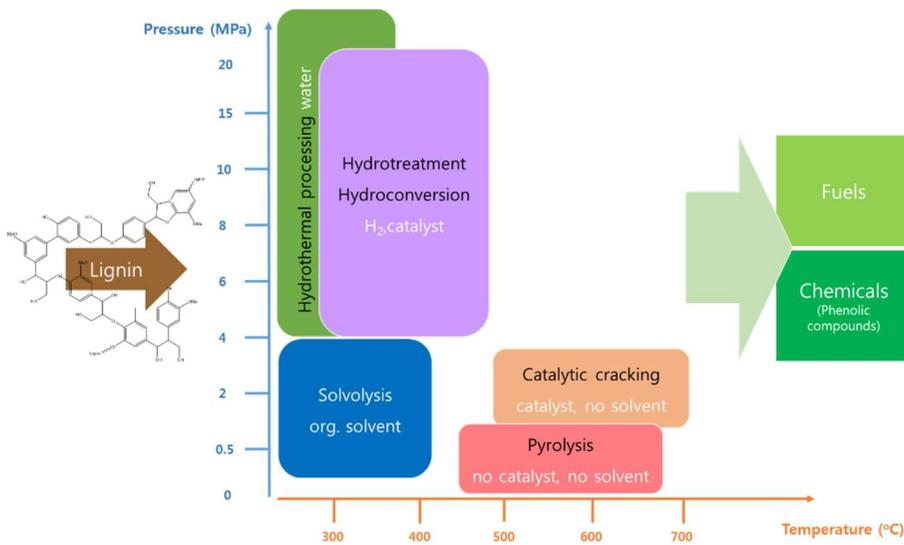


Figure 1-4. Thermochemical processes and (P,T) parameters range for lignin valorization into chemicals [22].

## **1.4 Catalytic depolymerization of lignin in supercritical fluid**

The difficulty of conversion of lignin into value-added chemicals originates from its recalcitrant structure and lots of ether linkages. Therefore, the selective cleavage of C-O bonds is very important to get the high yield of chemicals. To cleave C-O bonds more efficiently, gaseous H<sub>2</sub> is necessary. However, there has been a drawback that gaseous H<sub>2</sub> should be externally supplied. To solve this, catalytic depolymerization of lignin in supercritical fluid has been studied (Figure 1-5). More details will be described in the following section.

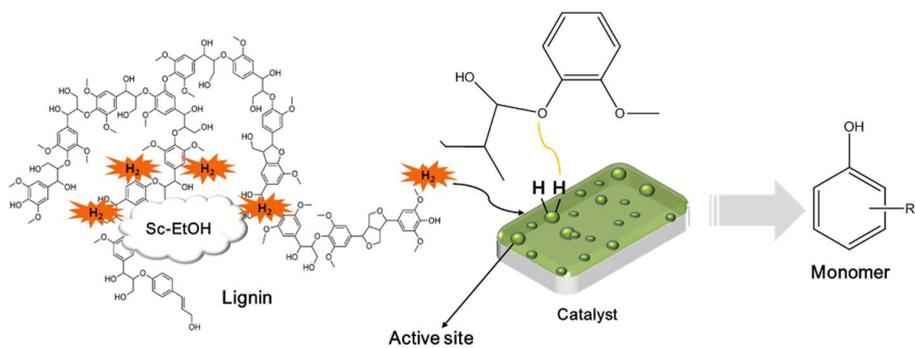
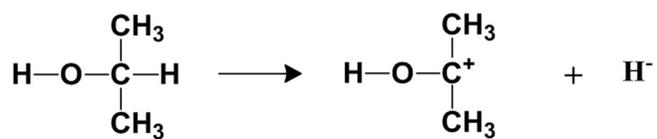


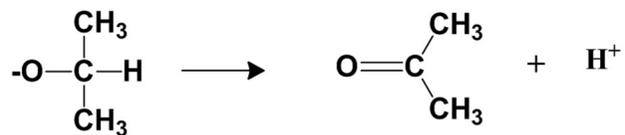
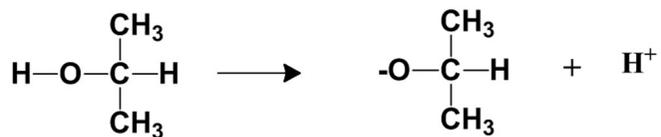
Figure 1-5. Catalytic depolymerization of lignin in supercritical ethanol without supplying external hydrogen.

### 1.4.1 Supercritical fluids (*in-situ* Hydrogen)

In solvolysis, the solvent typically plays a critical role in obtaining desirable yield and properties. Among various solvents such as water and alcohols (methanol, ethanol and propanol), especially, supercritical alcohol solvents have studied as potential reaction media [26-28]. It is because they can produce *in-situ* hydrogen in supercritical condition. In other words, supercritical alcohol can act not only as a reaction medium but also as a reactant [29]. The mechanism on generation of hydrogen from supercritical alcohol is reported in previous studies (Scheme 1). The first mechanism suggests that  $\alpha$ -hydrogen is donated in the form of hydride and electron-deficient species. Another mechanism, similar to the Meerwein-Ponndorf reduction, is composed of alcohol reduction that results in alkoxide ion and proton transfer and then induces  $\alpha$ -hydrogen donation and ketone formation [26, 30].



Nakagawa et al [26].



Ross et al [30].

Scheme 1-1. Hydrogen donation mechanisms [26, 30].

### 1.4.2 Porous metal oxides (PMOs)

Porous metal oxides (PMOs) derived from hydrotalcite-like materials are known for effective catalysts for lignin depolymerization because of no or less formation of char [20]. Generally, they can be synthesized by changing the Mg/Al molar ratio and it can lead to the change of the basicity and acidity of the catalyst [31, 32]. This property is also attractive for lignin depolymerization. Huang *et al.* has reported on the relation between the ratio of (Cu+Mg)/Al and lignin depolymerization [33]. Meanwhile, by substituting  $Mg^{2+}$  to another ion such as  $Cu^{2+}$ , the property of porous metal oxides (PMOs) can be changed as well; alcohol reforming is catalyzed by using Cu-doped porous metal oxides [34]. Indeed, hydrogen produced from alcohol reforming is used for cleavage of the aromatic ether bonds and further deoxygenation [35].

### **1.4.3 Zeolites**

Zeolites have been proven as efficient catalysts for aromatization of (non)oxygenated feedstocks so that they can be applied for biomass conversion such as lignin depolymerization [36, 37]. Zeolites have their own properties such as great hydrothermal stability, porous structure and controllable acidity, which make them more promising candidate for lignin depolymerization. Especially, ZSM-5 zeolites are known for that they have ideal pore size and acidity for aromatization and catalytic cracking so they are indeed widely used for bio-oil production from biomass [37, 38]. Many studies by using ZSM-5 for lignin depolymerization have been widely reported [39, 40].

## 1.5 Objectives

Finding alternative chemicals has become more imperative because fossil fuels are depleted. In this respect, biomass conversion from lignin is one of the promising candidates for sustainable resources because lignin has many carbon-based linkages (C-O-C and C-C). The key for lignin depolymerization is closely related to the cleavage of ether linkages in lignin. The cleavage of ether linkages basically requires gaseous hydrogen, which is typically supplied from external site. For the supply of external hydrogen, infra-structure is necessary.

In this regard, the objective of this thesis is to design a catalytic depolymerization system in supercritical ethanol, develop highly effective catalysts, perform lignin depolymerization by using self-generated hydrogen (*in-situ* hydrogen) and understand the role of the various catalysts.

The reaction by using lignin model compound was conducted to understand reaction pathway in supercritical ethanol. Design and synthesis of various catalysts for lignin depolymerization were studied. On the basis of the experiment results, the role of the catalysts had been demonstrated by using various techniques.

# **Chapter 2. Understanding the catalytic cleavage of C-O linkages in benzyl phenyl ether by using carbon-supported catalysts in supercritical ethanol**

## **2.1 Introduction**

Lignin is a three-dimensional amorphous co-polymer, composed of methoxylated phenylpropane structures such as coniferyl,  $p$ -coumaryl and sinapyl alcohols [11, 41]. Its complex and recalcitrant structure makes lignin hard to cleave into oligomers and/or monomers [42, 43]. Therefore, the selective cleavage of C-O bonds in lignin remains a big challenge. Many methods such as pyrolysis, catalysis and solvolysis have been applied for lignin depolymerization [44-46]. Especially, solvolysis by using alcohol medium such as methanol or ethanol receives more attention because it is possible to conduct delignification without supplying additional hydrogen gas [47]. Indeed, Güvenatam *et al.* has conducted lignin depolymerization in supercritical ethanol without the addition of external hydrogen gas, stating that lignin conversion in supercritical ethanol leads to high yield of monomers [48]. Catalytic cracking can be also attractive process for the selective cleavage of lignin because the reaction is able to be controlled by selecting appropriate

catalyst [19, 49]. Kim *et al.* has claimed that the catalytic depolymerization activity is determined by the amount of acid sites on the catalyst [50].

Meanwhile, among various catalyst supports, carbon materials have been greatly considered as one of the promising catalyst supports because of excellent textural property, highly resistant to acidic and basic environments and relative chemical inertness [51-53]. Indeed, there have been many studies on lignin depolymerization using carbon supported catalyst [54]. Klein *et al.* has studied about the effect of metal loadings on carbon support, types of biomass and their origins of biomass sources on the lignin depolymerization [55]. Song *et al.* has reported on the catalytic conversion of lignosulfonate lignin into phenols by using various catalysts (mainly focused on carbon supported catalysts) [56].

C-O bonds containing  $\alpha$ -O-4,  $\beta$ -O-4 and 4-O-5 linkages, are the most abundant types in lignin [15].  $\alpha$ -O-4 linkages are the lowest bond dissociation energy of the aliphatic C-O bonds (218 kJ/mol) so that it is the most active and thermally unstable [57, 58]. Understanding the mechanism of cleavage of C-O bonds is of practical importance to utilize lignin, so benzyl phenyl ether (BPE) containing the chemical linkages which is similar to lignin, is selected as the model compound.

Most of previous researches on BPE concerned about the catalytic effect while a few researches addressed the effect of solvents [59-61]. However, the studies on cleavage of C-O bonds which is performed in the presence of both supercritical fluid and catalyst are not unequivocally established. Therefore, the aim of the study is to investigate the carbon-supported catalysts with various metals (Ru, Pt and Ni) and suggest reaction pathways of decomposition of BPE in the reaction system of supercritical ethanol and catalyst in the absence of external hydrogen.

## 2.2 Experimental

### 2.2.1 Chemicals

Lignin model compounds, namely benzyl phenyl ether (BPE, Alfa Aesar, 97 %) and phenol (Sigma-Aldrich,  $\geq 99.5$  %) were used as received. For carbon-supported catalysts, 5 wt.% Pt/C and 5 wt.% Ru/C were purchased from Alfa Aesar and used after drying. For synthesis of 10 wt.% Ni/C,  $\text{Ni}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$  (Alfa Aesar) and activated charcoal (Sigma-Aldrich) were used. In case of  $\text{Al}_2\text{O}_3$ -supported catalysts,  $\text{Al}_2\text{O}_3$  support was obtained from Sasol Company.  $\text{Pt}(\text{NH}_3)_4 \cdot (\text{NO}_3)_2$  (Sigma-Aldrich),  $\text{RuCl}_2 \cdot x\text{H}_2\text{O}$  (Alfa-Aesar) and  $\text{Ni}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$  (Alfa Aesar) were used for metal precursors. Ethanol (Sigma-

Aldrich, 200 proof, ACS reagent,  $\geq 99.5\%$ ) was selected as a reaction medium for the decomposition of model compounds in supercritical condition.

### **2.2.2 Catalyst preparation**

All catalysts were prepared by applying wet impregnation method. Briefly, metal precursor was dissolved in distilled water and added into support material. After impregnation in a rotary evaporator, the catalyst was dried in an oven overnight and calcined at 460 °C for 2 h over Ru and Ni catalysts and at 500 °C for 2 h over Pt catalyst, respectively while flowing nitrogen. The calcined catalysts were then reduced at 150 °C for Ru catalyst, 460 °C for Ni catalyst and 500 °C for Pt catalyst for 2 h in 15% H<sub>2</sub>/N<sub>2</sub> condition prior to the reaction.

### **2.2.3 Characterization**

The X-ray diffraction (XRD) patterns were obtained by a powder X-ray diffractometer with Cu K $\alpha$  radiation (Rigaku Corp.) in an operating mode of 40 kV and 30 mA. The scan step was fixed as 0.02 degree in the range of 10-90 degree. Transmission electron microscope (TEM, JEM-2100F, 200 kV) was applied in order to measure particle size in the sample. The solution prepared by dispersing small amount of catalysts in ethanol was dropped on a carbon-coated Au grid and dried at room temperature.

#### 2.2.4 Experimental setup and procedure

50 mL stainless-steel autoclave was used for benzyl phenyl ether (BPE) decomposition reaction. 0.5 g of BPE and 25 mL of ethanol were charged into the autoclave. Then, 0.2 g of catalyst was loaded into the designed basket (stainless-steel, 500 mesh). The reactor was purged with nitrogen to remove air. Prior to the reaction, nitrogen gas was pressurized to a total pressure 10 bar at room temperature. The autoclave was heated to 270 °C (12 °C/min) while stirring at 500 rpm for 3 h. After the reaction, the reactor was quickly quenched to below 130 °C by using in an ice water. In addition, model compound reaction using phenol as reactant was also identical to previous procedures. The conversion and the selectivity were calculated based on analyzed products and the equations were followed by:

$$X \text{ for reactant (\%)} = \frac{(\text{moles of reactant}) \text{ reacted}}{(\text{moles of reactant}) \text{ in}} \times 100 \quad (1)$$

$$S \text{ for aromatics (\%)} = \frac{(\text{moles of products}) \text{ formed}}{(\text{moles of reactant}) \text{ in}} \times 100 \quad (2)$$

$$Y \text{ for aromatics (\%)} = (X \times S) \times 100 \quad (3)$$

#### 2.2.5 Product analysis

GC-MS (Agilent 6890N, DB-5ms, 30 m x 0.25 mm x 0.25 µm) and GC-FID (Agilent 6890A, DB-5, 60 m x 0.25 mm x 0.25 µm) analysis of liquid product was conducted without any dilution. Fluoranthene was used as an

internal standard (ISTD). The injector and detector temperatures were 310 °C and 320 °C, respectively. The initial oven temperature of the GC was 50 °C for 5 min and the temperature was programmed to increase up to 90 °C (10 °C/min), followed by an increase up to 130 °C (1 °C/min), 220 °C (5 °C/min) and 325 °C (10 °C/min), and then maintained at 325 °C for 10 min. The gaseous product was analyzed by GC to identify and quantify H<sub>2</sub>. GC-TCD (Agilent 6890N, Carboxen 1000, 30 m x 0.53 mm x 0.25 μm) was used for analysis. The initial oven temperature was 35 °C for 2 min and the oven was heated to 250 °C at a rate of 10 °C/min for 1 min. The injector temperature was 183 °C and the detector temperature was 250 °C.

## **2.3 Results and discussion**

### **2.3.1 Decomposition of BPE in supercritical ethanol**

The reaction pathways for decomposition of BPE are displayed in Figure 2-1. By cleaving C-O bonds in BPE, aromatic compounds such as toluene and phenol are mainly produced in addition to alkylated phenols (2-ethylphenol and 4-ethylphenol) and other dimers (2-phenylmethyl-phenol and dibenzyl ether) are also formed as by-products. In this work, the decomposition of BPE was performed in supercritical ethanol at 270 °C for 3 h over metal-based catalysts either supported on Al<sub>2</sub>O<sub>3</sub> (5 wt.% Ru, 5 wt.% Pt and 10 wt.% Ni) or on carbon

(5 wt.% Ru, 5wt.% Pt and 10 wt.% Ni). As described in Table 2-1, among Al<sub>2</sub>O<sub>3</sub>-supported catalysts, 5 wt.% Pt/Al<sub>2</sub>O<sub>3</sub> shows the highest conversion of BPE (88.2 %), while, the lowest selectivity (23.5 %) for phenol and toluene, leading to the lowest yield of phenol and toluene as 20.7 %. Meanwhile, the conversion of BPE over 5 wt.% Ru/Al<sub>2</sub>O<sub>3</sub> is slightly higher than that of BPE over 10 wt.% Ni/Al<sub>2</sub>O<sub>3</sub>. The selectivity for phenol and toluene over the former is 57.8 % and the latter is 53.9 %, therefore, the yield of phenol and toluene over 5 wt.% Ru/Al<sub>2</sub>O<sub>3</sub> is much higher than that for phenol and toluene over 10 wt.% Ni/Al<sub>2</sub>O<sub>3</sub> as 46.1 % and 42.3 %, respectively. Although the conversion of BPE over Al<sub>2</sub>O<sub>3</sub>-supported catalysts is significantly high, the selectivity and the yield of phenol and toluene are relatively low. This can be explained by the high selectivity of dimers such as 2-phenylmethyl-phenol and dibenzyl ether as shown in Figure 2-2.

In case of carbon-supported catalysts, the conversion of BPE over the catalysts increases in the order of 10 wt.% Ni/C (88.7 %) < 5 wt.% Pt/C (93.2 %) < 5 wt.% Ru/C (93.7 %). The selectivity and the yield of phenol and toluene over the catalysts are almost two times higher than those for phenol and toluene over Al<sub>2</sub>O<sub>3</sub>-supported catalysts. Note that dimer products, which were produced over Al<sub>2</sub>O<sub>3</sub>-supported catalysts are not observed over carbon-supported catalysts as shown in Figure 2-3. Therefore, it can be concluded that carbon-

supported catalysts are more suitable for decomposition of BPE than Al<sub>2</sub>O<sub>3</sub>-supported ones. The optimum metal for decomposition of BPE is Ru because the selectivity and the yield of phenol and toluene for both Al<sub>2</sub>O<sub>3</sub> and carbon-supported catalysts are the highest.

Figure 2-3 exhibits the result of GC chromatograms of products from decomposition of BPE over the catalysts. In addition to phenol and toluene, the peaks of a variety of alkylated phenols are observed, whereas the peaks of cyclic compounds are rarely detected. The intensity of alkylated phenols increases in the order of 5 wt.% Ru/C < 10 wt.% Ni/C < 5 wt.% Pt/C. According to Sato *et al.*, alkylation is catalyzed by protons arising from supercritical water and by increasing the water density, resulting in the enhanced alkylation due to the increment of the concentration of protons [62].

In order to investigate the amount of generated H<sub>2</sub> from the each catalyst, the blank reaction (conducted without reactant) was performed in supercritical ethanol at 270 °C for 3 h and the results are shown in Table 2-2. In the blank reaction, the amount of generated H<sub>2</sub> from 5 wt.% Pt/C is the highest, followed by 10 wt.% Ni/C and 5 wt.% Ru/C. It is confirmed that Pt catalyst is significantly reactive for production of H<sub>2</sub>, which is in accordance with previous research [63]. Based on the result, it can be concluded that 5 wt.%

Pt/C catalyst in supercritical ethanol can promote alkylation and H<sub>2</sub> production from ethanol more significantly, followed by 10 wt.% Ni/C and 5 wt.% Ru/C.

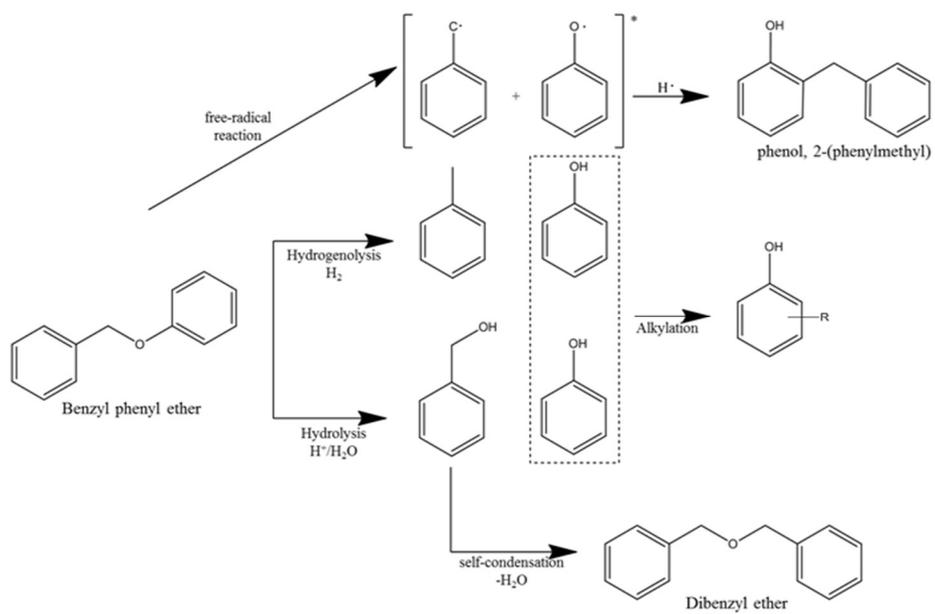


Figure 2-1. Reaction pathways for cleavage of benzyl phenyl ether [64, 65].

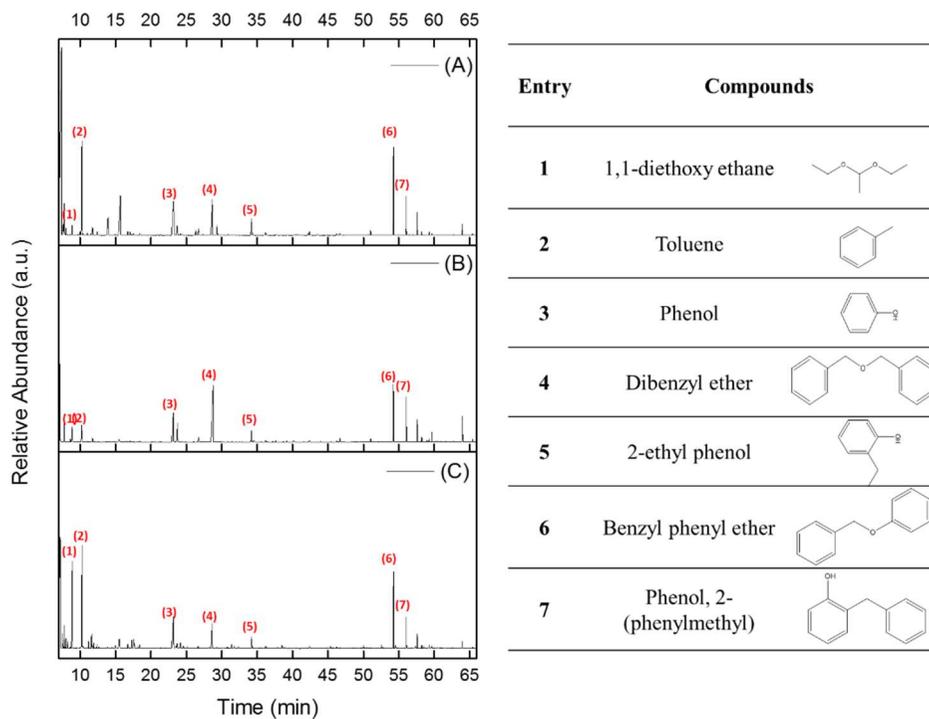


Figure 2-2. GC chromatograms of the products from decomposition of BPE in supercritical ethanol by using (A) 10 wt.% Ni/Al<sub>2</sub>O<sub>3</sub>, (B) 5 wt.% Pt/Al<sub>2</sub>O<sub>3</sub> and (C) 5 wt.% Ru/Al<sub>2</sub>O<sub>3</sub>.

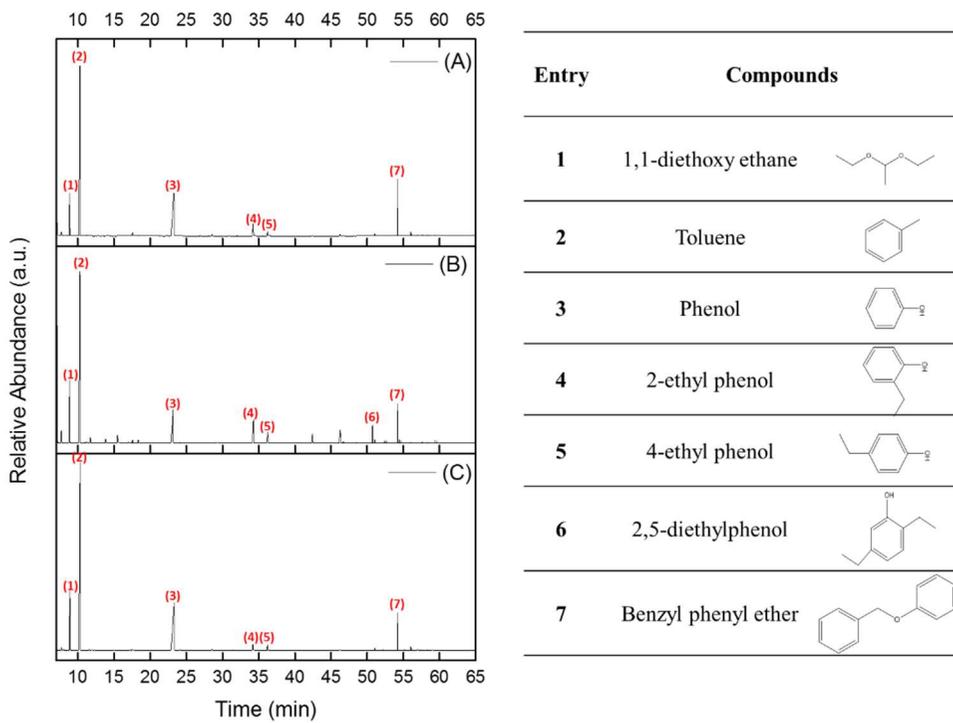


Figure 2-3. GC chromatograms of the products from decomposition of BPE in supercritical ethanol by using (A) 10 wt.% Ni/C, (B) 5 wt.%Pt/C (C) 5 wt.% Ru/C.

Table 2-1. Effect of the various catalysts on the decomposition of BPE at 270

°C for 3 h

Entry	Catalyst	Conversion (%)	Selectivity (%)			Yield (%)
			Phenol	Toluene	Total	
1	5 wt.% Ru/Al <sub>2</sub> O <sub>3</sub>	79.8	32.0	25.8	57.8	46.1
2	5 wt.% Pt/Al <sub>2</sub> O <sub>3</sub>	88.2	19.9	3.6	23.5	20.7
3	10 wt.% Ni/Al <sub>2</sub> O <sub>3</sub>	78.6	29.5	24.4	53.9	42.3
4	5 wt.% Ru/C	93.7	48.5	54.3	102.8	96.4
5	5 wt.% Pt/C	93.2	25.8	48.2	74.0	69.0
6	10 wt.% Ni/C	88.7	48.8	41.1	89.9	79.9

Table 2-2. The amount of hydrogen gas in supercritical ethanol at 270 °C for 3 h in the absence of reactant

Unit: ppm  
(x 10<sup>5</sup>)

Entry	Catalyst	Blank*
1	5 wt.% Ru/C	1.73
2	5 wt.% Pt/C	5.69
3	10 wt.% Ni/C	4.01

\* Blank: reaction w/o BPE (reactant)

### 2.3.2 Characterization of the catalysts

A series of carbon-supported catalysts with different metals such as Ru, Pt and Ni were prepared and their physical properties were explored. First of all, Figure 2-4. displays the diffraction peaks of as-reduced catalysts. All catalysts show the identical XRD patterns corresponding to amorphous carbon, while the diffraction peaks of metal is only detected in 10 wt.% Ni/C. This result implies that most of metals impregnated on carbon support exist as highly dispersed phases.

HR-TEM images and particle size distributions of the samples are demonstrated in Figure 2-5. A very narrow distribution of particle size in the range of 1 nm to 2.5 nm is observed over 5 wt.% Ru/C with the average Ru particle size of about 1.5 nm, which indicates that the Ru particles in carbon support is highly dispersed. Meanwhile, 5 wt.% Pt/C displays a slightly broad particle size distribution compared to 5 wt.% Ru/C (the range is between 2 nm to 4 nm) with its average particle size of about 2.9 nm, which is marginally larger than the former. Among the three catalysts, 10 wt.% Ni/C shows a significantly broad particle size distribution in the range of 3 nm to 10 nm with the average Ni particle size of about 7.2 nm.

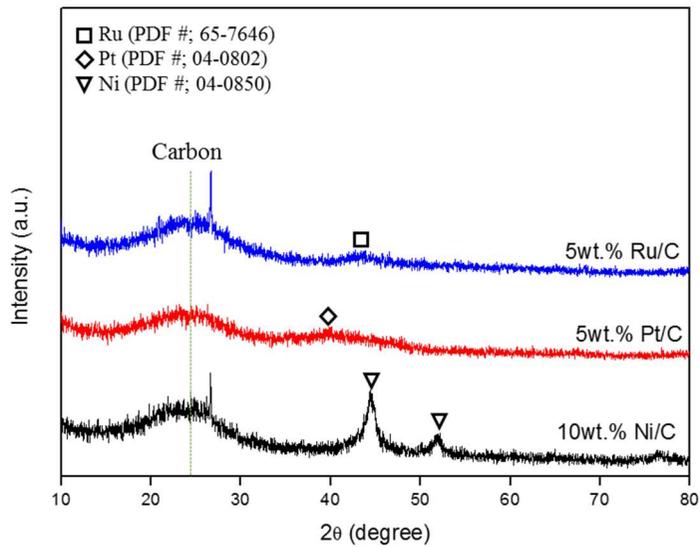


Figure 2-4. XRD patterns of as-reduced catalysts.

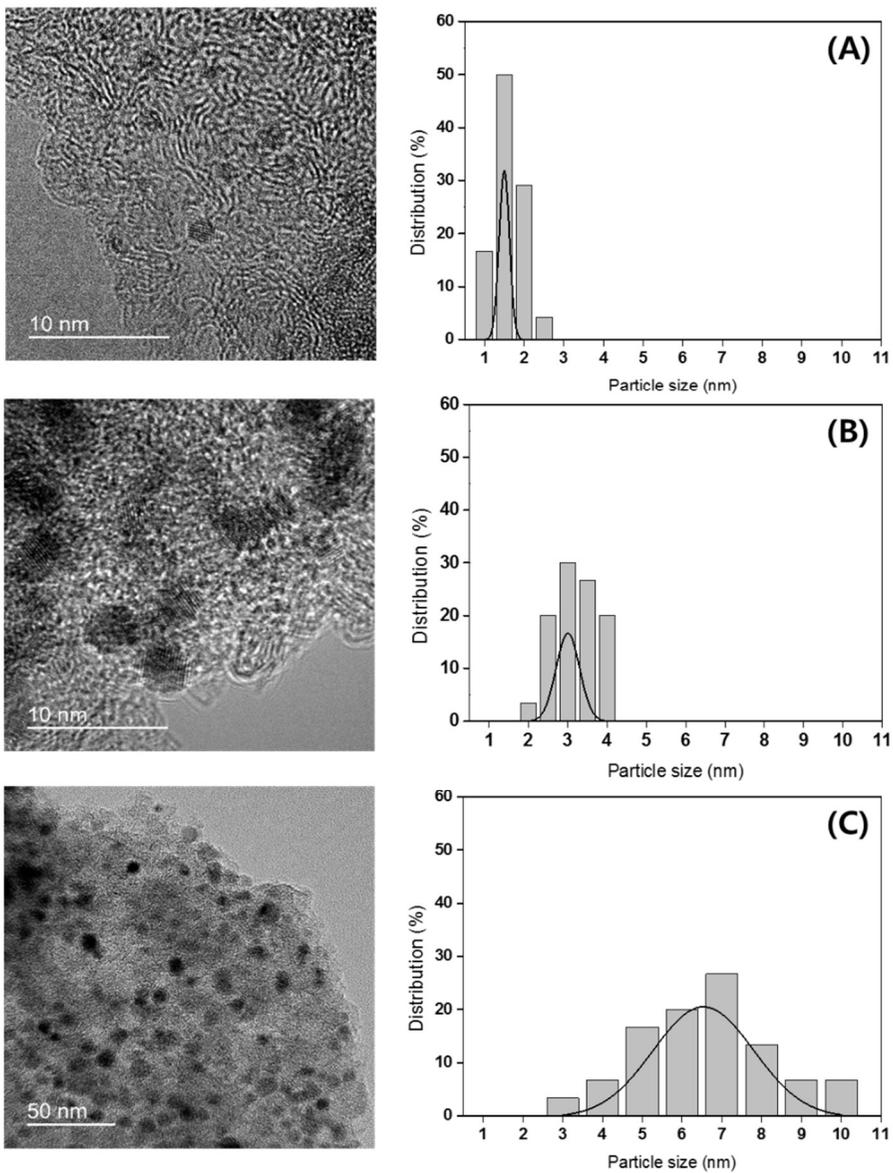


Figure 2-5. HR-TEM and particle size distributions of as-reduced (A) 5 wt.% Ru/C (B) 5 wt.% Pt/C and (C) 10 wt.% Ni/C.

### 2.3.3 Decomposition of phenol as reactant

In order to understand the side reaction of phenol, the decomposition of phenol in supercritical ethanol was carried out at 270 °C for 3 h over 5 wt.% Ru/C, 5 wt.% Pt/C and 10 wt.% Ni/C. Table 2-3 presents the results of the conversion of phenol over the catalysts.

The conversion of phenol over 5 wt.% Pt/C is 48.1 % and its GC chromatogram is displayed in Figure 2-6. Except for phenol (reactant), a variety of alkylated phenols (2-ethylphenol, 4-ethylphenol and 2,5-diethylphenol) are mainly identified. The peak intensity of alkylated phenols are the highest over 5 wt.% Pt/C among the catalysts (Table 2-4). Note that, 1,1-diethoxy ethane derived from ethanol conversion reaction of the Guerbet type is also produced in all catalysts [66]. Meanwhile, the conversion of phenol over 10 wt.% Ni/C is 38.7 %. Similar to 5 wt.% Pt/C, many of peaks assigned to alkylated phenols are also observed in GC chromatogram in Figure 2-6, whereas the intensity of the peaks over 10 wt.% Ni/C is relatively lower than that of the peaks over 5 wt.% Pt/C, so the peak intensity over 10 wt.% Ni/C is relatively small compared with 5 wt.% Pt/C. It means that alkylation proceeds more actively over the latter than the former. The conversion of phenol over 5 wt.% Ru/C is the lowest as 18.8 %, which results in the lowest peak intensity of alkylated phenols. Meanwhile, the peak intensity of cyclic compounds was too small to quantify

over all catalysts. Based on the result, it can be concluded that among the catalysts, alkylation is the most reactive over Pt catalyst in supercritical ethanol. As mentioned in the previous section, alkylation is promoted by protons and increases with the concentration of protons in supercritical fluid [62].

Based on the result, the plausible reaction pathway is suggested in Figure 2-7.  $H_2$  is generated from supercritical ethanol via reforming by using catalysts and generated  $H_2$  is used for cleavage of C-O bonds in BPE. By cleaving BPE, phenol and toluene are mainly produced in supercritical ethanol. More importantly, 5 wt.% Pt/C in supercritical ethanol promotes alkylation, which leads to the larger production of alkylated phenols. Meanwhile, the extent of alkylation is varied depending on the type of metal on carbon support.

Table 2-3. Decomposition of phenol after reaction at 270 °C for 3 h using carbon-supported catalysts

Entry	Catalyst	Conversion (%)
1	5wt.% Ru/C	18.8
2	5 wt.% Pt/C	48.1
3	10 wt.% Ni/C	38.7

Table 2-4. The area of alkylated phenols from decomposition of phenol by using catalysts at 270 °C for 3 h

Unit: A.U.

Entry	Catalyst	2-ethylphenol	4-ethylphenol	2,5-diethylphenol
1	5wt.% Ru/C	1267	1212	12
2	5 wt.% Pt/C	5126	1565	473
3	10 wt.% Ni/C	3238	1826	341

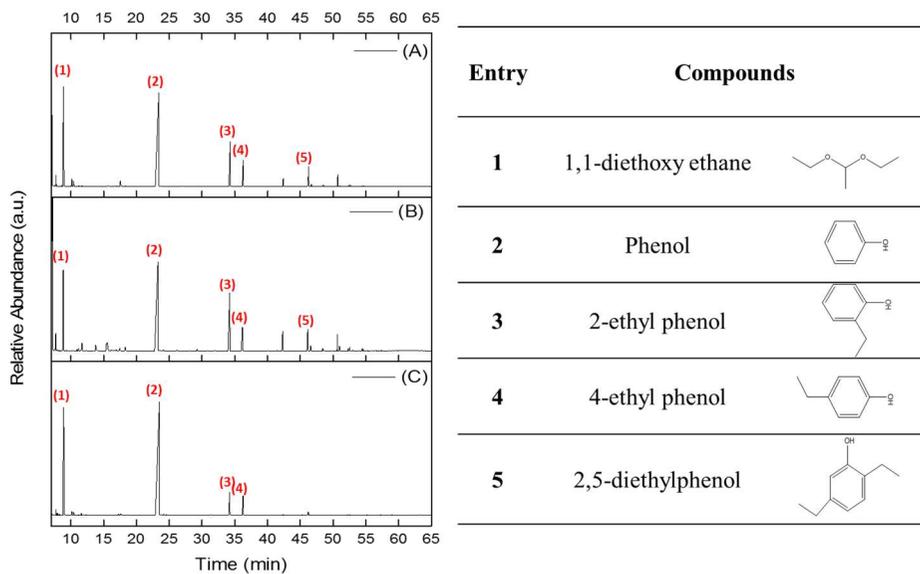


Figure 2-6. GC chromatograms of the products from decomposition of phenol in supercritical ethanol by using (A) 10 wt.% Ni/C, (B) 5 wt.% Pt/C (C) 5 wt.% Ru/C at 270 °C for 3 h.

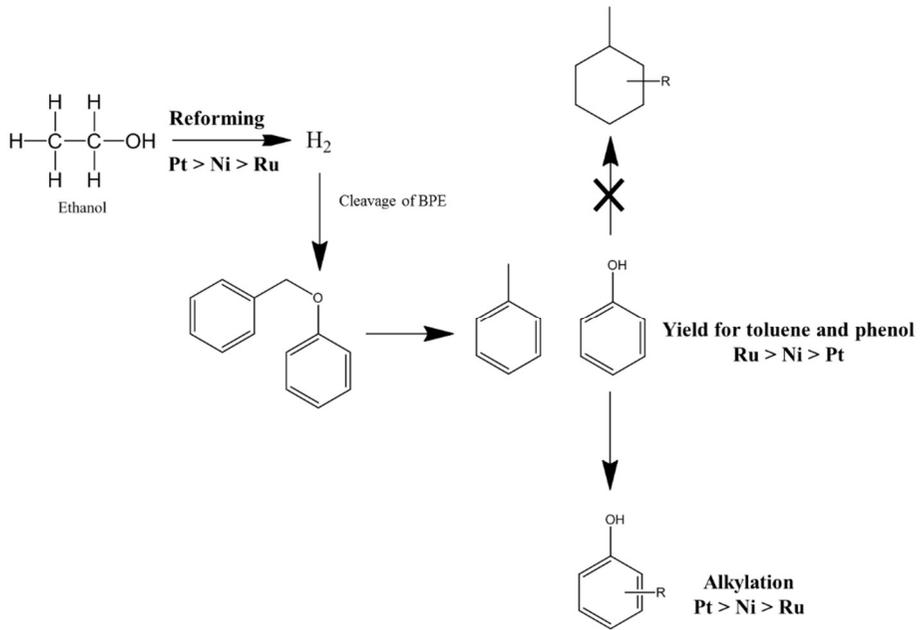


Figure 2-7. Plausible reaction pathways of cleavage of BPE over the catalysts in supercritical ethanol.

# **Chapter 3. Depolymerization of lignin by using MO(X)MgAlO<sub>y</sub> mixed oxide catalyst (M=Co, Ni and Cu) in supercritical ethanol**

## **3.1 Introduction**

Finding alternative resources for energy and chemicals becomes imperative as the conventional fossil fuel is rapidly depleted due to the increasing demand [67, 68]. In this respect, lignin can be regarded as one of promising renewable resources for aromatic chemicals because it consists of methoxylated phenylpropane structures such as *p*-coumaryl, coniferyl and sinapyl alcohols [11, 41]. However, the complex and recalcitrant structure of lignin, linked together by C-O and C-C bonds, makes it hard to cleave into oligomers and/or monomers [42, 43]. Especially, the selective cleavage of C-O bonds in lignin remains a big challenge.

There are efforts for valorization of lignin by using various approaches such as hydrolysis, solvolysis, oxidation, pyrolysis and hydrogenolysis [69, 70]. Especially, hydrogenolysis is more attractive method than others to degrade lignin because it is more effective for breaking C-O bond in lignin. It is typically conducted not only in the presence of gas phase hydrogen but also in solvents

such as formic acid, methanol and ethanol under supercritical condition which can easily donate hydrogen. Kleinert *et al.*, Xu *et al.* and Huang *et al.* have stated that formic acid plays a role as hydrogen donors under supercritical condition [71-73]. Warner *et al.* also has reported on the hydrogen transfer from supercritical methanol during the lignin depolymerization [34]. Patil *et al.* and Brand *et al.* have claimed that supercritical ethanol can supply *in-situ* hydrogen and, at the same time, can act as a reactant [29, 74].

Meanwhile, there have been several reports on lignin depolymerization in presence of gas phase hydrogen by using heterogeneous catalysts. Song *et al.* has demonstrated that Ni catalyst can convert lignin into monomers via hydrogenolysis and solvolysis [69]. Cu and Ni supported catalysts have also been used to depolymerize and deoxygenate lignin [17, 58]. Porous metal oxides (PMOs) derived from hydrotalcite-like materials ( $\text{CuO-MgAlO}_y$ ) were found to be effective catalyst for lignin depolymerization because of no or less formation of char [20, 66]. However, to the best our knowledge, there have been few researches concerning the analysis of catalysts after lignin depolymerization in supercritical ethanol. For this purpose, we designed a catalyst basket in the reactor to easily separate post-reaction catalyst for analysis. In addition, we aimed at finding the best transition metal among Co,

Ni and Cu and the optimum metal loading for lignin depolymerization under supercritical condition when incorporated into  $\text{MgAlO}_y$ .

## 3.2 Experimental

### 3.2.1 Materials and Chemicals

The concentrated strong acid hydrolysis lignin (CSAHL) used in this research was supplied from GS Caltex. The CSAHL was acquired from oak wood (*Quercus acuta*, hardwood) sawdust (C: 46.5 wt.%, H:6.0 wt.%, O: 45.4 %) by using concentrated strong acid hydrolysis process [75]. Thermogravimetric analysis (TGA) indicates that the ash content of CSAHL is about 6.8 wt.%. For catalyst synthesis,  $\text{Mg}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ ,  $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$ ,  $\text{Cu}(\text{NO}_3)_2 \cdot 3\text{H}_2\text{O}$ ,  $\text{Ni}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ ,  $\text{Co}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ , NaOH and  $\text{Na}_2\text{CO}_3$  were purchased from Sigma-Aldrich. Ethanol (200 proof, ACS reagent,  $\geq 99.5\%$ , Sigma-Aldrich) was used as a reaction medium for lignin depolymerization under supercritical condition.

### 3.2.2 Catalyst preparation

The catalysts, derived from hydrotalcite-like materials, were prepared by using co-precipitation method. Briefly, in order to synthesize  $\text{MgAlO}_y$ , two different kinds of solutions were prepared. Solution A consisted of

Mg(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O and Al(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O in distilled water, and solution B did NaOH and Na<sub>2</sub>CO<sub>3</sub>. Solution B was added into solution A until the pH reached 10 ± 0.05 by keeping the drop-wise rate (1 mL/min) at 65 °C. Synthesized material was calcined at 800 °C for 6 h in a muffle furnace to induce MgAlO<sub>y</sub>. The detailed procedures are described elsewhere [31, 76-78]. In case of MO-MgAlO<sub>y</sub>, solution A containing three precursors (M, Mg, Al) was used and the other procedures were exactly the same as described above. The product was calcined at 460 °C for 6 h in a muffle furnace to induce MO(X)MgAlO<sub>y</sub>. The final material was denoted to MO(X)MgAlO<sub>y</sub> (M=Co, Ni and/or Cu, X=10, 20, 30, and 40 wt.% based on metal (M)). In case of Co and Ni, the amount of metal was fixed as 30 wt.%. Typically, the molar ratio of M<sup>2+</sup>/M<sup>3+</sup> in hydrotalcites (HTs) is 2/1; here M<sup>2+</sup> originally stands for Mg ions and M<sup>3+</sup> does Al ions. In this research, M<sup>2+</sup> is partially substituted with Co, Ni or Cu ions, so that the molar ratio of M<sup>2+</sup>/M<sup>3+</sup> remains constant as 2/1.

### 3.2.3 Characterization

The total inorganic content was measured by using a TA Instruments Q50 TGA in the temperature range of 50-800 °C at a rate of 10 °C/min in air flow (100 mL/min). Inductively coupled plasma-atomic emission spectroscopy (ICP-AES) was used (PerkinElmer/Optima-4300 DV) to confirm the metal

contents (Mg, Al, Co, Ni and Cu) of prepared catalysts. The weight of the each sample was about 0.03 g, and the sample was dissolved in 5 mL aqua regia. Textural properties of the catalysts were measured using N<sub>2</sub> physisorption apparatus (Micromeritics ASAP 2010). The total surface area of the samples was obtained by the BET method. The pore volume and pore size distributions were measured from the desorption branches of the isotherms using BJH methods. The powder X-ray diffraction (XRD) experiment was performed on a high power X-ray diffractometer (Rigaku Corp.) using Cu K $\alpha$  as a radiation source at 40 kV and 30 mA. The scan step was fixed as 0.02 degree in the range of 10-90 degree. Temperature programmed desorption of NH<sub>3</sub> (NH<sub>3</sub>-TPD) was carried out for the as-calcined catalysts. After pretreatment at 400 °C for 1 h under a flow of He (50 sccm), 5 % NH<sub>3</sub> was introduced to 0.03 g of the sample at 50 °C for 0.5 h. After purging with He to remove physisorbed NH<sub>3</sub>, the temperature was increased from 50 °C to 430 °C at 10 °C/min under flowing He. The desorbed gas (NH<sub>3</sub>) was analyzed by a thermal conductivity detector (TCD) (BEL JAPAN INC.).

### **3.2.4 Catalytic activity measurement**

Lignin depolymerization reaction was conducted in a 50 mL stainless-steel high pressure autoclave. The autoclave was filled with 0.5 g of lignin in 25 mL

ethanol. 0.3 g of catalyst was loaded into the designed basket (stainless- steel, 500 mesh). 0.0013 g of fluoranthene was also added as an internal standard. The reactor was sealed and purged with nitrogen several times to remove oxygen. Before the reaction, 10 bar nitrogen at room temperature was loaded into the reactor. Then, the reaction mixture was heated to the desired temperature while stirring at 500 rpm for 40 min. After the reaction, the reactor was quickly quenched below 150 °C by using ice water to cool to room temperature. The yield (Y) of monomers was calculated by following equations:

$$Y \text{ (wt. \%)} = \frac{\text{weight of monomers (quantified by GC – FID)}}{\text{weight of ash free lignin}} \times 100$$

### 3.2.5 Product analysis

The liquid phase product mixture was analyzed by an Agilent 6890N GC equipped with a DB-5ms column (30 m x 0.25 mm x 0.25 µm) with a mass spectrometer to identify products. Meanwhile, the same liquid phase product was also injected into an Agilent 6890A GC together with a flame ionization detector (FID) with a DB-5 column (60 m x 0.25 mm x 0.25 µm) for the quantification of the products. The liquid product analysis was performed by using as-produced sample, in other words, without any dilution.

## 3.3 Results and discussion

### 3.3.1 Effect of MO(X)MgAlO<sub>y</sub> mixed oxide catalysts (M=Co, Ni and Cu) on lignin depolymerization

#### 3.3.1.1 Analysis of as-calcined MO(X)MgAlO<sub>y</sub> samples

Table 3-1 provides the ICP and N<sub>2</sub> physisorption results of the as-calcined catalysts. Theoretical value of M<sup>2+</sup>/Al<sup>3+</sup> is about 2 and the experimental value is between 1.81 and 1.89, meaning that the result of this experiment corresponds with the theoretical one. In case of metal loading, the value was fixed as 30 wt.%. Almost 10 wt.% of metal is over loaded compared with theoretical value except for Co metal. BET surface area of Co<sub>3</sub>O<sub>4</sub>(30)MgAlO<sub>y</sub> is about 198 m<sup>2</sup>/g and that of NiO(30)MgAlO<sub>y</sub> is about 194 m<sup>2</sup>/g, which are slightly larger than that of CuO(30)MgAlO<sub>y</sub> (170 m<sup>2</sup>/g). The XRD patterns of MO(30)MgAlO<sub>y</sub> are displayed in Figure 3-1. The peaks arising from MgO phase are primarily shown in all catalysts and the broad XRD patterns of each transition metal oxides are displayed, indicating that Co, Ni or Cu oxides exist in the amorphous form or highly dispersed state. Figure 3-2 displays the NH<sub>3</sub>-TPD profiles of MO(30)MgAlO<sub>y</sub>. According to the previous study, the NH<sub>3</sub> desorbed below 300 °C is regarded as one from NH<sub>3</sub> adsorbed on the weak acid sites of catalyst [79]. When the number of weak acid sites is counted by integrating the amount of NH<sub>3</sub> desorbed up to 300 °C, the order is in the following: CuO(30)MgAlO<sub>y</sub> > NiO(30)MgAlO<sub>y</sub> > Co<sub>3</sub>O<sub>4</sub>(30)MgAlO<sub>y</sub>. This result is in accordance with that

of Robinson who claimed that the catalyst containing Cu has a higher number of acid sites than the Ni-containing one, indicating that copper oxides provide new acid sites [80].

Table 3-1. Results of ICP-AES and N<sub>2</sub> physisorption of the catalysts

Entry	Catalyst	ICP-AES		N <sub>2</sub> physisorption	
		M <sup>2+,a</sup> /M <sup>3+,b</sup>	M	As-calcined	Post-reaction
			(wt. % <sup>c</sup> )	S <sub>BET</sub> (m <sup>2</sup> /g)	S <sub>BET</sub> (m <sup>2</sup> /g)
1	MgAlO <sub>y</sub>	2.17	-	170	135
2	CuO(10)MgAlO <sub>y</sub>	1.78	11.1	171	47
3	CuO(20)MgAlO <sub>y</sub>	2.00	21.3	166	58
4	CuO(30)MgAlO <sub>y</sub>	1.81	34.5	170	100
5	CuO(40)MgAlO <sub>y</sub>	1.98	43.4	172	105
6	Co <sub>3</sub> O <sub>4</sub> (30)MgAlO <sub>y</sub>	1.89	31.9	198	-
7	NiO(30)MgAlO <sub>y</sub>	1.82	33.1	194	-

<sup>a</sup> M<sup>2+</sup>: Mg and Co, Ni or Cu ions

<sup>b</sup> M<sup>3+</sup>: Al<sup>3+</sup>

<sup>c</sup> M: CuO, Co<sub>3</sub>O<sub>4</sub> and/or NiO

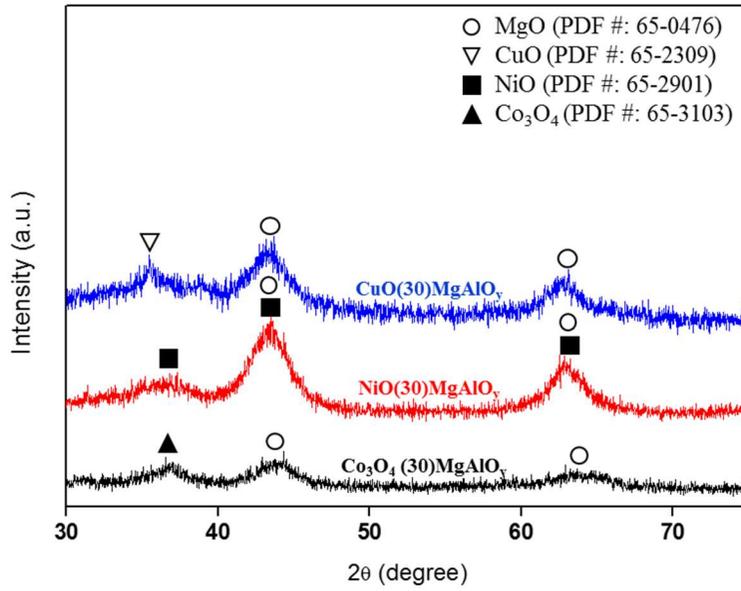


Figure 3-1. XRD patterns of as-calcined MO(30)MgAlO<sub>y</sub> (M= Co, Ni and Cu).

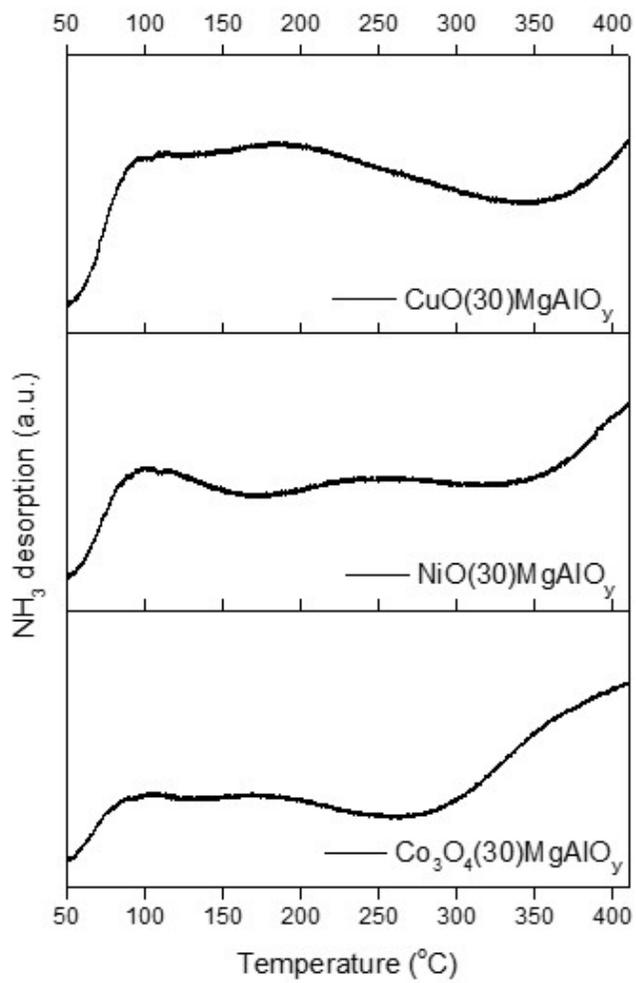


Figure 3-2.  $\text{NH}_3$ -TPD spectra of  $\text{MO}(30)\text{MgAlO}_y$  (M= Co, Ni and Cu).

### 3.3.1.2 Lignin depolymerization over MO(X)MgAlO<sub>y</sub>

To estimate catalytic performance, lignin depolymerization reaction was performed in supercritical ethanol over both without catalyst (blank reaction) and MO(30)MgAlO<sub>y</sub> at 400 °C for 4 hours. MO stands for transition metal oxides such as Co<sub>3</sub>O<sub>4</sub>, NiO and CuO and the amount of metals loaded is all the same as 30 wt.%. The total yield of monoaromatic compounds was obtained by adding up the yield of 19 different monomeric products (Figure 3-3) such as  $\gamma$ -valerolactone, phenol, benzyl alcohol, o-cresol, p-tolualdehyde, guaiacol, 4-methyl benzyl alcohol, 2-ethyl phenol, 2-methyl benzyl alcohol,  $\gamma$ -heptalactone, 4-ethyl phenol, creosol, 2-propyl phenol, 4-ethyl guaiacol, syringol, 4-propyl guaiacol, 1,2,4-trimethoxy benzene, 3,4,5-trimethoxy toluene and ethyl vanillate. Most of the monoaromatic compounds are oxygen-containing aromatic rings resulting from the cleavage of the  $\beta$ -O-4 linkage or hydrogenolysis of lignin, indicating that the combination of catalyst and supercritical ethanol is effective for the lignin depolymerization without supplying external hydrogen. In addition, there is significant amount of furans which are not counted in the monoaromatic compounds. [66]. Table 3-2 demonstrates the yield of monoaromatic compounds quantified from GC-FID analysis. In case of blank reaction, the yield of monoaromatic compounds is 1.0 wt.%, acquired by adding up only 6 monomers such as benzyl alcohol, o-cresol,

guaiacol, 4-methyl benzyl alcohol, 4-ethyl phenol, 2-propyl phenol among 19 monomers. The result indicates that existence of the catalyst definitely affects improvement of monoaromatic yield. Meanwhile, the yield of monoaromatic compounds over  $\text{CuO(30)MgAlO}_y$  is the highest as 18.4 wt.%, followed by  $\text{NiO(30)MgAlO}_y$  of 10.8 wt.% and  $\text{Co}_3\text{O}_4(30)\text{MgAlO}_y$  of 10.0 wt.%. Figure 3-4(A) presents the distributions of selected monoaromatic compounds identified and quantified by GC-FID analysis. Creosol,  $p$ -tolualdehyde, phenol and syringol are the major components in all catalysts. The yield of monoaromatic compounds is well related to the number of acid sites as shown in Figure 3-2, leading to the conclusion that  $\text{CuO(30)MgAlO}_y$  demonstrated the higher monoaromatic yield due to the higher number of acid sites among Ni, Co and Cu. In conclusion, copper metal might be the most appropriate metal among three kinds of transition metals for lignin depolymerization reaction.

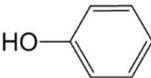
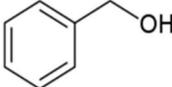
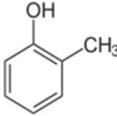
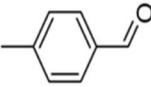
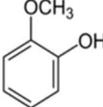
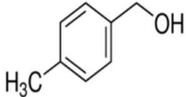
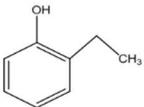
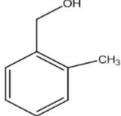
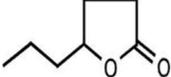
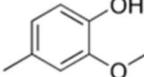
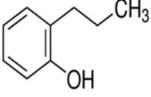
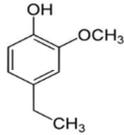
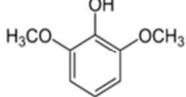
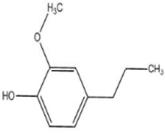
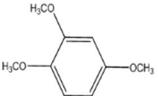
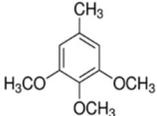
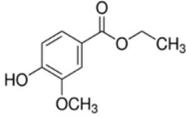
			
<b>1. g-valerolactone</b>	<b>2. Phenol</b>	<b>3. Benzyl Alcohol</b>	<b>4. o-Cresol</b>
			
<b>5. p-tolualdehyde</b>	<b>6. Guaiacol</b>	<b>7. 4-methylbenzylalcohol</b>	<b>8. 2-ethylphenol</b>
			
<b>9. 2-Methyl benzylalcohol</b>	<b>10. g-heptalactone</b>	<b>11. 4-ethylphenol</b>	<b>12. Creosol</b>
			
<b>13. 2-propylphenol</b>	<b>14. 4-ethylguaiacol</b>	<b>15. Syringol</b>	<b>16. 4-propyl Guaiacol</b>
			
<b>17. 1,2,4-trimethoxy benzene</b>	<b>18. 3,4,5-trimethoxy toluene</b>	<b>19. Ethyl vanillate</b>	

Figure 3-3. Obtained 19 different monoaromatic compounds from CSAHL.

Table 3-2. The yield of monoaromatic compounds over the catalysts

Entry	Catalyst	Yield of monoaromatic compounds (wt.%)
1	None	1.0
2	MgAlO <sub>y</sub>	5.7
3	CuO(10)MgAlO <sub>y</sub>	10.7
4	CuO(20)MgAlO <sub>y</sub>	14.5
5	CuO(30)MgAlO <sub>y</sub>	18.4
6	CuO(40)MgAlO <sub>y</sub>	15.5
7	Co <sub>3</sub> O <sub>4</sub> (30)MgAlO <sub>y</sub>	10.0
8	NiO(30)MgAlO <sub>y</sub>	10.8

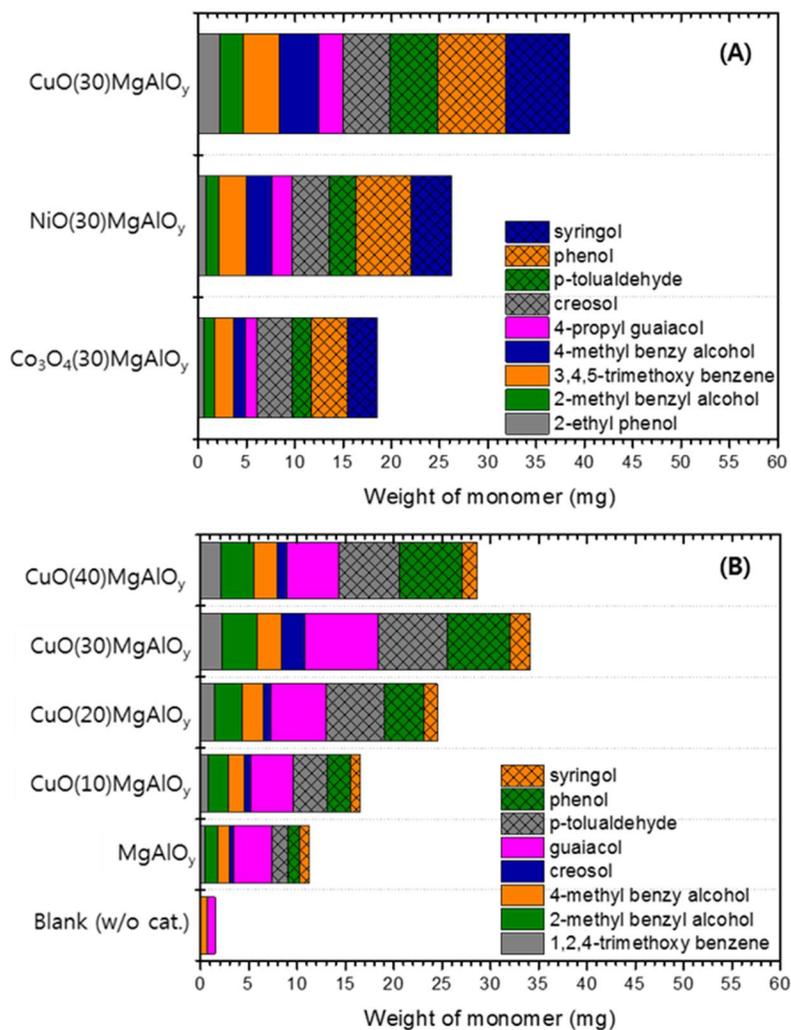


Figure 3-4. Trends of monoaromatic compounds over (A)MO(30)MgAlO<sub>y</sub> (M= Co, Ni and Cu) and (B)Blank, MgAlO<sub>y</sub> and CuO(X)MgAlO<sub>y</sub> (X=10, 20, 30 and 40 wt.%).

### 3.3.2 Effect of Cu loadings in CuO(X)MgAlO<sub>y</sub> on lignin depolymerization

#### 3.3.2.1 Analysis of as-calcined CuO(X)MgAlO<sub>y</sub> catalysts

Table 3-1 gives the information of ICP analysis of the catalysts. It is not much difference between the experimental values obtained from ICP-AES and the theoretical ones of M<sup>2+</sup>/M<sup>3+</sup> and MO, since the error is within 12 %. Table 3-1 also shows BET surface area of MgAlO<sub>y</sub>, CuO(10)MgAlO<sub>y</sub>, CuO(20)MgAlO<sub>y</sub>, CuO(30)MgAlO<sub>y</sub> and CuO(40)MgAlO<sub>y</sub> catalysts. The samples have BET surface area of 166 – 172 m<sup>2</sup>/g implying that there is no remarkable change in BET surface area even though Cu loading is varying up to 40 wt.%. Figure 3-5(A) demonstrates the XRD patterns of as-calcined catalysts. MgAlO<sub>y</sub> catalyst only shows the peaks arising from MgO phase. With increasing Cu loading, the peaks assigned to MgO phase become broad and small probably because of the decrease in MgO amount. Samples with 30 wt.% and 40 wt.% of Cu loading show very broad CuO peak around at 36.9 °, meaning that highly dispersed states of CuO exist in the samples although CuO phase is hardly seen up to 20 wt.% of Cu loading. Interesting to note is that there is no evidence of the Al<sub>2</sub>O<sub>3</sub> related phases in XRD, indicating that they exist in small crystallites or amorphous form so that they may not be detected by XRD [81]. In order to measure the acid sites of the catalysts, NH<sub>3</sub>-TPD analysis was conducted as displayed in Figure 3-6. The desorption feature of

all catalysts are almost similar which have weak acid sites as evidenced by the  $\text{NH}_3$  desorption peak from 100 °C to 300 °C. Among the catalysts, the amount of weak acid sites is the highest over  $\text{CuO(30)MgAlO}_y$  as 0.644 mmol/g followed by  $\text{CuO(20)MgAlO}_y$  (0.422 mmol/g)  $\approx$   $\text{CuO(40)MgAlO}_y$  (0.396 mmol/g)  $>$   $\text{CuO(10)MgAlO}_y$  (0.254 mmol/g).  $\text{NH}_3$ -TPD result clearly indicates that  $\text{CuO(30)MgAlO}_y$  has the maximum acid sites among the catalysts.

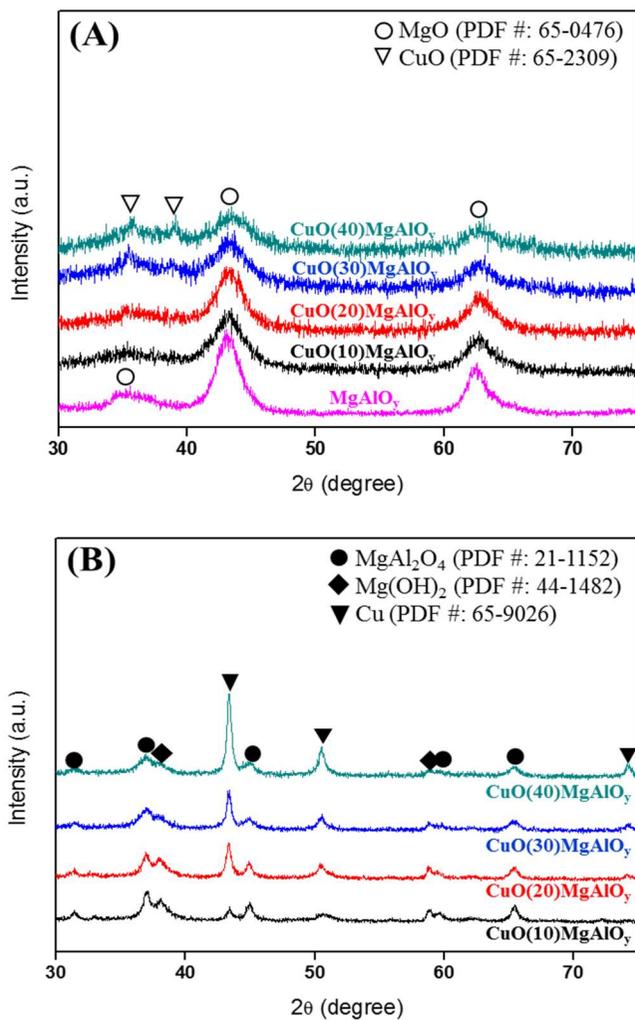


Figure 3-5. XRD patterns of the catalysts (A) as-calcined (B) after lignin depolymerization reaction.

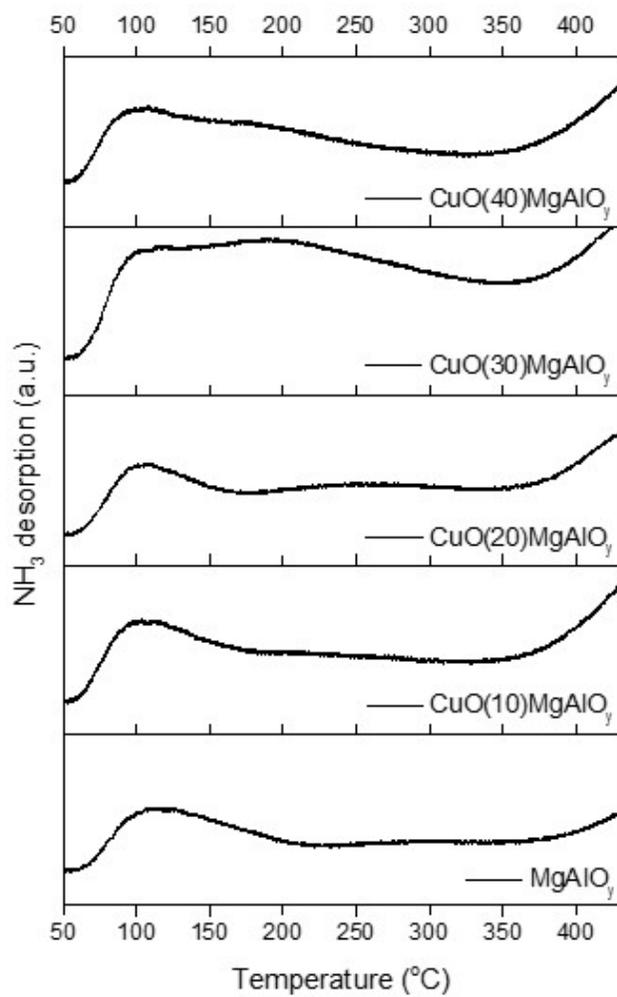


Figure 3-6. NH<sub>3</sub>-TPD spectra of the catalysts.

### 3.3.2.2 Catalytic effect on lignin depolymerization

Lignin depolymerization reaction was conducted in supercritical ethanol to evaluate the effect of Cu loadings in the catalysts at 400 °C for 4 hours. Table 3-2 displays the yield of monoaromatic compounds calculated from GC-FID result. MgAlO<sub>y</sub> demonstrates the lowest monoaromatic yield of 5.7 wt.%. With increasing Cu loading up to 30 wt.%, the yield of monoaromatic compounds gradually increased upto 18.4 wt.%. However, CuO(40)MgAlO<sub>y</sub> results in the lower yield (15.5 wt.%) than CuO(30)MgAlO<sub>y</sub>, indicating that the higher Cu loading than 30 wt.% does not help increasing the yield. Figure 3-4(B) depicts the distributions of selected monoaromatic compounds over MgAlO<sub>y</sub> and Cu-containing catalysts quantified by GC-FID. Among various monoaromatic compounds, guaiacol, p-tolualdehyde and phenol are the major compounds in all catalysts. Based on this result, it can be summarized that the monoaromatic yield has a close relationship with the number of acid sites, determined by the loading of copper metals.

### 3.3.2.3 Analysis of post-reaction CuO(X)MgAlO<sub>y</sub> catalysts

After lignin depolymerization reaction, the color change of the catalyst (from green to brown) was observed because of the reduction of CuO to Cu due to the *in-situ* H<sub>2</sub> generation (not shown). N<sub>2</sub> physisorption and XRD analysis were conducted for the post-reaction catalysts to understand the change in the physical properties after reaction. As presented in Table 3-1, BET surface area of MgAlO<sub>y</sub> decreased from 170 m<sup>2</sup>/g to 135 m<sup>2</sup>/g after reaction. Moreover, all CuO(X)MgAlO<sub>y</sub> catalysts show the significant decrease in BET surface area after reaction. Note that in case of CuO(30)MgAlO<sub>y</sub> and CuO(40)MgAlO<sub>y</sub>, the extent of decrement was less than the others, i.e. 170 m<sup>2</sup>/g to 100 m<sup>2</sup>/g and 172 m<sup>2</sup>/g to 105 m<sup>2</sup>/g, respectively. It can be summarized that the textural properties of the CuO(X)MgAlO<sub>y</sub> catalysts were changed after reaction, resulting in the substantial decrease of BET surface area. Figure 3-5(B) displays the XRD patterns of the post-reaction catalysts with various Cu loadings. The XRD patterns of all post-reaction catalysts clearly exhibit the highly crystalline of Cu, Mg(OH)<sub>2</sub> and MgAl<sub>2</sub>O<sub>4</sub> phases. First of all, MgO phase was transformed into Mg(OH)<sub>2</sub> and MgAl<sub>2</sub>O<sub>4</sub>. We think that such change gives rise to the significant decrease in BET surface area after reaction. Metallic Cu phase at 43.3 ° and 50.5 ° is formed by the reduction of CuO due to *in-situ* hydrogen donated from supercritical ethanol. With increasing the Cu loading up to 30 wt.%, there is a

gradual increase in the peak intensity of Cu phase at 43.3 °. However, at Cu loading of 40 wt.%, more remarkable increase in Cu peak intensity (area: 298 vs. 490) was observed, resulting from the sintering during the reaction, which can account for decrease in monoaromatic yield.

# **Chapter 4. Depolymerization of Protobind lignin to produce monoaromatic compounds over Cu/ZSM-5 catalyst in supercritical ethanol**

## **4.1 Introduction**

Lignocellulosic biomass is regarded as an attractive alternative feedstock to fossil fuels to enable sustainable energy security [82]. Especially, lignin consists of three major phenylpropane units, (guaiacyl alcohol, syringyl alcohol and p-coumaryl alcohol) which are linked by C-C and C-O bonds [83-85]. Because of such unique structure, lignin has the potential to replace the fossil resources in the near future because it can produce value-added chemicals by cleaving C-O bonds. In order to depolymerize and deoxygenate lignin effectively, various thermochemical approaches such as hydrogenolysis, gasification, solvolysis and catalytic cracking have been widely used [6, 56, 86, 87]. Especially, solvolysis using alcohol solvents such as methanol and ethanol as reaction medium is considered as a promising method for lignin depolymerization because it is able to depolymerize and/or deoxygenate without supplying any external hydrogen [33, 43, 48, 74]. Meanwhile, the combination of catalysts with supercritical ethanol is inevitable since catalyst

is able to selectively cleave C-O bonds in lignin. [17, 54, 61]. In current work, we applied zeolite to the catalytic lignin depolymerization in supercritical ethanol because of porous structure, controllable acidity and ability to confine active metal species. [38, 88, 89]. Especially, ZSM-5 zeolites are suitable for aromatization and cracking reaction because they have ideal pore size and acidity for the reaction [90, 91]. Due to such several advantages, various researches by using ZSM-5 have been widely reported. Singh *et al.* has claimed that HZSM-5 and Ni doped HZSM-5 catalysts are efficient for hydrodeoxygenation of lignin [92]. Milovanović *et al.* has reported on the depolymerization of various lignins by using NiO/HZSM-5 [40]. Mullen *et al.* has demonstrated the role of potassium ion exchange in ZSM-5 for biomass conversion [93]. However, even though many researches have used ZSM-5 for lignin depolymerization, the yield of monoaromatic compounds is still not high enough. Therefore, acquiring the high yield of monoaromatic compounds by finding the optimum catalyst is one of the important challenges.

In this respect, the aim of the work is to increase the yield of monoaromatic compounds by depolymerizing Protobind lignin in supercritical ethanol over ZSM-5-supported catalysts. Various parameters such as catalysts, temperature and time were investigated. In addition, the types of transition metals, the loading of metal and the Si/Al<sub>2</sub> ratio of ZSM-5 were also explored.

## 4.2 Experimental

### 4.2.1 Materials and Chemicals

Protobind 1000 alkali lignin (PL) was supplied from Eindhoven University. PL was produced from wheat straw (sulfur-free lignin with less than 4 wt.% carbohydrates and less than 2 wt.% ash) by using soda pulping process [66]. For catalyst synthesis,  $\text{Cu}(\text{NO}_3)_2 \cdot 3\text{H}_2\text{O}$ ,  $\text{Ni}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ ,  $\text{Co}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ , were purchased from Alfa Aesar. In case of catalytic support materials, activated charcoal was purchased from Sigma-Aldrich and the commercial  $\text{NH}_4$  form ZSM-5 zeolites were purchased from Alfa Aesar: ( $\text{Si}/\text{Al}_2 = 30, 50, 80$  and 200). Ethanol (Sigma-Aldrich, 200 proof, ACS reagent,  $\geq 99.5\%$ ) was chosen as a reaction medium for depolymerization of lignin in supercritical condition. Tetrahydrofuran (Reagent Plus  $\geq 99.0\%$ , stabilized with butylated hydroxytoluene) and deuterated dimethyl sulfoxide- $\text{d}_6$  (containing TMS) were purchased from Sigma-Aldrich for the post-treatment of liquid products and NMR analysis, respectively.

### 4.2.2 Catalyst preparation

Commercial activated carbon support was used as-received form, whereas  $\text{NH}_4$  ZSM-5 was used after calcination at  $550\text{ }^\circ\text{C}$  for 3 h to induce H ZSM-5 form. Except for  $\text{CuO}(30)\text{MgAlO}_y$ , all catalysts were synthesized by applying

wet impregnation method. Metal precursor was dissolved in deionized water and the solution was put into support material and stirred at room temperature for 30 min. Metal was impregnated onto each support by using a rotary evaporator, and then the catalyst was dried in an oven overnight. All prepared catalysts were calcined in a muffle furnace at 500 °C (Co catalyst) and 460 °C (Cu and Ni catalysts) for 2 h, respectively. Prior to reaction, the calcined catalysts were reduced at the same condition in 15% H<sub>2</sub>/N<sub>2</sub> flow. The reduced catalyst was designated as X wt.% M/support; X = loading of the metal, M = transition metal, support = C or ZSM-5(ratio=30, 50, 80 and 200). In order to synthesize CuO(30)MgAlO<sub>y</sub>, co-precipitation method was applied and the details were described in our previous research [81, 94-96].

#### **4.2.3 Characterization**

Inductively coupled plasma-atomic emission spectroscopy (ICP-AES) was used (SHIMADZU/ICPE-9000) to confirm the metal contents (Si, Al, Co, Ni and Cu) of prepared catalysts. The samples were analyzed by using acid digestion (aqua regia and perchloric acid). Physical properties of the catalysts were analyzed by using N<sub>2</sub> physisorption apparatus (Micromeritics ASAP 2010) at constant temperature (77K). Prior to analysis, all samples were pretreated at 250 °C for at least 4 h under vacuum condition. The powder X-ray

diffraction (XRD) analysis was conducted on a high power X-ray diffractometer (Rigaku Corp.) using Cu K $\alpha$  as a radiation source at 40 kV and 30 mA. The scan step was fixed as 0.02 degree in the range of 10-90 degree. Temperature programmed desorption (TPD) of NH<sub>3</sub> was carried out by using BEL CAT II (JAPAN). Prior to the analysis, 0.03 g of sample was heated at 300 °C for 1 h under a He flow to get rid of adsorbed impurities. After cooling to 60 °C, the sample was saturated with 4.96 % NH<sub>3</sub>/He. The physisorbed NH<sub>3</sub> was removed by purging of He flow at 100 °C. After the sample was cooled down to 60 °C and the TCD signal was stabilized, the TCD signal was recorded with increasing the temperature from 60 °C to 550 °C at a rate of 10 °C/min under a flow of He. The surface morphology of the catalysts was explored by using FE-SEM (field emission-scanning electron microscopy, MERLIN compact, ZEISS). HSQC (Heteronuclear signal quantum coherence) analysis was conducted by using 850 MHz Cryo NMR (AVNACE III HC, Bruker, German). The product was dried at 105 °C in an oven overnight and dissolved in 900  $\mu$ L of deuterated dimethyl sulfoxide d<sub>6</sub> prior to HSQC-NMR analysis.

#### **4.2.4 Catalytic activity measurement**

The catalytic depolymerization of lignin was conducted in a 50 mL stainless-steel high pressure autoclave. The reactor was filled with 0.5 g of

Protobind lignin in 25 mL ethanol. 0.3 g of sieved catalyst (425  $\mu\text{m}$  - 600  $\mu\text{m}$ ) was loaded into the designed stainless-steel basket with 500 mesh. 0.0013 g of fluoranthene as an internal standard was also added into the reactor. The reactor was sealed and purged with nitrogen several times to get rid of oxygen. Prior to the reaction, 10 bar nitrogen at room temperature was pressurized into the reactor. Then, the reaction mixture was heated to the desired temperature (12  $^{\circ}\text{C}/\text{min}$ ) for the desired time while stirring at 500 rpm. After the reaction, the reactor was rapidly quenched below 130  $^{\circ}\text{C}$  by using an ice water bath. The yield (Y) of monoaromatic compounds was calculated by following equations:

$$Y (\text{wt. \%}) = \frac{\text{weight of monomers (quantified by GC - FID)}}{\text{weight of ash free lignin}} \times 100$$

#### 4.2.5 Product analysis

GC-MS (Agilent 6890N, DB-5ms, 30 m x 0.25 mm x 0.25  $\mu\text{m}$ ) and GC-FID (Agilent 6890A, DB-5, 60 m x 0.25 mm x 0.25  $\mu\text{m}$ ) analysis of liquid product was conducted without any dilution. Fluoranthene was used as an internal standard (ISTD). The injector and detector temperatures were 310  $^{\circ}\text{C}$  and 320  $^{\circ}\text{C}$ , respectively. The initial oven temperature of the GC was 50  $^{\circ}\text{C}$  for 5 min and the temperature increased up to 90  $^{\circ}\text{C}$  at 10  $^{\circ}\text{C}/\text{min}$ , followed by an increase up to 130  $^{\circ}\text{C}$  (1  $^{\circ}\text{C}/\text{min}$ ), 220  $^{\circ}\text{C}$  (5  $^{\circ}\text{C}/\text{min}$ ) and 325  $^{\circ}\text{C}$  (10  $^{\circ}\text{C}/\text{min}$ ) for 10 min. The gaseous product was analyzed by GC to quantify  $\text{H}_2$ . GC-TCD

(Agilent 6890N, Carboxen 1000, 30 m x 0.53 mm x 0.25  $\mu\text{m}$ ) was used for analysis. The initial oven temperature was 35  $^{\circ}\text{C}$  for 2 min and the oven was heated to 250  $^{\circ}\text{C}$  at a rate of 10  $^{\circ}\text{C}/\text{min}$  for 1 min. The injector temperature was 183  $^{\circ}\text{C}$  and the detector temperature was 250  $^{\circ}\text{C}$ .

## **4.3 Results and discussion**

### **4.3.1 Screening of the reaction parameters**

Lignin depolymerization by using Protobind lignin was performed over various parameters such as catalysts, temperature and time in supercritical ethanol in order to find the optimum reaction conditions. The total yield of monoaromatic compounds is acquired by adding up the each yield of 12 monoaromatic compounds including phenol, toluene, m-xylene, benzyl alcohol, 2-ethylphenol, ethylbenzene, guaiacol, syringol, o-cresol, 4-ethylphenol, benzaldehyde, 1,2,4-trimethoxybenzene. Table 4-1 shows the yield of monoaromatic compounds over the catalysts such as 10 wt.% Ni/C, CuO(30)MgAlO<sub>y</sub> and 10 wt.% Ni/ZSM-5(200) at 400  $^{\circ}\text{C}$  for 4 h in supercritical ethanol (Entry 1, 3 and 8). Among the catalysts, CuO(30)MgAlO<sub>y</sub> demonstrates the highest yield of monoaromatic compounds of 9.7 wt.% compared with the others. Due to its relatively high yield of monoaromatic compounds among the catalysts, CuO(30)MgAlO<sub>y</sub> is considered as an appropriate catalyst to find

optimum reaction conditions such as temperature and time for lignin depolymerization.

In order to find the optimum reaction temperature for depolymerization of lignin, the reaction was performed at 360 °C, 400 °C, 440 °C and 480 °C for 4 h and the results are displayed in the Table 4-1 (Entry 2, 3, 4 and 7). With increasing the reaction temperature, the yield of monoaromatic compounds increases significantly (from 4.7 wt.% to 42.1 wt.%). According to the result, the optimum reaction temperature can be regarded as 480 °C where, however, the temperature region is categorized as pyrolysis [22]. Since current research is focused on solvolysis region (supercritical ethanol), so pyrolysis region should be excluded. In this respect, the optimum reaction temperature is selected as 440 °C. Meanwhile, Fang *et al.* has stated that the degree of depolymerization of lignin can be determined by the oil yield which consists of such as monomeric phenols, higher molecular phenols and aromatic hydrocarbons [97]. The results described in current work demonstrate that the yield of monoaromatic compounds is clearly affected by the reaction temperature, indicating that the degree of depolymerization of lignin increases by increasing the reaction temperature up to 440 °C.

Then, lignin depolymerization reaction was conducted at 440 °C over CuO(30)MgAlO<sub>y</sub> by varying time (4 h, 5 h and 6 h) to find the optimum reaction

time. As described in Table 4-1 (Entry 4, 5 and 6), the yield of monoaromatic compounds shows a volcano shape with respect to the reaction time; the maximum yield of monoaromatic compounds is 23.9 wt.% after reaction for 5 h. In summary, the optimum reaction conditions are selected as 440 °C for 5 h.

Table 4-1. Yield of monoaromatic compounds over the catalysts

Entry	Catalyst	Reaction temp. (°C)	Reaction time (h)	Yield of monoaromatic compounds (wt.%)
1	10 wt.% Ni/C	400	4	4.8
2		360	4	4.7
3		400	4	9.7
4	CuO(30)MgAlO <sub>y</sub>		4	21.1
5		440	5	23.9
6			6	22.6
7		480 (Pyrolysis)	4	42.1
8	10 wt.% Ni/ZSM-5(200)	400	4	4.6
9	None			5.0
10	10 wt.% Ni/ZSM-5(200)			12.5
11	10 wt.% Co/ZSM-5(200)			14.8
12	5 wt.% Cu/ZSM-5(200)			11.3
13	30 wt.% Cu/ZSM-5(200)	440	5	10.8
14	ZSM-5(30)			89.4
15	10 wt.% Cu/ZSM-5(30)			98.2
16	10 wt.% Cu/ZSM-5(50)			84.9
17	10 wt.% Cu/ZSM-5(80)			39.5
18	10 wt.% Cu/ZSM-5(200)			15.3

### 4.3.2 ZSM-5-supported catalysts for lignin depolymerization

Lignin depolymerization reaction was performed over both without catalyst (blank reaction) and ZSM-5 supported catalysts in supercritical ethanol at 440 °C for 5 h. Table 4-1 exhibits the yield of monoaromatic compounds quantified by using GC-FID. In case of the blank reaction, the yield of monoaromatic compounds is 5.0 wt.% (Entry 9). Meanwhile, the yield of monoaromatic compounds over ZSM-5 supported catalysts is shown in Table 4-1 as well. At first, among various transition metals (Ni, Co and Cu) supported on ZSM-5(200), 10 wt.% Cu/ZSM-5(200) shows the highest yield (15.3 wt.%) of monoaromatic compounds. Because the optimum metal is considered as Cu, the loading of Cu onto the ZSM-5(200) is varied from 5 wt.% to 30 wt.%. (Table 4-1, Entry 12 and 13). Consequently, the maximum yield of monoaromatic compounds is obtained by applying Cu/ZSM-5(200) with Cu loading of 10 wt.%. This result indicates that the presence of the catalyst clearly enhances monoaromatic yield.

Finally, the Si/Al<sub>2</sub> ratio of ZSM-5 was varied from 30 to 200, while the loading of Cu was fixed as 10 wt.%. By changing the Si/Al<sub>2</sub> ratio of ZSM-5, the yield of monoaromatic compounds drastically increases (Table 4-1, Entry 15-18). The highest yield of monoaromatic compounds is observed over 10 wt.% Cu/ZSM-5(30) as 98.2 wt.% among the catalysts. Besides ZSM-5(30)-

supported catalyst, 10 wt.% Cu/ZSM-5(50) and 10 wt.% Cu/ZSM(80) also exhibit the relatively high yield of monoaromatic compounds (84.9 wt.% and 39.5 wt.%, respectively). Therefore, in order to elucidate the result, in-depth analysis over the 10 wt.% Cu/ZSM-5 as a function of the Si/Al<sub>2</sub> ratio were performed.

Figure 4-1 displays the distributions of monoaromatic compounds identified and quantified by GC-MS and GC-FID analysis over the catalysts. Benzaldehyde, ethylbenzene, m-xylene and toluene are mainly produced from the depolymerization of Protobind lignin. By decreasing the Si/Al<sub>2</sub> ratio, the amount of monoaromatic compounds gradually increases, which is well related to the yield of monoaromatic compounds.

Meanwhile, HSQC NMR analysis was performed to observe the changes of raw lignin and THF-soluble lignin after the lignin depolymerization reaction. In the side-chain region (Figure 4-2(A)), methoxy (-OCH<sub>3</sub>), β-O-4 linkage (A), β-β linkage (B) and β-5 linkage (C) are clearly observed in Protobind lignin. By <sup>13</sup>C-<sup>1</sup>H correlation for α, β and γ positions, the presence of β-O-4 is identified. The <sup>13</sup>C-<sup>1</sup>H correlation in resinol substructures is displayed for β-β and that in phenylcoumarane substructures is shown for β-5. In addition, p-hydroxycinnamyl alcohol end-groups (I) and β-D-xylopyranoside are also observed. The observation of the latter implies the formation or the presence of

furan compounds [50, 66]. Meanwhile, in the aromatic region (Figure 4- 2(B)), etherified syringyl units (S), oxidized ( $C\alpha = O$ ) syringyl units (S'), guaiacyl units (G), ferulate (FA),  $\rho$ -hydroxyphenyl units (H) and  $\rho$ -coumarate ( $\rho$ CA) are observed in raw Protobind lignin. This result is consistent with the previous report [66, 98].

After lignin depolymerization reaction, all the signals observed in the aromatic region completely disappear in the THF-soluble lignin over 10 wt.% Cu/ZSM-5(30) catalysts (not shown). It indicates that during the reaction, S, S', G, FA, H and  $\rho$ CA units in Protobind lignin are decomposed, resulting in the production of monoaromatic compounds. Meanwhile, in the side-chain region, a few signals are observed in the THF-soluble lignin over 10wt.% Cu/ZSM-5(30) (Figure 4-3). The methoxy signal is observed in the catalyst and the intensity of the signal decreases significantly compared with raw Protobind lignin (Figure 4-2(A)). The decrement of the signal corresponding to methoxy means that the hydrogenolysis reaction occurs over the catalysts, which is consistent with previous work [66, 99].

The products containing ethyl groups are also identified by HSQC NMR as evidenced by the signal concerning ethylated products marked with an asterisk (\*) in Figure 4-3. This result means that ethylation between monoaromatic compounds and ethanol occurs during the reaction. Indeed, ethylated products

such as 2-ethylphenol, o-cresol and ethylbenzene are also detected by GC in the liquid product.

Meanwhile, in order to confirm the role of Cu, lignin depolymerization was conducted in the same condition by using Cu-free catalyst, ZSM-5(30). As a result, the yield of monoaromatic compounds is 89.4 wt.% (Table 4-1, Entry 14), which is lower than that of 10 wt.% Cu/ZSM-5(30). Based on the result, it can be speculated that the presence of Cu metals may play a certain role in enhancing the lignin depolymerization.

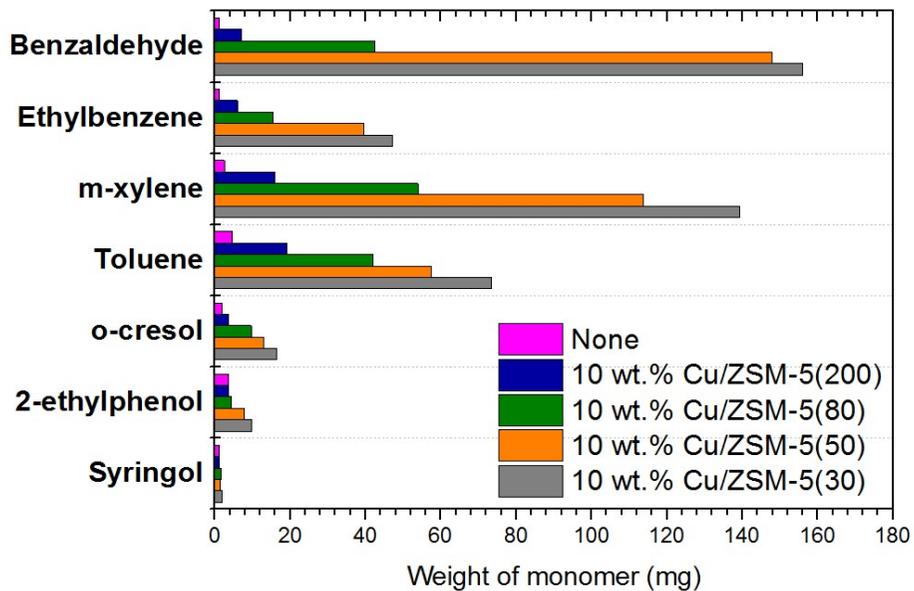


Figure 4-1. Distributions of selected monoaromatic compounds over the catalysts at 440 °C for 5 h.

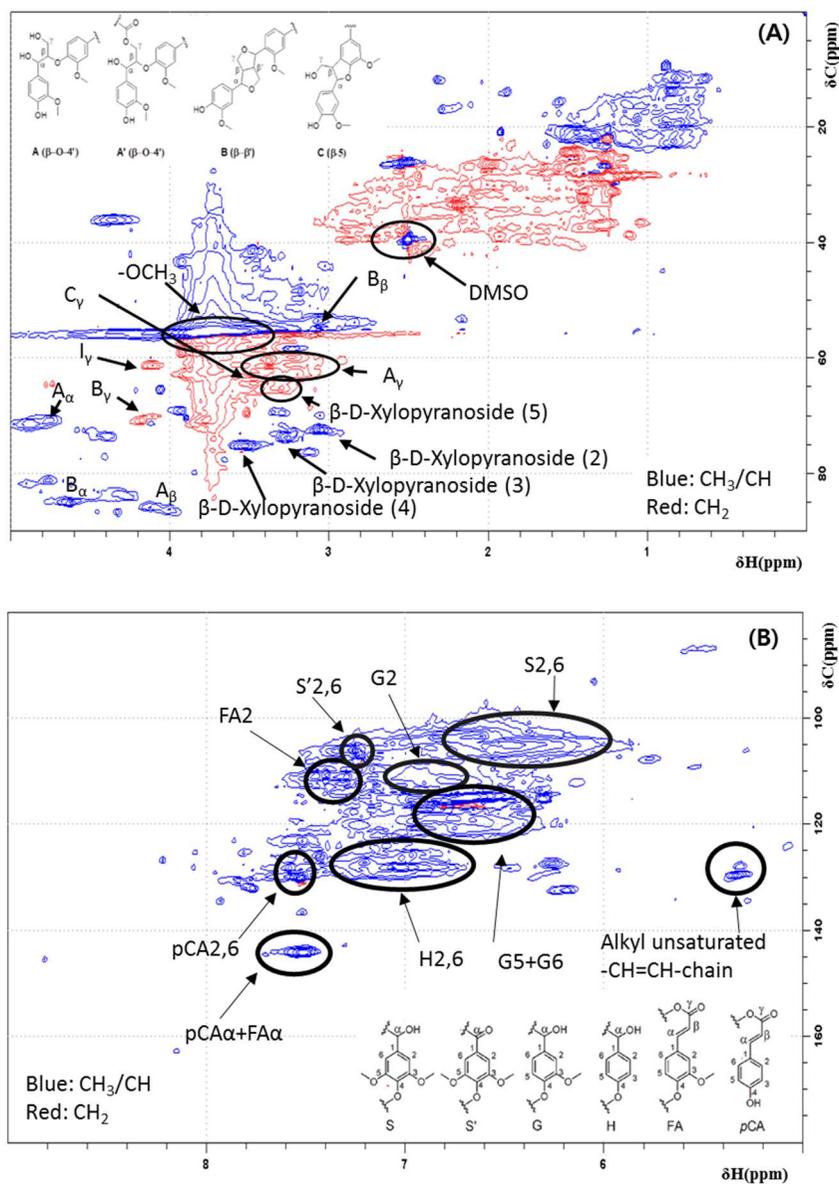


Figure 4-2. HSQC NMR spectra of Protobind lignin: (A) the side-chain region (B) the aromatic region.

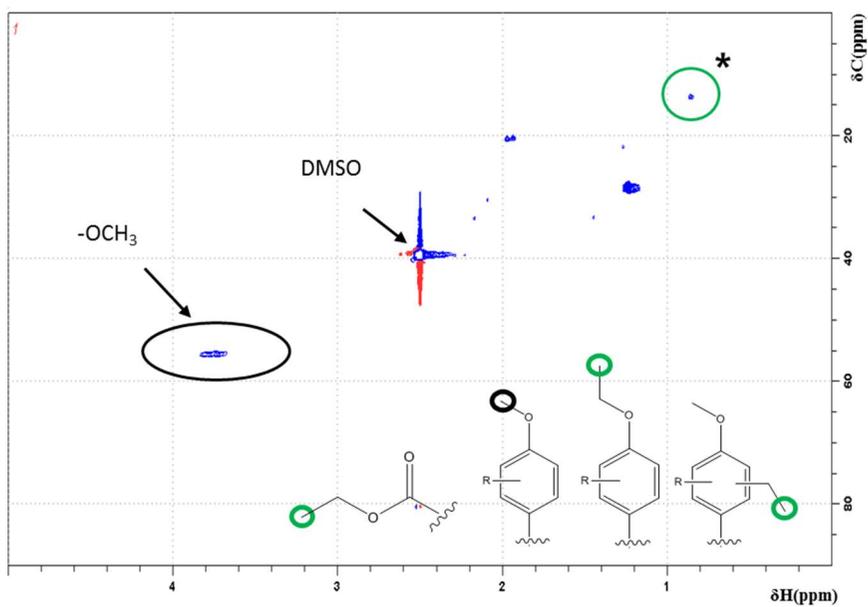


Figure 4-3. The side-chain region of HSQC NMR spectra of THF-soluble lignin after the reaction by using 10 wt.% Cu/ZSM-5(30) at 440 °C for 5 h.

### 4.3.3 Physical properties of 10 wt.% Cu/ZSM-5(ratio) catalysts

As shown in Table 4-2, the difference in the amount of the Cu loading between the experimental value and the theoretical value exists. However, the experimental values over the Cu loading among the samples are almost identical from 7.26 % to 7.54 %. Meanwhile, the experimental values of the Si/Al<sub>2</sub> ratio of ZSM-5 is slightly larger than the theoretical values. All catalysts have almost similar BET surface area between 331 m<sup>2</sup>/g to 365 m<sup>2</sup>/g, except for 10 wt.% Cu/ZSM-5(80), which has the largest BET surface area as 426 m<sup>2</sup>/g. However, the BET surface area is not related to the catalytic activity. Meanwhile, by increasing the Si/Al<sub>2</sub> ratio of ZSM-5, the external surface area increases from 98 m<sup>2</sup>/g to 237 m<sup>2</sup>/g. In the results of XRD analysis (Figure 4-4), the patterns of XRD assigned to ZSM-5 are displayed in all catalysts, indicating that even after Cu loading, the structure of ZSM-5 is well maintained. In addition, the patterns of XRD corresponding to Cu metal (43 ° and 51 °) are also observed in all catalysts. The crystallinity of Cu metal over the catalysts is almost identical since the peak intensity of Cu metal phase at 43 ° is also similar (the error range is about ± 10 %). In order to understand the morphology of the catalysts, SEM analysis was conducted and the SEM micrographs show in Figure 4-5. By varying the Si/Al<sub>2</sub> ratio of ZSM-5, the morphology of the catalysts is remarkably changed. As the Si/Al<sub>2</sub> ratio is the low such as 30 and

50, the particles demonstrate nearly cubic and/or schistose morphology (irregular morphology) with the particle size of about 200 nm, whereas the Si/Al<sub>2</sub> ratio is getting high such as 80 and 200, the particles have spherical morphology with the size of > 1 μm. Based on the result, it can be claimed that the morphology and the particle size of the catalysts are considerably different by changing the Si/Al<sub>2</sub> ratio in Cu/ZSM-5 [100].

Table 4-2. Results of ICP-AES and N<sub>2</sub> physisorption of the ZSM-5(ratio)-supported catalysts

Entry	Catalyst	ICP-MS		N <sub>2</sub> physisorption	
		Cu (%)	Si/Al <sub>2</sub>	S <sub>BET</sub> (m <sup>2</sup> /g)	S <sub>external</sub> (m <sup>2</sup> /g)
1	10 wt.% Cu/ZSM-5(30)	7.54	34	311	98
2	10 wt.% Cu/ZSM-5(50)	7.44	60	365	135
3	10 wt.% Cu/ZSM-5(80)	7.45	84	426	141
4	10 wt.% Cu/ZSM-5(200)	7.26	227	355	237

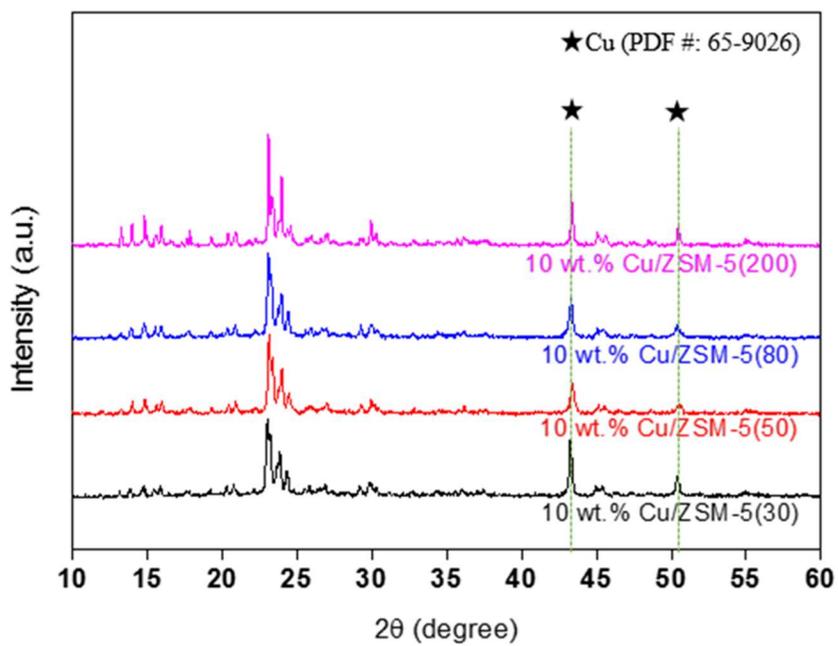


Figure 4-4. The XRD patterns of as-reduced 10 wt.% Cu/ZSM-5(ratio) catalysts; ratio of 30, 50, 80 and 200.

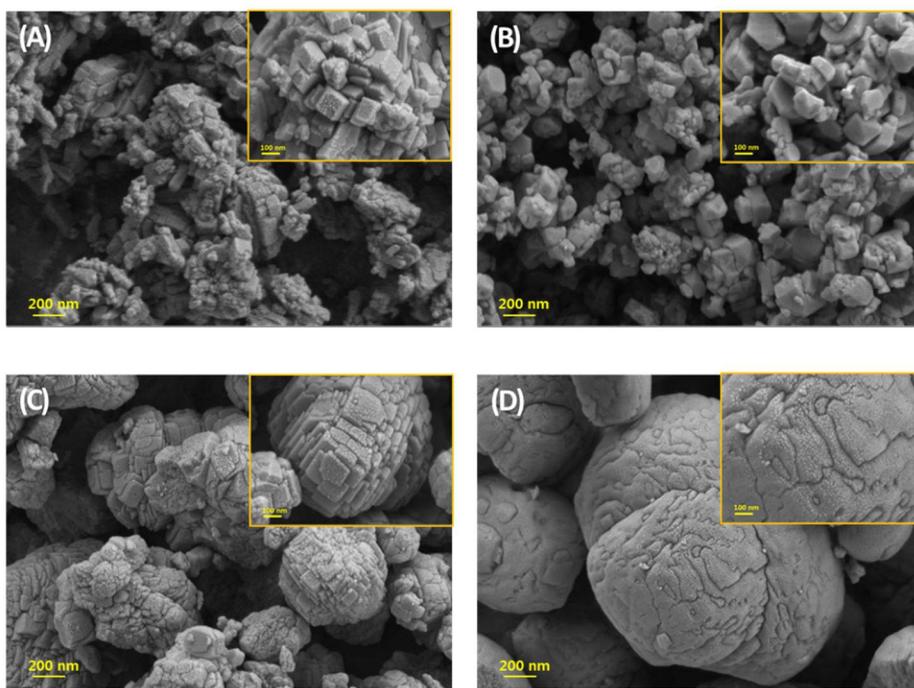


Figure 4-5. SEM images of as-reduced 10 wt.% Cu/ZSM-5 with (A) Si/Al<sub>2</sub>(30), (B) Si/Al<sub>2</sub>(50), (C) Si/Al<sub>2</sub>(80) and (D) Si/Al<sub>2</sub>(200).

#### 4.3.4 Acidic properties of 10 wt.% Cu/ZSM-5(ratio) catalysts

In order to investigate the acidic properties of the catalysts, NH<sub>3</sub>-TPD was conducted and the spectra are displayed in Figure 4-6. Two main desorption peaks are observed in the catalysts. The first peak below 350 °C is attributed to the weak acid sites of the catalysts, while the second peak above 350 °C originates from the strong acid sites [101, 102]. The amount of acid sites in the high temperature region is determined, which is normalized by external surface area since lignin is expected to access only to the external surface of Cu/ZSM-5 (Table 4-3). The acid density (mmol/m<sup>2</sup>) of the catalysts increases by decreasing the Si/Al<sub>2</sub> ratio from 200 to 30; the order is followed by 10 wt.% Cu/ZSM-5(30): 3.2 mmol/m<sup>2</sup> > 10 wt.% Cu/ZSM-5 (50): 2.5 mmol/m<sup>2</sup> > 10 wt.% Cu/ZSM-5(80): 1.8 mmol/m<sup>2</sup> > 10 wt.% Cu/ZSM-5(200): 0.6 mmol/m<sup>2</sup>. According to the previous research, the acidity increases with decreasing the Si/Al<sub>2</sub> ratio due to the increment of not only extra-framework aluminum content but also in the framework [103]. It was found that our result was consistent with previous work.

Figure 4-7 demonstrates the relationship between yield of monoaromatic compounds and acid density of 10 wt.% Cu/ZSM-5 as a function of the Si/Al<sub>2</sub> ratio catalysts. The yield of monoaromatic compounds and the acid density data were taken from Table 4-1 and 4-3, respectively. The yield of monoaromatic

compounds increases with increasing the acid density of the catalysts. Among the catalysts, 10 wt.% Cu/ZSM-5(30) shows the highest acid density (3.2 mmol/m<sup>2</sup>), resulting in the highest yield of monoaromatic compounds. It can be concluded that the acid density of Cu/ZSM-5 plays a crucial role in depolymerization lignin in supercritical ethanol.

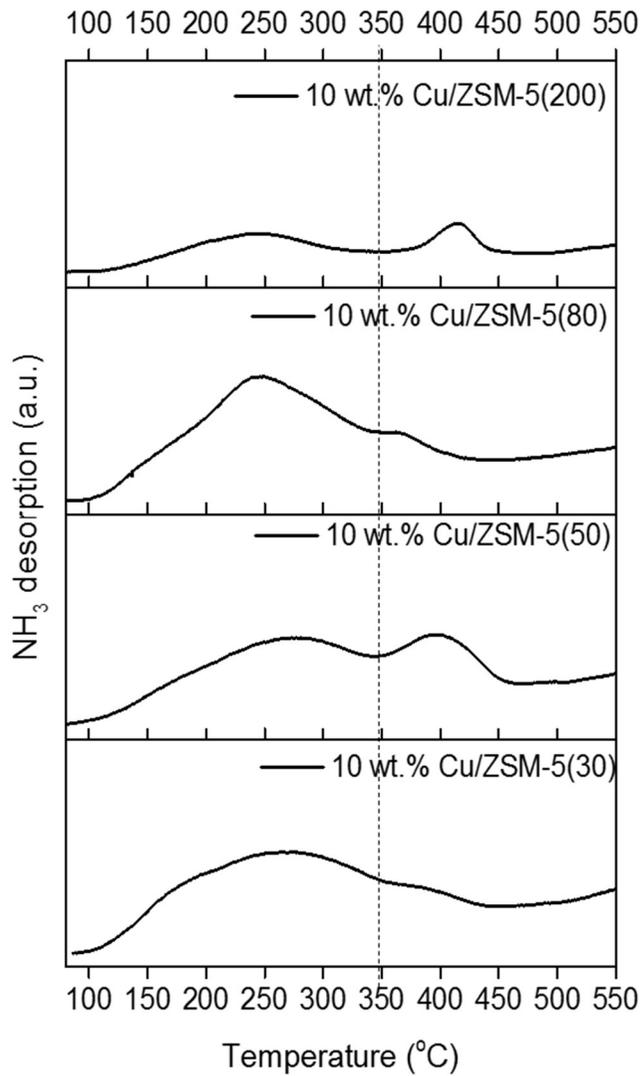


Figure 4-6. NH<sub>3</sub>-TPD spectra of as-reduced 10 wt.% Cu/ZSM-5(ratio) catalysts.

Table 4-3. Quantity of acid sites at high temperature of the catalysts

Entry	Catalyst	Acid density (mmol/m <sup>2</sup> )
1	10 wt.% Cu/ZSM-5(30)	3.2
2	10 wt.% Cu/ZSM-5(50)	2.5
3	10 wt.% Cu/ZSM-5(80)	1.8
4	10 wt.% Cu/ZSM-5(200)	0.6

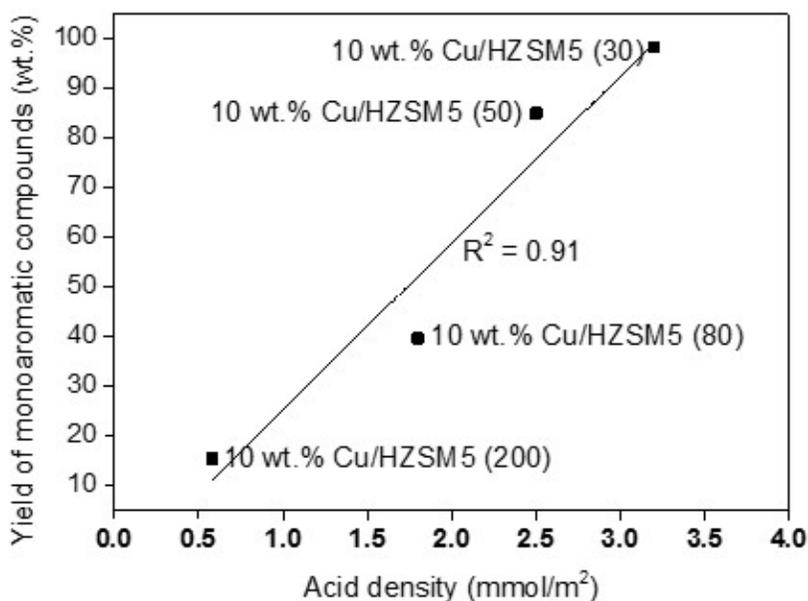


Figure 4-7. Relationship between the yield of monoaromatic compounds and the acid density of the catalysts.

## 5. Summary and Conclusions

The Al<sub>2</sub>O<sub>3</sub>-supported catalysts and the carbon-supported catalysts were applied for decomposition of BPE and the results of the yield of phenol and toluene were compared. It was confirmed that the carbon-supported catalysts were more suitable for decomposition of BPE compared to Al<sub>2</sub>O<sub>3</sub>-supported catalysts. In addition, Ru catalysts were the most reactive for decomposition of BPE because these catalysts were highly dispersed with small particles (about

1.5 nm) among the various metal catalysts supported on carbon. Meanwhile, the amount of generated H<sub>2</sub> was the most over 5 wt.% Pt/C among the three different metal catalysts (Ru, Pt and Ni), which was consistent with the previous report. It is found that 5 wt.% Pt/C in supercritical ethanol catalyzes alkylation, leading to the production of alkylated phenols.

We investigated the depolymerization of concentrated sulfuric acid hydrolysis lignin (CSAHL) over MO(X)MgAlO<sub>y</sub> catalysts (M = Cu, Ni or Co) in supercritical ethanol without additional hydrogen supply to acquire high monoaromatic yield. According to our results, Cu was selected as the optimum metal among Co, Ni and Cu with the highest yield of monoaromatic compounds of 18.4 wt.% when incorporated into MgAlO<sub>y</sub>. When it comes to the amount of Cu loading, the optimum Cu loading was 30 wt.%, which showed the highest the number of acid sites. Meanwhile, we found that as the Cu loading exceeded 40 wt.%, there had been the decline of acid sites and the remarkable sintering of Cu metal during reaction, resulting in the decrement of monoaromatic yield.

The lignin depolymerization of Protobind lignin (PL) was performed over various parameters (catalysts, temperature and time) in supercritical ethanol without supplying extra hydrogen. Based on the result, the optimum reaction conditions (temperature and time) were selected as 440 °C and 5 h. With the reaction conditions, ZSM-5-supported catalysts were applied to get the high

yield of monoaromatic compounds from the depolymerization of Protobind lignin. Therefore, various variables such as the types of transition metals (Co, Ni and Cu), the amount of metal loading (5 wt.%, 10 wt. % and 30 wt.% of Cu) and the Si/Al<sub>2</sub> ratio (30, 50, 80 and 200) were explored for lignin depolymerization at 440 °C for 5 h in supercritical ethanol. As a result, 10 wt.% Cu/ZSM-5(30) showed the highest yield of monoaromatic compounds as 98.2 wt.%. In addition, the linear correlation between yield of monoaromatic compounds and the acid density of Cu/ZSM-5 with various the Si/Al<sub>2</sub> ratios was obtained. It can be explained by the fact that with decreasing the Si/Al<sub>2</sub> ratio, the aluminum content of extra-framework increased, leading to the increment of the acidity of the catalyst. Consequently, the yield of monoaromatic compounds increased. It was also found that the presence of Cu on the ZSM-5 enhanced the lignin depolymerization.

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## 6. 국 문 초 록

화석연료는 수요가 증가함에 따라 빠르게 고갈되고 있으므로 새로운 대체 자원이 필요하다. 바이오매스는 지구상에서 가장 풍부한 재생 가능한 탄소자원이므로, 유망한 대체 원료 중 하나로 주목 받고 있다. 2세대 바이오매스인 리그노셀룰로오스는 1세대 바이오매스와 달리 식량자원으로 이용되지 않는다. 특히, 리그노셀룰로오스 바이오매스의 구성성분 중 하나인 리그닌은 다량의 방향족 화합물들로 이루어진 무정형 고분자이며 탄소-탄소 및 탄소-산소 결합으로 이루어져 있어 고부가가치 화합물로 이용할 수 있다. 리그닌은  $\beta$ -O-4 (46 ~ 60 %),  $\alpha$ -O-4 (6 ~ 8 %) 그리고 4-O-5 (3.5 ~ 6.5 %)와 같은 탄소-산소 결합들이 주를 이루며  $\beta$ - $\beta$  (7 %),  $\beta$ -5 (6 ~ 12 %),  $\beta$ -1 (4.5 ~ 11 %) 그리고 5-5 (2 ~ 3 %)와 같은 탄소-탄소 결합들이 매우 적은 양으로 구성되어 있다. 따라서, 선택적인 탄소-산소 결합의 분해기술은 리그닌을 고부가가치 화합물로 전환시키기 위한 가장 중요한 기술이다.

수 년간 리그닌 분해로부터 고부가가치 화합물의 수율을 높이기 위하여 열분해, 가스화분해, 촉매접촉분해, 가용매분해와 같은 다양한 열화학적 분해기술들이 이용되었다. 그러나 리그닌의 난분해성 구조로 인해 리그닌을 고부가가치 화합물로 전환하기에 어려움이 있었다. 최근 몇 년 동안 가용매분해가 많은 주목을 받고 있는데 그 이유는 생성물의 수율이 높을 뿐만 아니라 얻어지는 생성물의 성질 또한 우수하기 때문이다. 특히,

초임계 알코올 (에탄올)을 이용한 가용매분해의 경우, 탄소-산소 결합 분해에 필수적인 수소를 자가발생 시킬 수 있기 때문에 더욱 주목 받고 있다. 또한, 적절한 촉매를 이용하면 선택적인 탄소-산소 결합의 분해가 가능하기 때문에 리그닌 분해반응에서 촉매와 초임계 알코올을 동시에 이용하는 방법이 최근 관심을 받고 있다.

따라서, 본 연구에서는 앞서 설명한 초임계 알코올을 이용한 가용매 분해와 촉매분해의 장점을 결합한 초임계 유체-촉매 시스템을 이용하여 리그닌의 주요 결합 중 하나인  $\alpha$ -O-4를 포함하는 모델화합물의 분해반응 경로를 연구하였고 나아가 리그닌 (강산당화 리그닌, 프로토타인드 리그닌)을 단일 방향족 화합물로 분해하는 연구를 수행하였다.

첫 번째로  $\alpha$ -O-4를 포함하는 모델 화합물인 벤질 페닐 에테르를 이용하여 초임계 에탄올 조건에서 추가의 외부 수소 공급 없이 모델 화합물 분해반응을 진행하였다. 그 결과, 탄소 지지체 촉매들이 알루미늄 지지체 촉매들보다 생성물 (페놀 및 톨루엔)의 수율이 약 2배 이상 높았다. 또한, 담지 된 금속 (Ru, Pt 그리고 Ni)에 대하여 촉매 활성을 비교한 결과, Ru 금속을 이용한 경우, 생성물 (페놀 및 톨루엔) 수율이 96.4 %로 가장 높았다. 이는 세 가지 금속 중 Ru이 카본 지지체에 가장 작은 입자 크기로 (약 1.5 nm) 고 분산된 형태로 존재하기 때문이다. 생성된 수소의 양은 5 wt.% Pt/C 촉매에서 가장 많았으며 알킬화 페놀의 양도 가장 많았다. 이를 통해 세 가지 금속 촉매 중 Pt 촉매가 초임계 에탄올 조건에서 알킬화를 가장

촉진시킨다는 것을 유추할 수 있었다. 이 결과를 근거로 초임계 에탄올에서의 벤질 페닐 에테르의 반응 경로를 제시하였다.

두 번째로 강산당화 리그닌을 이용하여 초임계 에탄올 조건에서 다양한 촉매들에 대해 리그닌 분해반응을 수행하였다. 우선적으로 Co, Ni 그리고 Cu가 각각 30 wt.%씩 공침된  $MgAlO_y$  기반 촉매의 경우,  $CuO(30)MgAlO_y$  촉매의 단일 방향족 수율이 18.4 wt.%로 세 촉매 중 가장 높았고 가장 많은 산점을 보유하고 있는 것이 관찰되었다. 따라서, 촉매표면의 산점이 리그닌 분해반응 중 단일 방향족 생산에 중요한 역할을 결정 짓는 주요 인자라고 할 수 있다. 또한, Cu 담지량이 10 wt.%에서 40 wt.%까지 증가함에 따라 단일 방향족 수율은 화산형 그래프 개형을 보이며 Cu 담지량이 30 wt.% 일 때 단일 방향족 수율이 가장 높았다. 암모니아 승온탈착 실험 결과 최대 산점은 Cu 담지량이 30 wt.%일 때 나타났고, 이는 반응실험 결과와도 일치했다. Cu 담지량이 30 wt.% 이상이 되면 산점이 감소하고 그로 인해 단일 방향족 수율도 감소하였다.

마지막으로, 프로토타입 리그닌의 분해반응은 초임계 에탄올 조건에서 진행되었으며 제올라이트 기반 촉매를 이용하여 전이금속 (Co, Ni 그리고 Cu)의 종류, Cu 금속의 담지량 (5 wt.%, 10 wt.% 그리고 30wt.%), 마지막으로 Si/Al<sub>2</sub>의 비율 (30, 50, 80 그리고 200)과 같은 다양한 변수들에 대해 관찰하였다. 그 결과, 10 wt.% Cu/ZSM-5(30) 촉매가 98.2 wt.%로 가장 높은 단일 방향족 수율을 나타내었고 이는 가장 많은 산 밀도 (3.2

mmol/m<sup>2</sup>)를 갖고 있기 때문이었다. 또한, 산 밀도가 증가함에 따라 단일 방향족 수율이 증가하여 둘 사이의 선형관계가 성립됨을 확인하였다. 이로써, 촉매표면의 산 밀도는 프로토타인드 리그닌 분해반응에서 단일 방향족 생산을 위한 결정적인 요소라고 할 수 있다. HSQC NMR 분석을 통해 프로토타인드 리그닌의 분해는 수소화 반응을 통해 진행되는 것을 유추할 수 있었다. 또한, HSQC NMR 스펙트럼에서 에틸화 생성물 관련 신호가 관찰되었다. 이는 생성물 중 에틸화 생성물이 존재함을 의미하며 실제 생성물 분석 결과와 일치했다. 또한, Cu 금속의 첨가가 리그닌 분해반응을 향상시키는 데 중요한 역할을 한다는 것을 발견했다.

**주요어:** 리그닌의 촉매적 분해반응, 초임계 에탄올, 자가발생 수소, 산점  
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