



### 보건학 석사 학위논문

# The association between quality of asthma treatment and asthma exacerbation in Korea

- A national population - based study -

기관별 천식 진료의 질과 악화율의 상관성 분석

2018년 2월

서울대학교 보건대학원

보건학과 보건학전공

김 민 성

# The association between quality of asthma treatment and asthma exacerbation in Korea

- A national population-based study -

Sungho Won

Submitting a master's thesis of Public Health

November 2017

Graduate School of Public Health Seoul National University Public Health Major

Minseong Kim

Confirming the master's thesis written by Minseong Kim December 2017

Chair	Ho Kim	_(Seal)
Vice Chair	Wankyo Chung	_(Seal)
Examiner	Sungho Won	(Seal)

## Abstract

# The association between quality of asthma treatment and asthma exacerbation in Korea

Kim Minseong Graduate School of Public Health Seoul National University

**Background/Objective:** Health Insurance Review & Assessment service (HIRA) has evaluated the effect of medical care on asthma and its cost for each medical institution since 2013. However, the validity of evaluation results by HIRA has not been carefully examined. The main goal in my thesis is to test whether the asthma evaluation is significantly associated with asthma care by using the claim data received from HIRA.

**Method:** The claim data from 1 July 2013 to 30 June 2016 were requested to HIRA. Data generated by HIRA were denoted by M20170512670 and it was remotely accessed for statistical analyses. I considered subjects with J45(asthma) or J46(status asthmaticus) diagnosis code and who aged 15 years or older. T20(general information), T30(healthcare service provided) and T53(outpatient prescription) from M20170512670 were used to determine asthma medication and asthma patients, and then the asthma exacerbation medicines were determined and their rank sums of asthma medical institution were regressed on the asthma exacerbation rate.

**Results:** I evaluated the association between evaluation results by HIRA and asthma exacerbation rate for each medical institution with regression. If evaluation of medical institution by HIRA was appropriately conducted, medical institution with good evaluation may have smaller asthma exacerbation rate due to low asthma hospitalization and asthma exacerbation drug use than other medical institutions. However, the asthma exacerbation rate and the medical institution with good evaluation were not significantly associated. Furthermore, the asthma exacerbation rate due to the use of asthma exacerbation drugs has been consistently decreasing, and medical institution with good evaluation tends to have higher asthma hospitalization.

**Conclusion:** Results suggests that evaluation by HIRA may improve the quality of asthma treatment in medical institutions but it does not successfully assess effectiveness of asthma treatment. The results in my thesis may provide useful information to improve the project of HIRA for evaluation on asthma care and further investigation on evaluation criteria for asthma care is necessary to improve the quality of asthma treatment.

**Keyword :** Asthma, Exacerbation, Quality of asthma treatment, Evaluation of appropriateness, Korea **Student Number :** 2015-24005

### Contents

1. Introduction	1
2. Theoretical Background	3
2.1. Asthma treatment guideline	3
2.2. Foreign status on quality evaluation of asthma ca	are in
hospital	6
2.3. Korean status on quality evaluation of asthma ca	are in
hospital	11
3. Method	15
3.1. Study design	15
3.2. Operational definitions	18
3.2.1. Asthma medications and their quantitative rank	18
3.2.2. Asthma exacerbations	20
3.2.3. Hospitalization rate	20
3.2.4. Excellent medical institution	21
3.2.4.1. Execution proportion of pulmonary function test	22
3.2.4.2. Proportion of persistent visiting patients	22
3.2.4.3. Proportion of ICS prescription patients	22
3.2.4.4. Proportion of patients with essential drug(IC	CS or
LTRA) prescription	22
3.2.4.5. Proportion of LABA prescription patients without IC	S22
3.2.4.6. Proportion of SABA prescription patients without IC	S23
3.2.4.7. Proportion of OCS prescription patients without ICS	23
3.3. Objective & Hypotheses	23
3.4. Statistical methods	25
4. Results	26
5. Discussion	36

### Tables

Table 1. Evaluation criteria of asthma care in foreign countries9
Table 2. Summary of evaluation results by HIRA······.13
Table 3. Evaluation results by evaluation area14
Table 4. Classification of asthma medications and their rank18
Table 5. Asthma medications used in exacerbation status
Table 6. Evaluation indicators by HIRA21
Table 7. Changes of HIRA evaluation result on medical
institutions24
Table 8. Distribution of quantitative asthmatic medication and
asthma exacerbation drug use in 1 <sup>st</sup> evaluation period27
Table 9. Distribution of quantitative asthmatic medication and
asthma exacerbation drug use in 2 <sup>nd</sup> evaluation period27
Table 10. Distribution of quantitative asthmatic medication and
asthma exacerbation drug use in 3 <sup>rd</sup> evaluation period28
Table 11. Association between the rank-sum and the category of
medical institutions······29
Table 12. Association between the asthma exacerbation medication
use among visited patients and the category of medical
institutions······30
Table 13. Association between the rank-sum and the result of
HIRA evaluation
Table 14. Association between asthma exacerbation and excellent
medical institution
Table 15. Effect of average daily rank sums of patients visited
selected clinics and asthma evaluation of the clinics on
asthma exacerbation rates in $1^{st}$ evaluation period (July,
2013~June, 2014)
Table 16. Effect of average daily rank sums of patients visited
selected clinics and asthma evaluation of the clinics on
asthma exacerbation rates in 2 <sup>nd</sup> evaluation period (July,
2014~June, 2015)
Table 17. Effect of average daily rank sums of patients visited
selected clinics and asthma evaluation of the clinics on

	asthma exacerbation rates in 3 <sup>rd</sup> evaluation period (July,
	2015~June, 2016)
Table 1	18. Association between the change of HIRA evaluation
	result and rank-sum and exacerbation and
	hospitalization

## Figures

Figure 1. Changes in each of the four evaluation indicators	.4
Figure 2. The process of extracting the subject for evaluation fr the HIRA data warehouse	om 17
Figure 3. example of rank sum calculation	19

## 1. Introduction

Asthma is a heterogeneous disorder characterized by chronic airway inflammation. It is characterized by symptoms such as wheeze, shortness of breath, chest tightness and cough, together with variable expiratory airflow limitations(GINA guideline 2017). Asthma is a major chronic disease that affects about 300 million people worldwide. Acute exacerbations can be life-threatening, and chronic diseases can cause disruption to daily life. The prevalence of asthma continues in Korea to increase, suggesting the possibility that asthma will soon become a socioeconomic burden in Korea, which is rapidly entering an aging society.

Asthma is also a disease that requires many medical resources. According to the medical statistics index bv Health Insurance (2015), the number of patients is 1.66 million (3.55% of the total number of medical patients), and the medical expenses are 263.5 billion won (0.47% of total medical expenses). It occupies 6th place in the 10th chronic disease burden (Yoon, 2009). Asthma is a typical ambulatory care sensitive condition (ACSC) that can prevent the exacerbation and hospitalization of patients when they are adequately treated, and the cost of medical care can be substantially reduced if patients are properly managed by the medical institutions.

The Health Insurance Review & Assessment service (HIRA) has evaluated the medical behavior of medical institutions since the second half of 2001 through the amendment of the National Health Insurance Act 2000. Asthma has been included in the target disease to evaluate the adequacy of medical behavior by medical institution by HIRA since 2013. As a result of the evaluation of the medical institution' s medical behavior in 2015, the rate of 'Pulmonary function test' which is an evaluation indicator of HIRA increased by 1.41% from 23.47% to 24.88% compared to 2014, and the rate of 'patients who visited continuously' increased by 0.68% from 71.20% to 71.88%. However, it is only a small increase, so it is necessary to compare the effectiveness of the HIRA project. The rate of pulmonary function tests required for asthma diagnosis was 81.61% for tertiary general hospitals, 61.30% for general hospitals, and 18.06% for clinics. When comparing these figures, there was a big difference between hospitals. The proportion of ICS prescriptions was 87.14% for tertiary general hospitals, 65.18% for general hospitals, and 17.80% for clinics. This number also shows the differences between hospitals, so it is necessary to verify whether the HIRA project is effective.

Currently, no studies have evaluated the appropriateness of the HIRA's project on asthma care scientifically, and it is necessary to analyze scientifically how the HIRA project affects the quality of asthma treatment.

## 2. Theoretical Background

### 2.1. Asthma treatment guideline

The prevalence of asthma among Korea adults has increased from 4,944 to 5,707 cases per 100,000 population (from 3760 to 4445 in men and from 6108 to 6951 in women) (S. Kim et al., 2013) from 2006 to 2010, and the prevalence of asthma, which is expected to increase to around 400 million worldwide by 2025 (Masoli, Fabian, Holt, & Beasley, 2004). In 2016, the number of asthma patients in Korea was 1.97 million (4.16% of the total number of medical personnel) and total medical expenses of 213 billion won (0.34% of total medical expenses). Asthma requires a large amount of medical resources. The prevalence of preventable asthma in Korea is about 94.5 per 100,000 people by 2015, more than twice the average of 46.7 in OECD countries (OECD, 2017).

Patients with asthma have similar clinical features but their pathologies are very heterogeneous. Asthma can be classified by demographic, clinical, and pathophysiological criteria. Many phenotypes have been identified as allergic asthma, non-allergic asthma, late-onset asthma, asthma with fixed airflow limitation, asthma with obesity (Korean guideline for asthma, 2015).

As the prevalence of asthma has increased and the socio-economic importance of the disease has been recognized, the international guidelines for the diagnosis and treatment of asthma were first established and published in 1992 in order to convey the consensus of experts on the treatment of asthma. The "Korean Academy of Asthma, Allergy and Clinical immunology" published the first guidelines for asthma treatment in Korea in 1994, and revised the guideline in 2015. The Guideline covers both adult asthma and pediatric asthma, and is based on the Global Initiative for Asthma (GINA) 's Global Strategy for Asthma Management and Prevention, British Guideline on the Management of Asthma. This is the latest edition of the Korean guideline for Asthma. Currently, asthma is treated with Inhaled Corticosteroids(ICS) and leukotriene receptor antagonist (LTRA), and in the case of more severe asthma, the maintenance regimen is gradually strengthened by adding a sustained  $\beta 2$ -agonist (LABA) (GINA 2016, NAEPP 2007). Since it is known that ICS relieves systemic side effects and develops strong local effects, ICS is recommended as a primary therapeutic agent in clinical practice guideline (Korean guideline for asthma, 2015). Nonetheless, the prescription rate of ICS is low in Korea, and when we look at the distribution of prescription drug formulations used for asthma patients, 83.4% of the oral formulas and ICS were only 16% (Jang, Kim, Sohn, Park, & Kim, 2014). The reason why the use of ICS is low is that Korean physicians often depend on oral medications rather than ICS (Lee, 2004). The reasons for low ICS use include the stereotypes that oral drugs are effective, the difficulty and resistance of inhaler manipulation, the fear of side effects of ICS, the underestimation of chronic airway disease, the cost of relatively expensive ICS. It seems that the compliance rate of the guidelines for recommending prescription for ICS is low due to unfamiliarity with the guidelines for airway disease treatment or the lack of knowledge of ICS education methods (Cho et al., 2006). In addition, the negative memories of past insurance systems, when insurance was cut when prescribing inhalants in primary medical institutions, may have influenced Korean physicians' treatment patterns. Analysis of national health insurance data from 2003 to 2010 in Korea to evaluate Korean physicians' use of ICS showed that the prevalence rates of ICS before and after the distribution of guideline were 13.3% and 16.4%, respectively. However, the effect of guideline was not significant. ICS prescriptions at hospitals and general hospitals were significantly increased, but there was no significant change in primary clinics, which covered 81.7% of asthma cases. From the in-depth interview, we could identify that the reimbursement criteria of HIRA and patient's preference for oral drug were barriers for the ICS prescription (S. H. Kim et al., 2015).

4

However, the use of ICSs is the cornerstone of asthma treatment. A retrospective cohort study using the Health Improvement Network general practice database (THIN, United Kingdom) and Cegedim Longitudinal Patient Data (France) showed that patients with asthma using systemic steroids or antibiotics were less likely to use ICS. Patients with fewer ICS use visited the hospital more often, and asthma was not well controlled. In addition, the greater the use of ICS, the lower the risk associated with the use of systemic steroids (Laforest et al., 2015) Failure to follow the asthma guidelines may result in poor quality of life, disproportionate use of medical resources, and side effects of systemic steroids administered on a regular basis. ICS is known to be effective not only in clinical efficacy but also in cost reduction of asthma treatment. According to a study of Medicaid subscribers in the state of North Carolina in the US, ICS-treated patients showed a 23.7% reduction in total cost compared to controls without any steroids such as oral or inhaled medication (J. Kim, Lee, Kim, & Lee, 2008). Given the fact that the usual use of ICS to control asthma is more cost-effective, it is expected that the social costs of asthma will increase if the asthma care guidelines are not followed at the medical institutions. Social costs, including direct and indirect costs incurred from asthma in Korea, were considerable at \$ 4.1 billion as 0.44% of GDP in 2004(CY. Kim et al., 2011). Considering that asthma morbidity and mortality are increasing every year, the social cost of asthma is expected to increase further in the future.

Therefore, it is necessary to confirm whether the project of the HIRA will induce compliance with the guideline of medical institutions to improve the quality of asthma treatment and to contribute to the appropriation of medical expenses.

## 2.2. Foreign status on quality evaluation of asthma care in hospital

Since the healthcare sector has a direct impact on the health and life of the people, more government regulation is needed than in other areas. It is difficult to guarantee the quality of patient safety and quality of care, because of the rapid change in its environment, such as the complexity, the plurality of stakeholders, the emergence of new diseases and the development of medical technology. There are various medical institutions for regulating the healthcare sector. In addition, the regulatory system can be divided broadly into voluntarism, market mechanism, self-regulation, meta-regulation, and direct and command(Healy & Braithwaite, 2006).

In the meantime, a great deal of medical care has relied on selfregulation of medical institutions, such as observing the mortality rate of patients in hospitals or confirming treatment outcomes. However, there is a limit. In many countries, various regulations have been introduced to regulate the healthcare sector, and a new management system has been introduced in areas that were managed by self-regulation for the quality control of medical care, including patient safety law (Downie et al., 2006). Government and evaluation bodies of the United States and the United Kingdom have released evaluation results since 1990. In the Centers for Medicare Medicaid Services (CMS), Pennsylvania Healthcare Cost & Containment Council (PHC4), Leapfrog in the United States and National Health Service(NHS) in the United Kingdom have published the results of the evaluation along with information on the amount of medical care and medical expenses. In addition, quality improvement programs are developed and provided to medical institutions in various ways such as Quality Improvement Organizations (QIO) and Institute for Healthcare Improvement (IHI) in the United States. In order to verify that medical institutions provide good quality medical services to patients, the quality of medical services such as the medical service process, treatment outcome, patient perception, organizational structure, and system are evaluated.

In the United States, many institutions are involved in assessing quality of medical care. The National Quality Forum (NQF) reviews and supports evaluation indicators proposed by organizations such as the American Medical Association (AMA) or the Agency for Healthcare Research and Quality (AHRQ). Physician Consortium for Performance Improvement (PCPI) of AMA conducts a quality assessment of asthma patient care through a variety of indicators. And the National Committee for Quality Assurance (NCQA) is the main body performing authentication based on the evaluation results. NCQA also publishes reports on quality measurements using Healthcare Effectiveness Data and Information Set (HEDIS). Medicare and Medicade Services (CMS) use measures approved by the NQF, and NCQA establishes and applies reimbursement and incentive payment criteria. The evaluation indicators of PCPI are shown in the Table 1. As shown in Table 1, not only the asthma medications use of the GINA guideline but also indicators such as emergency room visits or hospitalization due to asthma exacerbation were selected as evaluation indicators in PCPI. This means that not only the compliance with the guidelines of medical institutions was assessed but also the evaluation of asthma exacerbation as a result of medical treatment. The evaluation indicators of HIRA project only reflect the compliance of the medical institution with the use of asthma medications in 'Korean guideline for asthma(2014)'. This fact can be a rationale that the variables of asthma exacerbation and hospitalization set in this study is appropriate to assess the evaluation indicators of HIRA.

In United Kingdom, National health Service(NHS) has introduced the Quality and Outcome Framework (QOF) since 2004, which is the world's largest incentive compensation system that measures the clinical and organizational quality of primary care. As the first QOF indicator (2004) was introduced without preliminary validation, National Institute for Health and Clinical Excellence (NICE) has improved clinical quality measures in line with international guidelines and has been determined by negotiating which indicators to include with the General Practitioners Committee. The QOF is a project of pay for performance (PIP) for general practitioner, combining a number of goals to create a composite indicator of a total of 1,000 points. These indicators include 142 indicators in four categories of clinical, organizational, patient experience, and valueadded services. Nearly all general practitioners participate in the QOF, and the amount covered by the QOF represents an average of 20% of the general revenue (H. J. Yoon & Park, 2017). Stephen M Campbell attempted this indicators of QOF to verify the validity of the quality measure index (Campbell et al., 2011). A study of the effectiveness of QOF performed by Steel et al suggests that the quality of care improves progressively but that the rate of improvement is small when compared to trends before the introduction of QOF (Steel, Nicholas, Willems, & Sara, 2010).

In Germany, the Disease Management Program (DMP), which was introduced in 2006, will improve the quality of asthma care and reduce costs. Traditionally, in Germany, sickness funds have been automatically decided according to occupation, but the difference between subscriber income level, risk structure, and insurance rate has been large. In addition, the sickness fund has paid attention to the average medical cost of patients with chronic illnesses, not the actual costs, so some patients with chronic disease are interested in DMP, which has improved medical quality and cost effectiveness. When the patient is managed within the DMP, the medical institution receives additional costs. All DMPs are qualitatively certified by the Federal Social-Insurance Authority (Bundesversicherungsamt). DMP is open to all patients and providers, but once contracted with it, they must follow the rules and receive the same guidelines, if the patient status is the same regardless of the sickness fund (Busse, 2004). The guidelines of the DMP are established by experts from

universities, medical associations, etc., with the participation of stakeholders based on the essentials. Approximately 70% of general practitioner are participating in the DMP although the participation rate is different for each disease deposit (H. J. Yoon & Park, 2017).

In case of Taiwan, the Quality-based Payment Initiatives (QBPI) or Pay-by-Performance (P4P) system was introduced in November 2001. QBPI is an incentive to pay additional rewards as a form of reimbursement if medical institutions develop and improve their care procedures. QBPI is reimbursed by outcome according to disease management model in pneumonia, diabetes, asthma, cervical cancer examination result and breast cancer treatment area. In the case of asthma, an evaluation indicator similar to that of the HIRA, such as the rate of medical service utilization (number of visits per patient) and the rate of following up patients within the half-year, is established.

Country	Program	Indicators		
US	PCPI of	Pharmacologic Therapy for Persistent Asthma		
	NCQA	Ambulatory Care Setting.		
	_	: Percentage of patients aged 5 y and older with a		
		diagnosis of persistent asthma who were prescribed long-		
		term control medication. This measure will be calculated		
		with 3 performance rates:		
		1. Patients prescribed inhaled corticosteroids (ICS) as		
		their long-term control medication.		
		2. Patients prescribed alternative long-term control		
		medications (non-ICS).		
		3. Total patients prescribed long-term control		
		medication.		
		<ul> <li>Assessment of Asthma Control</li> </ul>		
		: Percentage of patients aged 5 y and older with a		
		diagnosis of asthma who were evaluated for asthma		
		control (comprising asthma impairment and asthma risk)		
		at least once during the measurement period.		
		Tobacco Smoke Exposure: Screening		
		: Percentage of patients aged 5 y and older with a		
		diagnosis of asthma (or their primary caregiver) who were		
		queried about tobacco smoke exposure at least once		

Table 1. Evaluation criteria of asthma care in foreign countries

		during the measurement period.	
		Tobacco Smoke Exposure: Intervention	
		: Percentage of patients aged 5 v and older with a	
		diagnosis of asthma who are exposed to tobacco smoke	
		(or their primary caregiver) who received tobacco use	
		cessation intervention at least once during the	
		measurement period	
		• Assessment of Asthma Risk	
		· Percentage of patients aged 5 y and older with an	
		emergency department visit or an inpatient admission for	
		an asthma exacerbation who were evaluated for asthma	
		risk	
		• Asthma Discharge Plan	
		· Percentage of patients aged 5 v and older with an	
		emergency department visit or an inpatient admission for	
		an asthma exacerbation who are discharged from the	
		an astimute exactionation who are discharged from the	
		entergency department OK inpatient setting with an	
		Asthma Action Plan	
		· Percentage of patients aged 5 y and older with a	
		diagnosis of asthma who received a written asthma action	
		plan at one or more visits during the measurement period	
	OOF	• Establish and maintain a register of patients with	
UK	QOF	• Establish and maintain a register of patients with	
		astillia, excluding patients with astillia who have been prescribed no asthma related drugs in the preceding 12	
		months	
		<ul> <li>Dercontage of patients aged 8 or over with asthma</li> </ul>	
		(diagnosed on or after 1 April 2006) on the register with	
		measures of variability or reversibility recorded between	
		3 months before or anytime after diagnosis (thresholds	
		45-80%)	
		• Percentage of patients with asthma on the register who	
		have had an asthma review in the preceding 12 months	
		that includes an assessment of asthma control using the 3	
		Royal College of Physicians(RCP) questions (thresholds	
		45-70%)	
		• Percentage of natients with asthma aged 14 or over and	
		who have not attained the age of 20 on the register in	
		whom there is a record of smoking status in the preceding	
		12 months (thresholds 45-80%)	
Germany	DMP	Percentage of registered asthma patients being properly	
Germany	Diili	managed	
		• Percentage of asthmatic patients who completed the	
		training (among the patients recommended for training)	
		Percentage of patients using self-management plans	
		Percentage of patients who visited the emergency room	
		during the past 12 months	
		Percentage of patients regularly using inhaled steroids	
		(among regular medication patients)	

		• Percentage of patients who have been assessed for inhalant use technology (among patients using inhalants)
Taiwan	QBPI, P4P	<ul> <li>Medical service utilization(number of visits per patient)</li> <li>Following up patient rate within the semester</li> <li>Average rate of emergency room visits per patient</li> <li>Average number of hospitalizations per patient</li> </ul>

## 2.3. Korean status on quality evaluation of asthma care in hospital

In Korea, the National Health Insurance Act revised in July 2000 introduced the appropriateness of medical care and defined it as the work of HIRA. Therefore, HIRA evaluated whether the medical behavior of medical institutions was appropriate in terms of medical aspects and cost / effectiveness. In the first year of evaluation, the evaluation was started focusing on diseases with a high frequency or cost ratio in the total medical care benefit. The evaluation area was expanded to clinical fields such as acute myocardial infarction. acute stroke, and prophylactic antibiotic use. Recently, the evaluation area has been expanded to severe and chronic diseases according to changes in social environment. The HIRA analyzes and grades the medical institutions through the evaluation of the medical institution's medical behavior, and this data is provided as reference information for the medical use of the public. The National Health Insurance Service (NHIS) notifies the result of the evaluation to the medical institutions, and it motivates them to improve their own quality of medical treatment. HIRA's evaluation results are shared with the public based on the idea that in response to the surging social needs and interests of medical services, the public should be provided medical services with good quality as a basis of the right information for selecting the medical service. In addition, HIRA's projects are diversifying into the business that medical care cost can be paid by adding or subtracting to patients with some of diseases (acute myocardial infarction, cesarean delivery, acute stroke, surgical prophylactic antibiotics use, outpatient drug appraisal, hemodialysis), incentive business (hypertension, diabetes), and quality improvement support projects

(Hong & Park, 2013).

However, there are arguments to evaluate the performance of the project positively for the projects carried out by HIRA, but there are negative claims pointing out the problems of the project. In order to positively evaluate the business of pay for performance (PFP) by HIRA, which has been in force since 2007, it is argued that it should expand the diseases area to appraisal and expand the institutions covered by the business of PFP. However, there is a criticism that the evaluation of appropriateness of medical treatment behavior in Korea is limited to the achievement of the evaluation institution like HIRA for the reduction of the medical expenditure of the government. In addition, since the publicly available results of evaluation are the average results of the medical institutions in Korea, they are constantly raising the awareness that there is a limit to apply them as a result common to all medical institutions. (Hong & Park, 2013). PFP system in Korea was narrow in scope and target indicators of quality of medical care, and lack of participation of stakeholders at the time of development of PFP system. In addition, there is a difference from the OECD countries in that the medical provider can not decide whether to participate in PIP or not and the medical institution is evaluated relatively. This limits the achievement of the goal of improving the quality of medical care (H. J. Yoon & Park, 2017).

Since 2013, asthma has been included in the disease to be evaluated for the appropriateness of the asthma treatment behavior of the medical institution. HIRA has assessed medical institutions diagnosed with asthma and accrued for outpatient medical care benefits. And HIRA has assessed the patients using a medical institution who were diagnosed with asthma (J45, J46) during the evaluation period and who were aged 15 or older. The criteria for evaluation of asthma was established on April 23, 2013 through the gathering of expert opinions based on the research and domestic and foreign literature and the review of the central evaluation committee within HIRA. The central evaluation committee of the HIRA is composed of a large number of specialized physicians, but their opinions are limited in the selection of the evaluation indicators because they are not representative of the opinion of the physicians or the physicians' association, which is the stakeholder of the evaluation project.

Assessment of adequacy of medical institutions for asthma conducted from 2013 has been carried out four times until this year, and evaluation results of the three years up to the third stage until 2016 are as follows (The results of asthma evaluation report by HIRA, 2015). The evaluation results of the HIRA show that the quality of asthma care in Korea is improving, but there is little evaluation as to whether this will lead to asthma hospitalization or reduction in visits to the emergency room. Assessment indicators of the HIRA were evaluated at the medical institution level by dividing the level of compliance of the asthma care guidelines into various factors and could be influenced by confounding factors of personal level such as personal history and seasonality of asthma medications (Yun, 2016). Therefore, it is necessary to use the variable of rank-sum reflecting the individual severity.

Evaluation area	Name of indicators	Interpretation of indicators
Test	Pulmonary function test execution proportion	<ul> <li>In all categories of medical institutions compared to the first evaluation, the test execution proportion is improved (4.87% p increase)</li> <li>28.34% of the total, 85.44% of the general hospitals and 20.09% of the clinics</li> </ul>
Treatment	Proportion of persistent	- 72.02% of the total, 76.60% of advanced general
persistence	visiting patients	hospitals, 69.70% of clinics
Prescription	Proportion of ICS prescription patients	<ul> <li>The results of all categories of medical institutions improved compared to the first evaluation(5.25% p increase)</li> <li>30.62% of the total, 88.20% of the general hospitals and 20.09% of the clinics</li> </ul>
riescription	Proportion of essential drug(ICS or LTRA) prescription patients	<ul> <li>Compared with the first evaluation, the proportion of patients who prescribed essential drug(ICS or LTRA) in most categories improved (4.52% p increase)</li> <li>63.65% of the total, 96.96% of senior general hospitals, 56.21% of clinics</li> </ul>

Table 2. Summary of evaluation results by HIRA



#### Figure 1. Changes in each of the four evaluation indicators

Table 3. Evaluation results by evaluation area

Evaluation area	Name of indicators	Classification of medical institution	2013 year(A)	2014 year	2015 year(B)	B-A
	D1 C C	Total	23.47	24.88	28.34	4.87
Test	test execution	Advanced general hospital	80.59	81.61	85.44	4.85
Test	proportion	General hospital	59.52	61.30	65.87	6.35
		Hospital	34.83	36.81	38.53	3.70
		Clinic	17.06	18.06	20.09	3.03
		Total	71.20	71.88	72.02	0.82
Treatment	Proportion of	Advanced general hospital	75.98	76.76	76.60	0.62
persistence	persistent visiting	General hospital	79.22	80.26	80.04	0.82
-	patients	Hospital	75.74	77.61	78.09	2.35
		Clinic	69.28	69.76	69.70	0.42
		Total	25.37	27.06	30.62	5.25
	Proportion of ICS	Advanced general hospital	85.94	87.14	88.20	2.26
	prescription patients	General hospital	63.34	65.18	68.60	5.26
		Hospital	31.39	33.71	35.40	4.01
		Clinic	16.42	17.80	20.09	3.67
		Total	59.13	61.08	63.65	4.52
	Proportion of essential	Advanced general hospital	95.63	96.40	96.96	1.33
	drug(ICS of LIKA)	General hospital	86.77	88.11	89.94	3.17
	prescription patients	Hospital	66.80	70.97	74.2	7.40
		Clinic	52.69	54.47	56.21	3.52
		Total	16.81	18.26	16.77	-0.04
Duranintian	Proportion of LABA prescription patients	Advanced general hospital	1.15	0.90	0.63	-0.52
Prescription		General hospital	6.03	5.98	4.85	-1.18
	without ICS	Hospital	15.14	15.69	14.76	-0.38
		Clinic	19.17	21.06	19.91	0.74
		Total	14.34	13.21	12.92	-1.42
	Proportion of SABA	Advanced general hospital	2.42	2.09	1.86	-0.56
	prescription patients	General hospital	7.50	6.62	5.94	-1.56
	without ICS	Hospital	17.49	15.91	13.73	-3.76
		Clinic	16.02	14.87	15.08	-0.94
		Total	1.18	1.12	28.20	-
	Proportion of OCS	Advanced general hospital	1.07	1.19	3.52	-
	prescription patients	General hospital	2.19	1.99	9.36	-
	without ICS	Hospital	2.94	2.97	27.15	-
		Clinic	0.96	0.91	33.07	-

## 3. Method

#### 3.1. Study design

This study used the claim data of HIRA from Asthma patients from July, 2013 to June, 2016 in order to investigate the association between a quality of asthma treatment and an exacerbation of asthma. The registered analysis number of the data requested by HIRA is M20170512670, which is applied to the remote access system and granted access to data on the medical care and prescription of the asthma patients. HIRA provided data from asthma patients 15 years of age or older with a diagnosis code (KCD(Korean Standard Classification of Diseases) code) of J45 or J46 at all medical institution except dental and oriental hospitals. Afterwards, analyses were carried out after eliminating the personally identifiable information from the result of analysis.

The table 20 in the claim data of HIRA contains general information on the socio-demographic information (age, gender, medical aid, etc) and indicators for inpatient and outpatient services. Table 30 is a table for specific information on healthcare service provided (examination, treatment, procedure, prescription medicine, etc.) generated by the patients in the hospital, and table 53 is the details of the outpatient prescription. Table 40 contains a diagnostic information (Kim, L. et al 2014). In the table, the evaluation year is divided into the first year from July 2013 to June 2014, the second year from July 2014 to June 2015, and the third year from July 2015 to June 2016. We also classified asthma patients who were diagnosed as J45 or J46 and those who were 15 years old or older. or who were hospitalized or admitted. Data from table 30 and table 53 were extracted using asthma medications. Among these agents, systemic steroids were classified separately. These data are combined with the data generated from the table 20.

In this study, asthma medicines used in the three evaluation periods were ranked in accordance with the level of controller classified by the GINA guidelines in consultation with the clinicians treating asthma. In addition, the medications used in exacerbation were classified by operational definition and combined with the above data to construct the final data set. In the completed dataset, the subjects for evaluation (patients who had outpatient care using asthma medication more than twice or patients hospitalized with systemic steroids with outpatient care using asthma medication) were extracted. The variables of rank sum, which are the sum of the rank assigned to each asthma medication, and exacerbation were generated and they are compared with the excellent medical institution (or non-excellent medical institution) selected as the evaluation results in HIRA.

This study was conducted under the review of research ethics by the Clinical Research Deliberation Committee of Soon Chun Hyang University Hospital Seoul (IRB approval number: SCHUH-2016-12-004) Figure 2. The process of extracting the subject for evaluation from the HIRA data warehouse.



### **3.2. Operational definitions**

#### 3.2.1. Asthma medications and their quantitative rank.

medications divided The asthma were into inhaled corticosteroids (ICSs), ICS combined with inhaled long-acting  $\beta 2$ agonists (ICS/LABAs), inhaled short-acting  $\beta$ 2-agonists (SABAs), LABAs, anti cholinergics, oral leukotriene receptor antagonists (LTRAs), xanthine derivatives, and systemic corticosteroids. They were ranked in accordance with the level of controller classified by the Global Initiative for Asthma guidelines with the stepwise approach like the following table 4. The Rank-sum variable is the total area multiplied by the duration of the asthma medications and the rank of the medications. And the daily rank-sum of asthma medications is calculated at the individual level. However, if more than one asthma medication is used as different asthma medications at the same time, the sums of their ranks were added up to a maximum of rank 4. High-dose CSs and SABAs were not ranked but were defined as a mark of asthma exacerbation (Koo et al., 2017). Because a high rank sum means that asthma has been poorly controlled and strong medications have been used for a long time, the rank-sum can be a surrogate variable indicating the severity of asthma.

Rank	Categorization	Classification	ATC codes*
		beclomethasone	R03BA01
	ICSs	budesonide	R03BA02
	(low-dose)	ciclesonide	R03BA08
		fluticasone	R03BA05
		bambuterol	R03CC12
1	LABA	clenbuterol	R03CC13
	(low-dose)	formoterol	R03CC
		tulobuterol	R03CC11
		montelukast	R03DC03
	LTRA	pranlukast	R03DC02
		zafirlukast	R03DC01

Table 4. Classification of asthma medications and their rank

		aminophylline	R03DA05
		bamiphylline	R03DA08
	Vanthina	diethylaminoethyltheophylline	R03DA06
	Aanunne	doxofylline	R03DA11
		oxtriphylline	R03DA02
		theophylline	R03DA04
	ICS	beclomethasone	R03BA01
	(medium to high dose)	budesonide	R03BA02
2	(incutum-to ingit-dosc)	fluticasone	R03BA05
2	LABA (medium-to high-dose)	formoterol	R03CC
	ICS & LABA	fluticasone & vilanterol	R03AK10
	ICSs	budesonide	R03BA02
3 –	(high-dose)	fluticasone	R03BA05
	ICS & LABA (low-dose)	fluticasone & vilanterol	R03AK10
		betamethasone	H02AB01
	09	deflazacort	H02AB13
4	Uss (Lass than the emount	dexamethasone	H02AB02
	(Less man me amount used when exacerbation)	hydrocortisone	H02AB09
	used when exacerbation)	methylprednisolone	H02AB04
		prednisolone	H02AB06
	anticholinergic	Tiotropium	R03BB04

\* Please refer to the attached appendix1 for the detailed results of rank assignment according to the ATC code of each active ingredient of each medication.

Figure 3. example of	rank sum	calculation
----------------------	----------	-------------



#### 3.2.2. Asthma exacerbations

Asthma exacerbations is defined as asthma (J45 Asthma or J46 Status asthmaticus in KCD code) when the following asthma exacerbation medications are used:

\* Asthma exacerbation medications: The medicines listed in the table 5 below are from Table 30 (healthcare service provided) and Table 53 (outpatient prescription) as symptom relievers for asthma exacerbations.

: Inhaled steroids reduce hospitalization rates compared with placebo in the treatment of acute asthma exacerbations. Combined inhalants with fast acting sustained beta 2 agonists and inhaled steroids can reduce the use of oral steroids and hospitalization in patients at risk of acute exacerbations. In other words, asthma exacerbation can be prevented if the asthmatic patients are well managed with proper medications.

A stime in such in st	Calla affactions in and light	N-4-
Active ingredient	Code of active ingredient	Note
Betamethasone	116401ATB, 116502BIJ, 116530BIJ	2.4 mg or more as daily dose
Deflazacort	140801ATB	30 mg or more as daily dose
Dexamethasone	141901ATB, 141903ATB, 141904ATB, 142201BIJ, 142202BIJ, 142230BIJ, 142232BIJ	3 mg or more as daily dose
Hydrocortisone	170901ATB, 170905ATB, 170906ATB, 171201BIJ, 171202BIJ	80 mg or more as daily dose
Methylprednisolone	193302ATB, 193305ATB, 193501BIJ, 193502BIJ, 193530BIJ, 193531BIJ, 193601BIJ, 193602BIJ, 193603BIJ, 193604BIJ	16 mg or more as daily dose
Prednisolone	217001ATB, 217003ASY, 217004ASY, 217030ASY, 217034ASY, 217035ASY, 217302BIJ	20 mg or more as daily dose

I able 0. Astillia medications used in exact bation statu	Table	5.	Asthma	medications	used in	exacerbation	status
---	-------	----	--------	-------------	---------	--------------	--------

#### **3.2.3.** Hospitalization rate

Asthma hospitalization rate is defined as a hospitalization of patient with J45 Asthma or J46 Asthma persistence status in KCD code among patients undergoing asthma management at a medical institution

\* Exclusion criteria: If the relationship between hospitalization by asthma and asthma diagnosis is unclear during the evaluation period. It is excluded in case that the asthma hospitalization date is a day diagnosed as asthma during the evaluation period.

#### **3.2.4.** Excellent medical institution

: Among the clinics with more than 10 asthmatic patients,

1) Inclusion criteria : Clinics whose outcomes of the four major evaluation indicators are above the median level. (pulmonary function test execution proportion 20% or more, proportion of sustained visiting patients 70% or more, proportion of ICS prescription patients 10% (in case of  $1^{st}$  and  $2^{nd}$  evaluation), 20% (in case of  $3^{rd}$  evaluation\*) or more, proportion of essential drugs prescription patients 50% or more)

2) Exclusion criteria : Clinics with the lowest 10% level of the following evaluation indicators (70% or more of LABA prescription patients without ICS, 60% or more of SABA prescription patients without ICS, 5% or more of OCS prescription patients without ICS) \* The inclusion criteria were the same until the second evaluation, and the standard of the criteria was upgraded due to the improvement of asthma evaluation results.

Evaluation area	Name of indicators	Interpretation of indicators		
Test	1. Pulmonary function test execution proportion			
Treatment persistence	ttment 2. Proportion of persistent visiting patients istence			
Prescription	<ol> <li>Proportion of ICS prescription patients</li> <li>Proportion of essential drug(ICS or LTRA) prescription patients</li> </ol>	better		
	<ol> <li>5. Proportion of LABA prescription patients without ICS</li> <li>6. Proportion of SABA prescription patients without ICS</li> <li>7. Proportion of OCS prescription patients without ICS</li> </ol>	The lower the better		

#### Table 6. Evaluation indicators by HIRA

#### 3.2.4.1. Execution proportion of pulmonary function test

 Definition : The percentage of asthmatic patients who underwent one or more pulmonary function tests during the evaluation period
 Calculation :

Number of asthma patients underwent pulmonary function test Subject number for evaluation × 100

#### **3.2.4.2.** Proportion of persistent visiting patients

1) Definition : The percentage of asthma patients (persistent visits) who visited the same outpatient clinic more than 3 times during the evaluation period

2) Calculation :

#### Number of patients who visit same medical institution more than 3 times Subject number for evaluation of treatment pesistance \*

\*Subject for evaluation of treatment persistence : Patients who received medical treatment at one medical institution during the evaluation period and who used the same institution at the end of the previous year

#### **3.2.4.3.** Proportion of ICS prescription patients

1) Definition : The percentage of asthma patients prescribed ICS during the evaluation period

2) Calculation :

$$\frac{Number of asthma patients prescribed ICS}{Subject number for evaluation} \times 100$$

#### 3.2.4.4. Proportion of patients with essential drug(ICS or LTRA) prescription

1) Definition : The percentage of asthma patients prescribed ICS or LTRA during the evaluation period

2) Calculation :

## $\frac{Number of asthma patients prescribed ICS or LTRA}{Subject number for evaluation} \times 100$

#### 3.2.4.5. Proportion of LABA prescription patients without ICS

1) Definition : The percentage of asthma patients prescribed LABA without ICS during the evaluation period

2) Calculation :

## $\frac{Number of asthma patients prescribed LABA without ICS}{Subject number for evaluation} \times 100$

#### 3.2.4.6. Proportion of SABA prescription patients without ICS

1) Definition : The percentage of asthma patients prescribed SABA without ICS during the evaluation period

2) Calculation :

### Number of asthma patients prescribed SABA without ICS Subject number for evaluation ×100

#### 3.2.4.7. Proportion of OCS prescription patients without ICS

1) Definition : The percentage of asthma patients prescribed OCS without ICS during the evaluation period

2) Calculation :

## $\frac{Number of asthma patients prescribed OCS without ICS}{Subject number for evaluation} \times 100$

#### 3.3. Objective & Hypotheses

The purpose of this study is to investigate the relationship between asthma treatment and asthma exacerbation of each medical institution for asthmatic patients from July, 2013 to June, 2016 using the claim data provided by HIRA. It is possible to determine the severity of asthma patients according to the rank by assigning a rank to asthma medications. We assessed the severity of asthma patients visiting the excellent medical institution and other nonexcellent medical institutions determined according to the HIRA evaluation project, and confirmed the association between each medical institution and the severity of asthma patients. We also investigated the exacerbation of asthma patients based on the use of asthma exacerbation medications and the hospitalization due to asthma, and to investigate the relationship between asthma treatment and asthma exacerbation. In other words, we confirmed the appropriateness of HIRA evaluation indicators by comparing asthma exacerbation, which was not used in HIRA, with Excellent or Non-excellent medical institutions which are the result of HIRA evaluation. In conclusion, this study is aimed to confirm the appropriateness of the medical care by improving the quality of asthma patient management, reducing the incidence of severe asthma.

The hypotheses to be confirmed through this study are as follows.

1. In the third year of July 2013 through June 2016, asthma patients with a higher asthma severity will visit the excellent medical institutions evaluated under the HIRA' s evaluation than other non-excellent medical institutions.

2. However, due to HIRA's evaluation criteria, asthma exacerbation may be less frequent than non-excellent medical institutions.

3. HIRA's criteria will adequately reflect the behavior of medical institutions for asthma treatment.

4. From July 2013 to June 2016, we evaluate the changes of excellent or non-excellent medical institutions in each stage of evaluation for 3 years like the following table 7, and compare them of the hospitalization and the exacerbation of asthma patients in each medical institution. Due to compliance with the guidelines for Korean asthma treatment, hospitalization and asthma exacerbation of asthma patients will be lower as the degree of each year increases.

Class	Changes of HIRA evaluation result of medical institutions							
Group	Non avcellent> Excellent	1 <sup>st</sup> year : non-excellent	$\rightarrow$	2 <sup>nd</sup> year : excellent				
Group 1	medical institution $\_$	1 year : non excenent	$\rightarrow$	3 <sup>rd</sup> year : excellent				
-		2 <sup>nd</sup> year : non-excellent	$\rightarrow$	3 <sup>rd</sup> year : excellent				
Group	$Excellent \rightarrow Non-excellent$	1 <sup>st</sup> year : excellent	$\rightarrow$	2 <sup>nd</sup> year : non-excellent				

Table 7. Changes of HIRA evaluation result on medical institutions

2	medical institution		$\rightarrow$ 3 <sup>rd</sup> year : non-excellent
		2 <sup>nd</sup> year : excellent	$\rightarrow$ 3 <sup>rd</sup> year : non-excellent
Group 3 e	Non availant Non	1 <sup>st</sup> vear : non-excellent	$\rightarrow 2^{nd}$ year : non-excellent
	excellent medical institution	i year. non-executint	$\rightarrow$ 3 <sup>rd</sup> year : non-excellent
		2 <sup>nd</sup> year : non-excellent	$\rightarrow$ 3 <sup>rd</sup> year : non-excellent
Group	Excellent $\rightarrow$ Excellent medical institution	1 <sup>st</sup> vear : excellent	$\rightarrow$ 2 <sup>nd</sup> year : excellent
Group 4		i year execution	$\rightarrow$ 3 <sup>rd</sup> year : excellent
		2 <sup>nd</sup> year : excellent	$\rightarrow$ 3 <sup>rd</sup> year : excellent

#### 3.4. Statistical methods

In hypothesis 1, 2, and 4, the relationship between severity of asthma and asthma exacerbations and the evaluation results by HIRA is evaluated through comparison.

In hypothesis 3, the relationship between asthma exacerbation rate and excellent / non-excellence medical institutions, which is calibrated for severity of asthma by the rank sum, is determined using the linear regression equation(log logistic distribution) as shown below. If the value of  $\beta 2$  is significantly negative, when it is calibrated by the rank sum, it can be judged that the evaluation results by HIRA evaluation indicators adequately reflect asthma exacerbation.

Analysis was performed using SAS 9.4.

[Calculation]

- $log \breve{Y}_i = \beta_0 + \beta_1 \times rank \ sum + \beta_2 \ \times excellence_j + \epsilon_1$
- $\check{Y}_i$ : exacerbation rate by medical institution, i = each medical institution

- rank sum : average daily rank sum of patients visited a  $i^{th}$  medical institution a year

• excellence  $_{j}$  : excellence medical institution(j = 1), non-excellence medical institution(j = 0)

•  $\varepsilon_1$ : the error term

## 4. Results

#### 1. Prescription Patterns of Asthma medications

The quantitative distribution of asthma medications prescribed during the evaluation period of the third trimester from July 2013 to June 2016 and the use of asthma exacerbations are shown in the table 8, 9, 10. All of the third year shows similar medication use patterns. Medications of rank 1 such as ICS, LTRA and Xanthine were the most frequently used like  $1^{st}$  year (59.67%),  $2^{nd}$  year (59.68%) and  $3^{rd}$  year (58.58%), followed by Rank 0 drugs such as SABA and systemic steroids like  $1^{st}$  year (20.68%),  $2^{nd}$  year (20.10%) and  $3^{rd}$  year (20.20%). The inpatient prescriptions (table 30) and outpatient prescriptions (tables 53) showed different prescription patterns. In the case of inpatient prescription, Rank 0, Rank 1 and Rank 4 were the order of the all three years, and Rank 1, Rank 4, Rank 0 were the order of outpatient prescription.

Over the three-year period, the use of asthma exacerbation drugs showed similar patterns of use like  $1^{st}$  year (10.9%),  $2^{nd}$  year (10.76%) and  $3^{rd}$  year (10.81%). However, the use of exacerbation drugs between inpatient and outpatient prescriptions showed a great difference. In the case of inpatient prescriptions, the use of exacerbation drugs was much higher like  $1^{st}$  year (47.34%),  $2^{nd}$ year (46.77%) and  $3^{rd}$  year (46.66%) than outpatient prescriptions like  $1^{st}$  year (2.59%),  $2^{nd}$  year (2.43%) and  $3^{rd}$  year (2.58%).

	Rank						Exacerbation		
	0	1	2	3	4	Total	0	1	Total
In-patient prescription from 30 table(A)	771,897 (51.76)	666,897 (44.72)	6,455 (0.11)	1,638 (0.11)	44,397 (2.98)	1,491,284 (100.00)	785,279 (52.66)	706,005 (47.34)	1,491,284 (100.00)
Out-patient prescription from 53 table(B)	889,881 (13.60)	4,126,976 (63.08)	437,263 (6.68)	766,81 (1.17)	1,011,661 (15.46)	654,2462 (100.00)	6,373,144 (97.41)	169,318 (2.59)	654,2462 (100.00)
A + B	1,661,778 (20.68)	4,793,873 (59.67)	443,718 (5.52)	1,638 (0.02)	1,056,058 (13.15)	8,033,746 (100.00)	7,158,423 (89.10)	875,323 (10.90)	8,033,746 (100.00)

Table 8. Distribution of quantitative asthmatic medication and asthma exacerbation drug use in 1<sup>st</sup> evaluation period

Table 9. Distribution of quantitative asthmatic medication and asthma exacerbation drug use in 2<sup>nd</sup> evaluation period

	Rank						Exacerbation		
	0	1	2	3	4	Total	0	1	Total
In-patient prescription from 30 table(A)	795,277 (51.37)	691,769 (44.68)	7,107 (0.46)	1,737 (0.11)	52,219 (3,37)	1,548,109 (100.00)	824,214 (53.23)	723,985 (46.77)	1,548,109 (100.00)
Out-patient prescription from 53 table(B)	860,361 (12.86)	4,224,180 (63.15)	482,064 (7.21)	88,689 (1.33)	1,033,446 (15.45)	6,688,740 (100.00)	6,526,042 (97.57)	162,698 (2.43)	6,688,740 (100.00)
A + B	1,655,638 (20.10)	4,915,949 (59.68)	489,171 (5.94)	90,426 (1.10)	1,085,665 (13.18)	8,236,849 (100.00)	7,350,256 (89.24)	886,683 (10.76)	8,236,849 (100.00)

	Rank						Exacerbation		
	0	1	2	3	4	Total	0	1	Total
In-patient prescription from 30 table(A)	766,226 (51.69)	653,758 (44.11)	7,384 (0.50)	1,855 (0.13)	52,986 (3.57)	1,482,209 (100.00)	790,654 (53.34)	691,555 (46.66)	1,482,209 (100.00)
Out-patient prescription from 53 table(B)	837,657 (12.97)	3,996,631 (61.90)	560,572 (8.68)	100,915 (1.56)	960,715 (14.88)	6,456,490 (100.00)	6,289,659 (97.42)	166,831 (2.58)	6,456,490 (100.00)
A + B	1,603,883 (20.20)	4,650,389 (58.58)	567,956 (7.15)	102,770 (1.29)	1,013,701 (12.77)	7,938,699 (100.00)	7,080,313 (89.19)	858,386 (10.81)	7,938,699 (100.00)

Table 10. Distribution of quantitative asthmatic medication and asthma exacerbation drug use in 3<sup>rd</sup> evaluation period

#### 2. Distribution of asthma patients by medical institution

#### 1) Distribution of asthma patients by medical institution

The distribution of visiting asthma patients in each evaluation year is shown in Table 11. In all three years, the number of visiting clinic patients was the highest as  $1^{st}$  year (956,557), the  $2^{nd}$  year (1,005,766), and the  $3^{rd}$  year (933,787), followed by general hospitals, hospitals, and tertiary hospitals. In case of the average annual rank sum of asthma patients, tertiary hospital was the highest as  $1^{st}$  year (0.7575),  $2^{nd}$  year (0.7622), and  $3^{rd}$  year (0.8051), respectively, followed by general hospitals, community health center branch office, and regional medical center. Clinic was the lowest as  $1^{st}$  year (0.2216),  $2^{nd}$  year (0.2193), and  $3^{rd}$  year (0.2374), respectively.

In terms of the annual use of asthma exacerbation drug, the hospital was the highest as 1<sup>st</sup> year (0.4820), 2<sup>nd</sup> year (0.4834), 3<sup>rd</sup> year (0.4835), followed by regional medical centers, general hospitals, hospitals.

Evaluation period	Category of medical institution	Number of patients	The average annual rank-sum of asthma patients	SD
	Tertiary hospital	60,087	0.7575	0.8358
	General hospital	118,607	0.6376	0.8569
1 <sup>st</sup>	Hospital	71,227	0.4578	0.8164
	Long term care hospital	4,449	0.4001	0.8367
	Clinics	956,557	0.2216	0.4918
	Community health center	3,551	0.4613	0.6874
	Community health center, branch office	813	0.6236	0.8943
	Regional medical center	744	0.5828	0.7814
2 <sup>nd</sup>	Tertiary hospital	66,380	0.7622	0.8435
	General hospital	126,313	0.6377	0.8467
	Hospital	75,930	0.4477	0.7921

Table 11. Association between the rank-sum and the category of medical institutions

	Long term care hospital	4,357	0.3952	0.8250
	Clinics	1,005,766	0.2193	0.4840
	Community health center	3,312	0.4489	0.7132
	Community health center, branch office	800	0.5774	0.8106
	Regional medical center	864	0.5534	0.7949
3 <sup>rd</sup>	Tertiary hospital	72,319	0.8051	0.8550
	General hospital	138,600	0.6615	0.8506
	Hospital	74,744	0.4643	0.8063
	Long term care hospital	3,894	0.4276	0.8349
	Clinics	933,787	0.2374	0.5056
	Community health center	2,515	0.5061	0.7421
	Community health center, branch office	708	0.5777	0.7879
	Regional medical center	740	0.6196	0.8597

### Table 12. Association between the asthma exacerbation medication use among visited patients and the category of medical institutions

			The annual asthma	
Evaluation	Category of medical	Number of	exacerbation	SD
period	institution	patients	medication use among	3D
			visited patients	
	Tertiary hospital	60,087	0.3146	0.4644
	General hospital	118,607	0.3541	0.4782
	Hospital	71,227	0.4820	0.4997
	Long term care hospital	4,449	0.2782	0.4482
1 <sup>st</sup>	Clinics	956,557	0.3007	0.4584
	Community health center	3,551	0.2202	0.4144
	Community health center, branch office	813	0.2029	0.4024
	Regional medical center	744	0.4167	0.4933
	Tertiary hospital	66,380	0.3046	0.4602
	General hospital	126,313	0.3490	0.4767
	Hospital	75,930	0.4834	0.4997
	Long term care hospital	4,357	0.2613	0.4394
$2^{nd}$	Clinics	1,005,766	0.2903	0.4539
	Community health center	3,312	0.2110	0.4081
	Community health center, branch office	800	0.2413	0.4282
	Regional medical center	864	0.3808	0.4859
3rd	Tertiary hospital	72,319	0.2978	0.4573
5 <sup>14</sup>	General hospital	138,600	0.3404	0.4738

Hospital	74,744	0.4835	0.4997	
Long term care hospital	3,894	0.2606	0.4390	
Clinics	933,787	0.2914	0.4543	
Community health center	2,515	0.1960	0.3970	
Community health center, branch office	708	0.2127	0.4095	
Regional medical center	740	0.3743	0.4843	

## 2) Distribution of asthma patients with excellent / non-excellent medical institutions according to the results of the HIRA

The average annual rank sum of asthma patients visiting the excellent institution was higher than the one of asthma patients visiting non-excellent institution as 1<sup>st</sup> year (0.3726), 2<sup>nd</sup> year (0.3654), and  $3^{rd}$  year (0.3984). As shown in Table 13, the average exacerbation from the exacerbation drug use in asthma patients visiting the excellent institution was higher than non-excellent institution as 1<sup>st</sup> year (0.3409), 2<sup>nd</sup> year (0.3328), and 3<sup>rd</sup> year (0.3265), respectively. Likewise, considering the asthma exacerbation due to hospitalization of asthma patients, the hospitalization of excellent institution was higher than that of nonexcellent institution as  $1^{st}$  year (0.0209),  $2^{nd}$  year (0.0200), and  $3^{rd}$ year (0.0193). The severity of asthma has a tendency to increase from the  $1^{st}$  to the  $2^{nd}$  to the  $3^{rd}$  year, with asthma exacerbations showing a tendency to decrease.

Evaluation period	HIRA evaluation result	Number of patients	The average annual rank-sum of asthma patients	SD
1 <sup>st</sup> evaluation	Non-excellent	880,802	0.2065	0.4755
	Excellent	95,157	0.3726	0.6111
2 <sup>nd</sup> evaluation	Non-excellent	908,545	0.2013	0.4641
	Excellent	119,703	0.3654	0.6024
3 <sup>rd</sup> evaluation	Non-excellent	831,360	0.2146	0.4803
	Excellent	125,173	0.3984	0.6342

Table 13. Association between the average annual rank-sum and the result of HIRA evaluation

Evaluation period	HIR A		The average exacerbation of asthma patients					
	evaluation result	Number of patients	Asthma medicati ons	SD	Hospitali zation	SD		
1 <sup>st</sup>	Non-excellent	880,802	0.2963	0.4566	0.0129	0.1127		
	Excellent	95,157	0.3409	0.474	0.0209	0.1430		
and	Non-excellent	908,545	0.2850	0.4514	0.0129	0.1130		
2	Excellent	119,703	0.3328	0.4713	0.0200	0.1401		
3 <sup>rd</sup>	Non-excellent	831,360	0.2864	0.4521	0.0134	0.1153		
	Excellent	125,173	0.3265	0.4689	0.0193	0.1376		

Table 14. Association between asthma exacerbation and the result of HIRA evaluation

## 3. Association of the asthma exacerbation rate and evaluation of medical institution by HIRA

As a result of confirming the relationship between asthma exacerbation rate and rank sum, it was confirmed that rank sum and asthma exacerbation rate were significantly correlated with each other as in Model 1 of Tables 15, 16 and 17. The asthma exacerbation rate increases in the 1<sup>st</sup> year (13.3 % increase), the  $2^{nd}$  year (18.2% increase) and the  $3^{rd}$  year (21.9% increase) when rank sum increases by 1. As a result of confirming the relationship between the asthma exacerbation rate and the evaluation of the medical institution (excellent / non-excellent medical institution), as in Model 2 of Tables 15, 16, and 17, it was confirmed that the excellent medical institution and asthma exacerbation rate were significantly correlated with each other except for the results of  $1^{st}$  year. However, in Model 3, the positive correlation between the excellent medical institution and the rate of asthma exacerbation were not significant in all three years.

еласе	exacer battom rates in 1 evaluation period (July, 2013 Julie, 2014)									
	Model 1			Model 2			Model 3			
	P.E	SE	p-value	P.E	SE	p- value	P.E	SE	p- value	
β0	-1.1887	0.0075	< .0001	-1.1462	0.0070	<.0001	-1.1907	0.0078	<.0001	
$\beta_1$	0.1332	0.0087	<.0001	-	-	-	0.1331	0.0087	<.0001	
$\beta_2$	-	-	-	0.0394	0.0265	0.1373	0.0303	0.0264	0.2500	
AIC	AIC 4151.0978			4274.3068			4151.8089			

Table 15. Effect of average daily rank sums of patients visited selected clinics and asthma evaluation of the clinics on asthma exacerbation rates in 1<sup>st</sup> evaluation period (July, 2013~June, 2014)

 $\beta_0$ : y intercept

 $\beta_1$ : average daily rank sums of patients visited selected clinics

 $\beta_2$ : asthma evaluation

Table 16. Effect of average daily rank sums of patients visited selected clinics and asthma evaluation of the clinics on asthma exacerbation rates in 2<sup>nd</sup> evaluation period (July, 2014~June, 2015)

		Model 1			Model 2		Model 3			
	P.E	SE	p-value	P.E	SE	p- value	P.E	SE	p- value	
$\beta_0$	-1.2320	0.0080	<.0001	-1.1743	0.0072	<.0001	-1.2352	0.0082	<.0001	
$\beta_1$	0.1824	0.0101	<.0001	-	-	-	0.1823	0.0101	<.0001	
$\beta_2$	-	-	-	0.0548	0.0247	0.0265	0.0405	0.0246	0.0992	
AIC	4137.8864				4315.7868			4137.2585		

 $\beta_0$ : y intercept

 $\beta_1$ : average daily rank sums of patients visited selected clinics

 $\beta_2$ : asthma evaluation

Table 17. Effect of average daily rank sums of patients visited selected clinics and asthma evaluation of the clinics on asthma exacerbation rates in 3<sup>rd</sup> evaluation period (July, 2015~June, 2016)

		Model 1			Model 2			Model 3	
	P.E	SE	p-value	P.E	SE	p- value	P.E	SE	p- value
$\beta_0$	-1.2558	0.0083	<.0001	-1.1827	0.0072	<.0001	-1.2582	0.0086	<.0001
$\beta_1$	0.2187	0.0112	<.0001	-	-	-	0.2185	0.0112	<.0001
$\beta_2$	-	-	-	0.0513	0.0237	0.0300	0.0289	0.0235	0.2198
AIC		3911.4662			4115.8297			3911.9960	

 $\beta_0$ : y intercept

 $\beta_1$ : average daily rank sums of patients visited selected clinics

 $\beta_2$ : asthma evaluation

#### 4. Effectiveness of HIRA project to evaluate asthma care

When considering the relationship between the change of evaluation results and the rank sum in the table 18, and the rank sum difference for each year increased from the 1<sup>st</sup> year to the 2<sup>nd</sup> year, the 1<sup>st</sup> year to the 3<sup>rd</sup> year, and the 2<sup>nd</sup> year to the 3<sup>rd</sup> year in group 1, 3 and 4. By the way, in case of asthma exacerbation judged by the asthma exacerbation drug use, there was a decrease from 1<sup>st</sup> year to 2<sup>nd</sup> year, from 1<sup>st</sup> year to 3<sup>rd</sup> year, from 2<sup>nd</sup> year to 3<sup>rd</sup> year in all group. In case of asthma exacerbations judged by asthma hospitalization, it was found that the hospitalization increased in group 1, which changed from non-excellent to excellent medical institution. On the other hand, group 2, which changed from excellent to non-excellent medical institution, shows the decrease of asthma hospitalization.

#### Table 18. Association between the change of HIRA evaluation result and rank-sum and asthma exacerbation and hospitalization

change of HIRA evaluation result			Difference in				
of medical institution		rank-sum	Exacerbation	Hospitalization			
			(S.D)	(S.D)	(S.D)		
	_	2 <sup>nd</sup> year :	0.0153	-0.0070	0.0033		
1 <sup>st</sup> year :	-	excellent	(0.0919)	(0.0804)	(0.0315)		
non-excellent	_	2rd year , availant	0.0427	-0.0276	0.0012		
	,	5 year . excerient	(0.1137)	(0.1058)	(0.0308)		
2 <sup>nd</sup> year :		2rd year : availlant	0.0327	-0.0051	0.0029		
non-excellent	-	5 <sup></sup> year : excellent	(0.1001)	(0.0842)	(0.0272)		

Group 1. Non-excellent  $\rightarrow$  Excellent medical institution

#### Group 2. Excellent $\rightarrow$ Non-excellent medical institution

change of HIRA eva	luation result		Difference in	n
of medical ins	rank-sum	Exacerbation	Hospitalization	
		(S.D)	(S.D)	(S.D)
$1^{st}$ vegr : excellent $\rightarrow$	2 <sup>nd</sup> year :	-0.0120	-0.0241	-0.0021
i yeai. excellent	non-excellent	(0.0992)	(0.0866)	(0.0349)

		3 <sup>rd</sup> year :	0.0012	-0.0299	-0.0027
	_	non-excellent	(0.1150)	(0.0913)	(0.0327)
2 <sup>nd</sup> year : excellent	$\rightarrow$	3 <sup>rd</sup> year :	-0.0079	-0.0228	-0.0054
		non-excellent	(0.0894)	(0.0713)	(0.0277)

#### Group 3. Non-excellent $\rightarrow$ Non-excellent medical institution

change of HIRA evaluation result			Difference in				
of medical institution		rank-sum	Exacerbation	Hospitalization			
			(S.D)	(S.D)	(S.D)		
	$\rightarrow$	2 <sup>nd</sup> year :	-0.0018	-0.0110	-0.0013		
1 <sup>st</sup> year :		non-excellent	(0.2537)	(0.1826)	(0.0850)		
non-excellent	$\rightarrow$	3 <sup>rd</sup> year :	0.0111	-0.0155	0.0000		
	,	non-excellent	(0.2590)	(0.1863)	(0.0836)		
2 <sup>nd</sup> year :	$\rightarrow$	3 <sup>rd</sup> year :	0.0112	-0.0066	0.0011		
non-excellent	<i>,</i>	non-excellent	(0.2640)	(0.1815)	(0.0889)		

#### Group 4. Excellent $\rightarrow$ Excellent medical institution

change of HIRA evaluation result			Difference in				
of medical institution		rank-sum	Exacerbation	Hospitalization			
			(S.D)	(S.D)	(S.D)		
	_	2 <sup>nd</sup> year :	0.0103	-0.0172	0.0012		
1 <sup>st</sup> voor • av callant	,	excellent	(0.0705)	(0.0662)	(0.0240)		
i year. excellent		ard year : avcallant	0.0193	-0.0314	-0.0024		
	· ·	5 year . excellent	(0.0779)	(0.0797)	(0.0278)		
2 <sup>nd</sup> year : excellent	$\rightarrow$	3 <sup>rd</sup> year : excellent	0.0133	-0.0171	-0.0036		
2 year . excellent	· ·	year. execution	(0.0705)	(0.0698)	(0.0239)		

## **5. Discussion**

The Korean Asthma Care Guideline and the GINA Guidelines are designed to use ICS and LTRA as first-line treatment for asthma treatment. When we look at the actual prescribed asthma medicines in each medical institution in Korea, we found that the first-line asthma medications are used the most as about 60% based on table 8, 9 and 10. One of the interesting thing is that Rank 0 occupies a large portion followed by Rank 1. It is considered that SABA and systemic steroids (asthma exacerbation drug) were used. Of the total prescription, we could confirm that asthma exacerbation drug was overwhelmingly prescribed in inpatient prescription than outpatient prescription, because patients in the hospital are more severe than those outside the hospital.

The rank sum of the tertiary hospitals was the highest among the medical institutions, and the general hospital was next in table 11. The results show us that our hypothesis that asthma patients with high severity visited the tertiary hospitals or general hospitals is correct. And the number of patients visiting clinics among the medical institutions is the highest. The lowest rank sum of asthma patients visiting the clinic means that mild patients visit the clinic. However, asthma exacerbation rate was not low in the clinic compared with other institutions based on table 12. It is likely that mild patients visited the clinic, but asthma management was not going well. In addition, the severity of these poorly managed patients is increasing, suggesting that these patients visit more advanced medical institutions.

Unlike the hypothesis that asthma exacerbation is low due to good management of asthma patients in case of excellent medical institution selected by HIRA evaluation, the asthma exacerbation rate due to the asthma hospitalization and asthma exacerbation drug use is higher in the excellent medical institution compared to non-excellent institution based on table 14. Because there are more

asthma patients with high severity in excellent medical institution than non-excellent institution, it is expected that asthma exacerbation rate in excellent medical institution is higher than non-excellent medical institution. Considering it, we analyzed the association between asthma exacerbations and excellent medical institution using a linear model with the log normal distribution, considering the severity of asthma. As a result, there was a significant positive correlation between the degree of asthma exacerbation and excellent medical institution, but the results were not significantly positive when considering asthma severity. It means that we can not know the correlation between the HIRA evaluation results and asthma exacerbation, when we calibrate the severity of asthma. This was an unexpected and different result from our hypothesis that the excellent medical institution evaluated by HIRA can show the good management of asthma care.

In terms of the evaluation of asthma quality management according to the changes of the evaluation periods in table 18, asthma exacerbation decreased in all four groups. It was easily understandable that exacerbation was reduced in group 1, which changed from a non-excellent medical institution to an excellent medical institution, because the management of asthma patients in an excellent medical institution was well managed. However, it is not easily understood that exacerbation is also reduced in group 2, which changes from excellent medical institution to non-excellent medical institution. Exacerbation was reduced in all groups as well as in groups 1 and 2, which means that the use of asthma exacerbation drugs decreased with increasing year regardless of excellent or non-excellent medical institutions. This may mean that the asthma management was adequately controlled without the use of asthma exacerbation drugs, due to improved management of asthma care, such as increased use of ICS. On the other hand, this result may be interpreted as showing that the evaluation results of HIRA are not related to asthma exacerbation. This suggests that HIRA's evaluation indicators may have helped improve asthma care

in medical institutions but may not be appropriate indicators to assess whether asthma care has improved or not. Asthma hospitalization was increased in group 1, which changed from nonexcellent medical institution to excellent medical institution, and hospitalization was decreased in group 2, which is the opposite, suggesting high hospitalization rate is related to excellent medical institution. The high hospitalization rate of an excellent medical institution also suggests that the HIRA evaluation result does not adequately reflect the hospitalization resulting from asthma treatment. Because the asthma evaluation by HIRA is made up of evaluation indicators that primarily confirm compliance with the Korean guideline of asthma, HIRA's evaluation indicators seem to have limitations that do not contribute to preventing hospitalization due to asthma. Therefore, it may be necessary to improve the asthma evaluation indicators of HIRA evaluation project as a way to prevent asthma hospitalization practically, such as the rate of hospitalization due to asthma or visit to the emergency room, as well as evaluation of asthma medications as in foreign cases.

Although this study is a meaningful study analyzing the correlation between the quality of asthma treatment and asthma exacerbation, there are some limitations as follows. Although the subjects were classified according to the HIRA criteria for evaluation, there was no correction for age, sex, and underlying diseases like atopic and allergic diseases at the individual level, and no multi-level analysis was performed reflecting the regional characteristics of the medical institution. Older age, female, and geographical differences are considered to be risk factors for asthma. Women have a higher prevalence of asthma than men and older people aged over 70 have a higher prevalence of asthma than other age groups. In addition, the prevalence of asthma in the elderly was high when there were underlying diseases such as chronic obstructive pulmonary disease(COPD)(Kim et al. 2013). Jackson et al reported that virus-allergic seasonal viruses. patterns, interactions. pollutions (NO<sub>2</sub>, particulate matter, ozone, and sulfur dioxide),

smoking, pregnancy, and stress were associated with asthma exacerbations(Jackson, Sykes et al. 2011). In addition, the incidence of asthma among elderly people aged 65 years or older was significantly different according to the size of the city, and the incidence of asthma was significantly higher in metropolitan cities than in small cities and rural areas(김문년, 이원기 et al. 2013). It is also expected that the pattern of prescribing according to the region of the medical institution will be different. For example, it is expected that the prescription of oral steroids will be more popular in the rural clinics than in the big cities.

The difficulty of analyzing big data in health care area is also considered as a limit of this study. HIRA 's claim data is a big data. It is difficult to understand the characteristics of data and it is not easy to carry out scientific analysis using it. For example, since asthma patients do not visit a single medical institution, an individual may visit several medical institutions. It was also found that there was a change in the results of the HIRA evaluation due to the moving of the medical institution. In addition, since the way of filling the dosage and days of some drug use in claim data is different for each medical institution, we have to know how to fill them and the reason of difference for calculation of rank sum. And the data was so large that we had an unexpected and unintelligible outcome, and we had to think about whether to include it in the analysis or outlier it. Based on the advice of HIRA's claim data expert and asthma treatment clinician, we had to determine the direction of analysis. In other words, the analysis of big data may show different results depending on how the variables are set or corrected, and how the missing values or outliers are processed.

In spite of many limitations, this study is a scientific analysis of the association between the quality of asthma treatment and the exacerbation rate through the relationship between asthma evaluation indicators of HIRA and asthma exacerbation. Although this study did not elucidate causality between the evaluation indicators by HIRA and asthma exacerbations, it is meaningful that it raised questions about the need for improvement of asthma evaluation indicators of HIRA. The results of the study are expected to be reflected in the project of HIRA for evaluation of appropriateness of asthma care institutions, which affect the asthma care behavior of medical institutions.

In future studies, it is necessary to investigate the causality through multilevel analysis including individual and regional correction, and to find the evaluation indicators that can confirm improvement of asthma treatment as well as improvement of asthma treatment by HIRA indicators. For example, it is expected that the quality of asthma care can be improved by improving the evaluation indicators of HIRA as a way to prevent asthma hospitalization.

### Bibliography

- Busse, R. (2004). Disease management programs in Germany's statutory health insurance system. *Health affairs, 23*(3), 56-67.
- Campbell, S. M., Kontopantelis, E., Hannon, K., Burke, M., Barber, A., & Lester, H. E. (2011). Framework and indicator testing protocol for developing and piloting quality indiators for the UK quality and outcomes framework. *BioMed central*.
- Cho, S.H., Kim, Y.K., Chang, Y.S., Kim, S.S., Min, K.U., & Kim, Y.Y. (2006). 우리나라 기관지천식에대한인지및실태조사. *대한내과학회지, 70*(1).
- Downie, J., Lahey, W., Ford, D., Gibson, E., Thomson, M., Ward, T., . . . Shea, A. (2006). Patient safety law: from silos to systems.
- GINA, (2017) Global strategy for asthma management and prevention
- Healy, J., & Braithwaite, J. (2006). Designing safer health care through responsive regulation. *Medical journal of Australia, 184*(10), S56.
- HIRA, (2015) The results of asthma evaluation report
- Hong, E., & Park, S. (2013). 요양급여 적정성평가사업의 효과에 대한 탐색적 연구: 급성심근경색증에 대한 A 상급종합병원 사례.
- Jackson, D. J., Sykes, A., Mallia, P., & Johnston, S. L. (2011). Asthma exacerbations: origin, effect, and prevention. *Journal of Allergy and Clinical Immunology*, 128(6), 1165-1174.
- Jang, J. K., Kim, H. S., Sohn, H. S., Park, C., & Kim, J. S. (2014). Drug Prescribing Patterns for the Treatment of Asthma and Chronic Obstructive Pulmonary Disease in Korea. *Korean Journal of Clinical Pharmacy.*
- Kim, C.-y., Park, H.-W., Ko, S.-K., Chang, S.-I., Moon, H.-B., Kim, Y.-Y., & Cho, S.-H. (2011). The financial burden of asthma: a nationwide comprehensive survey conducted in the republic of Korea. *Allergy, asthma & immunology research, 3*(1), 34-38.
- Kim, J., Lee, Y. H., Kim, Y. H., & Lee, G. Y. (2008). 원저: 천식치료에서 스테로이드 흡입제의 최적 선택을 위한 경제성 평가. 천식 및 알레르기, 28(1), 26-34.
- Kim, L., Kim, J. A., & Kim, S. (2014). A guide for the utilization of health insurance review and assessment service national patient samples. *Epidemiology and health*, 36.
- Kim, S., Kim, J., Kim, K., Kim, Y., Park, Y., Baek, S., . . . Moon, H.-B. (2013). Healthcare use and prescription patterns associated with adult

asthma in Korea: analysis of the NHI claims database.

- Kim, S. H., Cho, B. L., Shin, D. W., Hwang, S.-s., Lee, H., Ahn, E. M., . . . Nam, Y. S. (2015). The Effect of Asthma Clinical Guideline for Adults on Inhaled Corticosteroids PrescriptionTrend: A Quasi-Experimental Study. *Journal of Korean medical science*, 30(8), 1048-1054.
- Koo, S.M., Kim, Y., Park, C., Park, G. W., Lee, M., Won, S., & Yang, H.J. (2017). Effect of Pregnancy on Quantitative Medication Use and Relation to Exacerbations in Asthma. *BioMed research international*, 2017.
- Laforest, L., Licaj, I., Devouassoux, G., Eriksson, I., Caillet, P., Chatte, G. Van Ganse, E. (2015). Prescribed therapy for asthma: therapeutic ratios and outcomes. *BMC family practice*, 16(1), 49.
- Lee, E. k. (2004). 천식 진료지침의 국내 활용실태.
- Masoli, M., Fabian, D., Holt, S., & Beasley, R. (2004). The global burden of asthma: executive summary of the GINA Dissemination Committee Report.
- OECD. (2017). *Health at a Glance 2017: OECD Indicators*. OECD Publishing, Paris.
- Steel, Nicholas, Willems, & Sara. (2010). Research learning from the UK Quality and Outcomes Framework: a review of existing research. *Quality in Primary Care, 18*(2).
- The Korean Academy of Asthma, Allergy and Clinical immunology (2015). Korean guideline for Asthma
- Yoon. (2009). 주요 상병질환의 경제적 부담 측정을 위한 계획수립 및 방법론 정립을 위한 연구.
- Yoon, H. J., & Park, E.-C. (2017). 외국의 성과연동지불제도 현황과 가감지급사업의 발전방향. *보건행정학회지, 27*(2), 121-127.
- Yun, et al. (2016). The development of patient-tailored asthma prediction model for the alarm system, Allergy Asthma Respir Dis 4(5):328-329
- 김문년, 이원기, & 박재용. (2013). 천식환자 발생의 생태학적 요인 분석-국민건강보험공단 자료를 중심으로. *한국데이터정보과학회지, 24*(4), 679-688.

#### Abstract

## 기관별 천식 진료의 질과 악화율의 상관성 분석

김 민 성 서울대학교 보건대학원 보건학과 보건학전공

**배경/목적:** 건강보험심사평가원은 2013년부터 요양기관의 천식 진료 행위의 적정성과 요양급여의 비용효과적인 측면을 고려하여 천식 진료 요양기관의 적정화 평가 사업을 추진하였다. 하지만 천식 질환에 대하여 건강보험심사평가원에서 수행한 요양기관 평가 결과의 적정성을 확인한 연구는 아직 없는 상황이다. 본 연구에서는 건강보험심사평가원의 청구 데이터를 활용하여, 건강보험심사평가원의 요양기관 평가 결과와 천식 진료의 질의 상관성을 확인하고자 한다.

방법: 본 연구에는 2013년 7월 1일부터 2016년 6월 30일까지의 천식환자의 건강보험심사평가원 청구데이터를 활용하였다. 건강보험심사평가원 청구 자료의 분석 과제 번호는 M20170512670으로 원격 접속 시스템 신청 과정을 거쳐 전체 요양기관에서 천식(J45) 또는 천식지속상태(J46)를 주상병 또는 제1부상병으로 하는 15세 이상의 대상자에 대한 자료 접속 권한을 부여 받았다. 건강보험심사평가원 청구데이터인 20테이블(일반정보), 30테이블(진료 내역) 및 53테이블(처방전 내역)을 통해 천식 약제 사용 정보와 천식 환자를 추출하였다. 또한 천식 악화 시 사용하는 약제를 결정하고, 천식 약제들에 부여한 약제 별 rank의 합을 산출하였다. 건강보험심사평가원의 의료기관 별 천식 진료 평가 결과를 천식 악화율과의 회귀분석을 통해 상관성을 분석하였다.

결과: 건강보험심사평가원의 요양기관에 대한 평가가 적절히 수행되었다면, 평가 결과가 양호한 기관일수록 천식 악화 시 사용하는 약제 사용이 적고 천식으로 인한 입원률이 낮아서 천식 악화율이 적을 것이다. 하지만 이러한 가설과는 달리 평가 양호 기관과 천식 악화율은 유의한 상관관계를 나타내지 않았다. 게다가 천식 악화 약제 사용으로 인한 천식 악화율은 평가 차수가 지날수록 지속적으로 감소하였고, 천식 입원률은 평가 양호 기관일수록 높게 나타나는 경향을 보여주었다.

43

결론: 이 결과는 건강보험심사평가원의 천식 요양기관 평가가 천식 악화 시 사용하는 약제의 사용 감소를 유도하여 요양기관의 천식 진료의 질을 향상시킨 것으로 보이지만, 천식 진료의 효과가 적절히 평가 기준에 반영되지는 않았음을 시사한다. 본 연구결과는 건강보험심사평가원의 천식 요양기관 적정화 사업에 반영되어 평가 기준 지표 설정의 제고 및 요양기관의 천식 진료 행태에 영향을 미칠 수 있을 것으로 기대한다.

주요어 : 천식, 악화, 천식 진료의 질, 적정성 평가, 한국 학번 : 2015-24005 Appendix 1. Detailed results of rank assignment according to the ATC code of each active ingredient of each asthma medications

Gnl_cd	Class	Gnl_name	Admin	1st_yr	2nd_yr	3rd_yr	Excerbation	period	Rank
107301ATB	Xanthine	aminophylline	oral	1	1	1	0	1	1
107301ATR	Xanthine	aminophylline	oral	1	1	1	0	1	1
107302BU	Xanthine	aminophylline	iv	1	1	1	0	1	1
107303ATR	Xanthine	aminophylline	oral	1	1	1	0	1	1
107330BU	Xanthine	aminophylline	iv	0	0	1	0	1	1
113601ATB	LABA	bambuterol	oral	1	1	1	0	1	1
113602ASY	LABA	bambuterol	oral	1	1	1	0	1	1
113630ASV	LABA	bambuterol	oral			1	0	1	
112001ATR	Vanthina	bamipbulline	oral	1	1	1	0	1	1
112902ATR	Vanthine	bamiphylline	oral	1	1	1	0	1	1
114508051	CS	beclomethasone	inhaled	1	1	1	0	1	1
114509051	6	beclomethasone	inhaled	1	1	1	0	1	1
114510051	6	beclomethasone	inhaled	1		1	0	1	1
114520051	<u> </u>	beclomethasone	inhaled			1	0	1	1
114530CSI	6	beclomethasone	inhaled	0	0		0	1	1
114532051	6	beclomethasone	inhaled	0	0	1	0	1	1
116401ATR	<u> </u>	betamethacone	oral	1	1	1	1		
11650280	6	betamethacone	Urai iv	1		1	1		
116502BD	<u> </u>	betamethasone	iv iv	1		1	1		4
110404051	<u> </u>	budesepide	inholod	1	0	1	1	1	4
110407CAE	6	budesonide	inhaled	1	1	1	0	20	
11940/CAE	6	budesonide	innaled	1	1	0	0	50	
119438CAE	6	budesonide	innaled	0	0	1	0	30	1
119502CSI	6	budesonide	innaled	1	1	1	0	30	
119505CSI	G	budesonide	innaled	1	1		0	30	1
119506CSI	CS	budesonide	innaled	1	1	1	0	30	2
119530CSI	G	budesonide	innaled	0	0	1	0	30	
119531CSI	CS	budesonide	inhaled	0	0	1	0	30	1
119532CSI	CS CS	budesonide	innaled	0	0	1	0	30	2
119533CSI	6	budesonide	innaled	0	0	1	0	1	1
135301ASY	LABA	cienbuterol	oral	1	1	1	0	1	1
135330ASY	LABA	clenbuterol	oral	0	0	1	0	1	1
135331ASY	LABA	clenbuterol	oral	0	0	1	0	1	1
140801ATB	CS	deflazacort	oral	1	1	1	1	1	4
141901ATB	CS	dexamethasone	oral	1	1	1	1	1	4
141903ATB	CS	dexamethasone	oral	1	1	1	1	1	4
141904ATB	CS	dexamethasone	oral	0	1	1	1	1	4
142201BIJ	CS	dexamethasone	iv	1	1	1	1	1	4
142202BD	CS	dexamethasone	IV	1	1	1	1	1	4
142230BU	CS	dexamethasone	IV	0	0	1	1	1	4
142232BIJ	CS	dexamethasone	iv	0	0	1	1	1	4
144001ATB	Xanthine	diethylaminoethyltheophylline	oral	1	1	0	0	1	1
157901ATB	SABA	tenoterol	oral	1	1	1	0	1	0
157902CLQ	SABA	fenoterol	inhaled	1	1	1	0	1	0
157930CLQ	SABA	tenoterol	inhaled	0	0	1	0	1	0
162202CSI	CS	fluticasone	inhaled	1	1	1	0	30	2
162203CSS	CS	fluticasone	inhaled	1	1	1	0	30	1
162204CSI	CS	fluticasone	inhaled	1	1	1	0	30	2
162205CSI	CS CS	fluticasone	innaled	1	1	1	0	30	1
162206CSS	CS	fluticasone	inhaled	1	1	1	0	1	3
162230CSS	CS	fluticasone	inhaled	0	0	1	0	1	3
162231CSS	CS	fluticasone	inhaled	0	0	1	0	1	1
162232CSI	CS	fluticasone	inhaled	0	0	1	0	30	1
162233CSI	CS	fluticasone	inhaled	0	0	1	0	30	1
162235CSI	CS	fluticasone	inhaled	0	0	1	0	30	2
162236CSI	3	fluticasone	inhaled	0	0	1	0	30	2
163101ASY	LABA	tormoterol	oral	1	1	0	0	1	1
163101ATB	LABA	tormoterol	oral	1	1	1	0	1	1
163104ASY	LABA	formoterol	oral	1	1	1	0	1	1
163104ATB	LABA	formoterol	oral	1	1	1	0	1	1
163130ASY	LABA	formoterol	oral	0	0	1	0	1	1
163131ASY	LABA	formoterol	oral	0	0	1	0	1	1
170901ATB	CS	hydrocortisone	oral	1	1	1	1	1	4
170905ATB	CS	hydrocortisone	oral	1	0	0	1	1	4
170906ATB	CS	hydrocortisone	oral	1	1	1	1	1	4
171201BU	CS	hydrocortisone	iv	1	1	1	1	1	4

47400000		1.1							
171202BU	CS	hydrocortisone	IV	1	1	1	1	1	4
177101CLQ	anticholinergic	ipratropium	inhaled	1	1	1	0	1	0
177103CLQ	anticholinergic	ipratropium	inhaled	1	1	1	0	1	0
177131CLO	anticholinergic	ipratropium	inhaled	0	0	1	0	1	0
193302ATB	CS	methylorednisolone	oral	1	1	1	1	1	4
193305ATB	CS	methylorednisolone	oral	1	1	1	1	1	A
199900400		methylprednisolone	Ulai						~
193201BD	LS .	metnyipreanisoione	IV	1	1	1	1	1	4
193502BU	CS	methylprednisolone	iv	1	1	1	1	1	4
193530BU	CS	methylprednisolone	iv	0	0	1	1	1	4
193531BU	CS	methylprednisolone	iv	0	0	1	1	1	4
193601BU	CS	methylprednisolone	iv	1	1	1	1	1	4
193602BU	CS	methylorednisolone	iv.	1	1	1	1	1	
193002BD	6	methylpreuhisoione	IV .						4
193603BD	CS	methylprednisolone	IV	1	1	1	1	1	4
193604BU	CS	methylprednisolone	iv	1	1	1	1	1	4
206901ATB	Xanthine	oxtriphylline	oral	1	1	0	0	1	1
216401ACH	LTRA	pranlukast	oral	1	1	1	0	1	1
216402ASS	LTRA	pranlukast	oral	1	1	1	0	1	1
216402ASV	ITRA	pranlukast	oral	1	1	1	0	1	1
210402/031	LINA	prantukast	Ulai				0		
216403ACH	LIKA	praniukast	oral	1	1	1	0		1
216404ATB	LTRA	pranlukast	oral	1	1	1	0	1	1
216405ASS	LTRA	pranlukast	oral	1	1	1	0	1	1
216405ATB	LTRA	pranlukast	oral	1	1	1	0	1	1
216406ASS	LTRA	pranlukast	oral	1	1	1	0	1	1
216407455	ITRA	praplukast	oral	1	1	1	0	1	1
2104077033	LTDA	prantakast	oral				0		
216408ATB	LIKA	praniukast	oral	0	0	1	0	1	1
216430ASY	LTRA	pranlukast	oral	0	0	1	0	1	1
216431ASY	LTRA	pranlukast	oral	0	0	1	0	1	1
216432ASY	LTRA	pranlukast	oral	0	0	1	0	1	1
216433ASY	LTRA	pranlukast	oral	0	0	1	0	1	1
217001ATB	CS	prednisolone	oral	1	1	1	1	1	4
21700245V	6	predhisolona	oral	1	1	1		1	
217005451	6	preunisoione	oral		1				4
217004ASY	6	prednisolone	oral	1	1	1	1	1	4
217030ASY	CS	prednisolone	oral	0	0	1	1	1	4
217034ASY	CS	prednisolone	oral	0	0	1	1	1	4
217035ASY	CS	prednisolone	oral	0	0	1	1	1	4
217302BU	CS	prednisolone	iv	1	1	1	1	1	4
218301ATB	SABA	procaterol	oral	1	1	1	0	0	0
210301ATD	CARA	procaterol	oral				0	0	0
210302ATB	SABA	procateroi	oral		1	1	0	0	0
218304CSI	SABA	procaterol	inhaled	1	1	1	0	30	0
218330CSI	SABA	procaterol	inhaled	0	0	1	0	30	0
225501ATB	SABA	salbutamol	oral	1	1	1	0	0	0
225502CSI	SABA	salbutamol	inhaled	1	1	1	0	0	0
225503ACR	SABA	salbutamol	oral	1	1	1	0	0	0
225503ATB	SABA	salbutamol	oral	1	1	1	0	0	0
2255057112	CARA	calbutamel	inholod				1	0	0
225500031	SADA	saibutamoi	innaleu		1	1	1	0	0
225507ACR	SABA	saibutamol	oral	1	1	1	0	0	0
225508CSI	SABA	salbutamol	inhaled	1	1	1	1	0	0
225530CSI	SABA	salbutamol	inhaled	0	0	1	1	0	0
225531CSI	SABA	salbutamol	inhaled	0	0	1	0	0	0
225532CSI	SABA	salbutamol	inhaled	0	0	1	1	0	0
235801ATB	SABA	terbutaline	oral	1	1	1	0	0	0
235830(10	SARA	terbutaline	inhaled	1	1	1	0	0	0
233030CLQ	SADA Venthing	terbutaine	innaieu	1	1	1	0	0	0
23700TACH	Aanunine	theophyline	orai		1	1	0		
237001ACR	Xanthine	theophylline	oral	1	1	1	0	1	1
237002ACR	Xanthine	theophylline	oral	1	1	1	0	1	1
237003ACH	Xanthine	theophylline	oral	1	1	1	0	1	1
237003ACR	Xanthine	theophylline	oral	1	1	1	0	1	1
237003ASY	Xanthine	theophylline	oral	1	1	1	0	1	1
237002ATP	Xanthine	theophylline	oral	1		1	0	1	
237005ATR	Vanthine	theophyline	oral				0		-
237003ATK	Aandhine	theophyline	orai		1	1	0		
237030ASY	Xanthine	theophylline	oral	0	0	1	0	1	1
237031ASY	Xanthine	theophylline	oral	0	0	1	0	1	1
249701ATB	LTRA	zafirlukast	oral	1	1	1	0	1	1
264800ATB	LABA	clenbuterol	oral	0	1	1	0	1	1
334500CSI	CS & LABA	fluticasone	inhaled	1	1	1	0	30	2
334600CSI	CS & LABA	fluticasone	inhaled	1	1	1	0	30	2

334700CSI	CS & LABA	fluticasone	inhaled	1	1	1	0	30	
374601ASY	LTRA	montelukast	oral	1	1	1	0	1	
374601ATB	LTRA	montelukast	oral	1	1	1	0	1	1
374601ATD	LTRA	montelukast	oral	1	1	1	0	1	1
374602ATB	LTRA	montelukast	oral	1	1	1	0	1	1
374602ATD	LTRA	montelukast	oral	1	1	1	0	1	1
374603AGN	LTRA	montelukast	oral	1	1	1	0	1	1
374603ASY	LTRA	montelukast	oral	1	1	1	0	1	1
374603ATB	LTRA	montelukast	oral	1	1	1	0	1	1
374603ATD	LTRA	montelukast	oral	1	1	1	0	1	1
391800CSI	CS & LABA	budesonide	inhaled	1	1	1	0	30	1
439101ATB	Xanthine	doxofylline	oral	1	1	1	0	1	1
441700CSI	CS & LABA	budesonide	inhaled	1	1	1	0	30	1
452101CPC	LABA	tulobuterol	patch	1	1	1	0	1	1
452102CPC	LABA	tulobuterol	patch	1	1	1	0	1	1
452103CPC	LABA	tulobuterol	patch	1	1	1	0	1	1
453400CSI	CS & LABA	budesonide	inhaled	1	1	1	0	30	3
497101CSI	CS	ciclesonide	inhaled	1	1	1	0	30	1
497102CSI	CS	ciclesonide	inhaled	1	1	1	0	30	1
497130CSI	CS	ciclesonide	inhaled	0	0	1	0	30	1
497131CSI	CS	ciclesonide	inhaled	0	0	1	0	30	1
502000CSI	CS & LABA	formoterol	inhaled	1	1	1	0	30	
503430CSI	anticholinergic	tiotropium	inhaled	0	0	1	0	30	4
506400CSI	CS & LARA	fluticasone	inhaled	1	1	1	0	30	2
506500CSI	CS & LABA	fluticasone	inhaled	1	1	1	0	30	
5066000051	CS & LABA	fluticasone	inhaled	1		1	0	30	
500000C31	CS & LABA	fluticasone	inhaled	1		1	0	30	-
525700CSI	CS & LABA	fluticasone	inhaled	1		1	0	30	
525000CSI	CS & LABA	fluticasone	inhaled	1		1	0	30	
531700ASV	LARA	clephyterol	oral			1	0	1	
531700AST	LABA	clenbuterol	oral	0	0	1	0		
531000AST	LABA	clenbuterol	oral	0	0	1	0		
531900AS1	LADA	clenbuterol	oral	0	0		0		
532000AST	LADA	clenbuterol	oral	0	0		0		
532100AST		clenbuterol	oral	0	0	1	0		
532200AST	LADA	clenbuterol	oral	0	0	1	0		
552500AST		flutisseese	inholed	0	0	1	0	20	
542000031	CS & LABA	fluticasone	inhaled	0	0		0	30	
542900031	CS & LABA	fluticasone	inhaled	0	0		0	30	
545000CSI	CS & LABA	fluticasone	innaled	0	0		0	30	
543100CSI	CS & LABA	fluticasone	innaled	0	0		0	30	
543200CSI		fluticasone	inhaled	0	0	1	0	30	
545500CSI	CS & LABA	fluticasone	inhaled	0	0	1	0	20	4
545400C3I		fluticasone	inhaled	0	0		0	30	
545500CSI		hudosenide	inhaled	0	0		0	30	
545000CSI	CS & LABA	budesonide	innaied	0	0		0	30	
545800CSI	CS & LABA	budesonide	innaied	0	0		0	30	2
543900CSI	CS & LABA	budesonide	inhaled	0	0	1	0	30	2
544000CSI	CS & LABA	budesonide	inhaled	0	0		0	30	-
344100CSI	CS & LABA	budesonide	innaled	0	0		0	30	
544200CSI	CS & LABA	beciomethasone	innaied	0	0	1	0	30	2
544300CSI	CS & LABA	fluticasone	inhaled	0	0	1	0	14	2
544400CSI	CS & LABA	fluticasone	inhaled	0	0	1	0	14	2
544500CSI	CS & LABA	fluticasone	inhaled	0	0	1	0	14	3
636700CSI	CS & LABA	fluticasone & vilanterol	inhaled	0	1	1	0	30	2
636800CSI	CS & LABA	fluticasone & vilanterol	inhaled	0	1	1	0	30	3
640400CSI	CS & LABA	formoterol	inhaled	0	0	1	0	30	2
801100CSI	CS & LABA	formoterol	inhaled	0	0	1	0	30	2