## **O.** Introduction

This thesis is an attempt to formulate a transformational generative grammar<sup>(1)</sup> of Korean. Since no extensive and reliable description of the Korean language has been made, it has been difficult for me to find reference materials for this paper. Being a native speaker of Korean was a great advantage and most of the data has been collected through introspection and the small number of books listed in the bibliography.

As indicated in the title of the paper, I have formulated an outline of Korean syntax, concentrating in particular on the verb morphology and inflection of the language. Accordingly, in most cases, the subclassification of elements (especially 'verb') has not been made on the basis of co-occurrence restrictions but rather on the basis of morphological differences. See the rules 1, 12, 1.16.

Some of the features of the Korean language are the honorific system (Cf. 1.3, 1.4, 3. 27-3.32), numeral classifiers (Cf. 1.38, 1.41, 4.3) and extensive verbal and adjectival conjugations.

There are three different styles in the Korean language; namely, epistolary, literary and colloquial. Nevertheless, they are not different from each other, they differ mainly in sentence endings. The epistolary and literary styles are the remains of the earlier form of the language, while the colloquial style is what has come to be the current everyday language. The language I handled in this paper is primarily the colloquial Korean. (2)

Since Korea is one of the oldest countries in the world and there has been a clear classification between people in their social, religious and political lives throughout its history, there still exist five language distinctions in the language, even if there is a tendency to abolish these under the influence of western civilization. The level (or class) of the language distinction described in the phrase structure is the lowest.

With respect to the numeral classifiers, there is a problem in the subclassification of nouns. There are at least more than fifty different noun classes which require different

<sup>(1)</sup> As to the general theory of 'transformational generative grammar', I refer to Noam Chomsky, Syntactic Structures, Mouton & Co., The Hague, 1957.

<sup>(2)</sup> By 'Korean' here I mean the language spoken in Seoul area, the so-called Standard Dialect of Korean.

counting classifiers: e.g., three students="haksæp se myəp", three pigs="tweci se mali", three books="chæk se kwən" and three pencils="yənphil secalu". However, only ten classes of nouns (Cf. 1.38, 1.40, and 1.41) were illustrated as to their co-occurrence [with the most widely current counting classifiers.

Another problem was in the analysis of auxiliary predicate. Sometimes, the formatives of a construction which has an auxiliary predicate is almost identical with the construction involving compound or complex verbs; e.g., "tol a ka(ta)" = 'to go around' and "ka a V<sub>1</sub>+IS+ka" | V<sub>mot</sub>+IS+po(ta)" = 'to try to go'. (Cf. 1.14, 1.43, — 1.47). In the above example, I set up the Aux morpheme "po(ta)" as the tentative auxiliary verb, even though the morpheme "po(ta)" appears as a verb with the meaning 'to see' or 'to look at'. The main reason is that, in Korean, modifiers always come before the elements modified, but in the construction including auxiliary predicate the auxiliaries come after the predicate.

The Korean examples are presented phonemically, but no suprasegmental phonemes are marked. As a general rule, Koreans usually put stress on the first syllable of a word or phrase. The phonemic system of the language employed in this paper is a modified version of Samuel Martin's Korean Phonemics. (3)

#### Consonants

		bilabial	dental	palatal	velar	glottal
Stops	simple	p	t		k	
	aspirated	$\mathbf{p^h}$	$t^h$		$k^h$	
	glotalized	pq	tq		$_{ m kq}$	
Aff.	simple			С		
	aspirated			$C^h$		
	glotalized			cq		
Fric.	simple	s				h
	glotalized		sq			
Lat.			1			
Nasals		m	n		D	
Med.		w			у	

<sup>(3)</sup> Language 27, pp. 519-33.

Vowels

Significant allophones

	Initially (or after pause)	Intervocalically (or between voiced sounds)
/p/	<b>p</b>	Ъ
/t/	t	d
/k/	k	g
/c/	c	j
	(or before consonants)	(only intervocalically)
/1/	1	ř

Long consonants and vowels occur in contrast with short consonants and vowels, respectively, and are treated as dyads of the same phonemes. The advantage of the treatment of /pq, tq, kq, cq, sq/ as single phonemes lies in the phonotactics of the language – in Korean no consonant clusters ever occur initially in a word or phrase or after pause. The phonemes /l, p/ do not occur in word or phrase initial position. Between two uninterrupted (i.e., non-interruption by open juncture or pause) syllabic nuclei, no more than two consonants ever occur. (For exceptions, see p. 8).

Since in the phonemic transcription of the Korean examples I use the base form of a morpheme, the transcription itself is not readable, unless we understand the morphophonemic changes illustrated in the following tables. Table-1 and Table-2 show the possible consonant phoneme clusters (indicated by the symbol "o" at the point of intersection), and the dyads show that the expected sequence does not occur, but is automatically replaced by the given dyads. The top-most row shows the end of the preceding syllable, and the extreme left-hand column shows the beginning of the following syllable. The extreme right-hand column shows the morphophonemic change of a given phoneme when it is the last sound of a word or phrase before pause. The last row shows that a syllable begins with the consonant whenever possible. The symbol "x" means impossible.

p-	pq	pq	x	pq	pq	х	0	kp	kp			pq			р <b>ь</b>	0	0	0	0	-p
ph-	$\mathbf{p}^{\mathbf{h}}$	$p^h$	X	$\mathbf{p^h}$	$p^{\mathbf{h}}$	x	0	kp*	$kp^h$			$p^{\mathbf{h}}$			$p^{\mathbf{h}}$	0	0	[o	0	-p
pq-	pq	pq	x	pq	pq	x	0	kpq	kpq			pq			$\mathbf{p^h}$	O	O	0	0	x
t-	ptq	ptq	x	tq	tq	x	O	kt	kt			tq			$t^{\mathbf{h}}$	o	o	O	o	-t
th-	0	$pt^h$	x	$t^{\mathbf{h}}$	$t^{\mathbf{h}}$	х	o	$kt^{\boldsymbol{h}}$	$kt^{\tt h}$			$t^{\mathbf{h}}$			$t^{\mathbf{h}}$	o	o	O	o	-t
tq	o	ptq	x	tq	tq	x	0	ktq	ktq			tq			$t^{\boldsymbol{h}}$	o	О	O	o	x
k-	pkq	pkq	x	kq	kq	x	kq	$\mathbf{k}\mathbf{q}$	$_{\mathrm{kq}}$			$\mathbf{k}\mathbf{q}$			$k^{h}$	o	0	O	o	-k
kh-	o	$pk^h$	x	$\mathbf{k}^{\mathtt{h}}$	$\mathbf{k}^{\mathtt{h}}$	x	$k^{\mathtt{h}}$	$\mathbf{k^h}$	$k^{\mathtt{h}}$			$\mathbf{k}^{\mathtt{h}}$			$k^{\mathtt{h}}$	o	O	O	0	-k
kq-	o	pkq	x	kq	kq	x	kq	kq	$_{\mathrm{kq}}$			$_{\mathrm{kq}}$			$\mathbf{k}^{\mathbf{h}}$	О	O	o	0	-k
c-	pcq	pcq	x	cq	cq	x	kcc	kc kc	kc			cq			$C^{\mathbf{h}}$	O	O	O	o	-t
ch-	О	$pc^h$	x	$C_{\boldsymbol{p}}$	$c^{\mathbf{h}}$	x	O	$kc^{\boldsymbol{h}}$	$kc^{h}$			$c^{\mathbf{h}}$			$C^{\boldsymbol{h}}$	o	O	0	0	-t
cq-	o	pcq	x	cq	cq	x	o	kcq	kcq			cq			$C^{\boldsymbol{h}}$	O	0	O	0	-t
s-	psq	psq	x	sq	sq	x	ksq	ksq	ksq			sq			S	o	o	0	0	-t
sq-	o	psq	x	sq	sq	x	o	ksq	ksq			sq			sq	O	0	0	0	-t
h-	$\mathbf{p}^{\mathbf{h}}$	$\mathbf{p}^{\mathbf{h}}$	x	$t^{\mathbf{h}}$	$t^{\mathbf{h}}$	x	$k^{{\tt h}}$	$\mathbf{k^h}$	$k^{\mathtt{h}}$	$c_{\boldsymbol{p}}$	$c^{\mathbf{h}}$	$c^{\boldsymbol{h}}$	S	sq	h	o	0	0	0	-h
1-	x	x	x	x	x	x	x	X	X			x			x	0	mr	nn	nr	1 -1
m- )	mm	mm	x	nm	nm	х	ŋm	$\mathfrak{p}\mathrm{m}$	$\mathfrak{p}^{\mathrm{m}}$			mn	1		O	o	o	o	0	-m
n-	mn	nın	x	nn	nn	x	ŋn	ŋn	ŋn			nn			0	11	0	o	0	-n
D-	x	x	x	x	x	x	x	x	X			X			X	x	x	х	х	-D
	p-	.ph-	po	- p-	th-	tq-	k-	kh-	kq-	c-	Ch	- cq-	s-	sq-	h-	х	m-	n-	х	

Table-1

## Complex Syllabic Nuclei

ii	ii	uu	wi				yu
ee	99	00	we	wә	ye	уə	yo
ææ	aa		wæ	wa	уæ	ya	

Dyads of the same vowel indicate long syllabic nuclei. In the Korean language, there are no phonemic off-glides except in /iy/.

The following table shows a few possible clusters of three consonants in phonemic transcription. The top row again shows the end (consonant cluster) of the preceding syllable. The phonemes /t,c,n,k/ in the extreme left-hand column are the only phonemes which can follow immediately the clusters in the top row. The cells of the matrix contain the resultant morphophonemic sequences.

	-lm	-nc	-lk	-pl	-ps	-nh
t-	mt	ntq	lktq	lptq	ptq	-nth
c-	mc	ncq	lkcq	lpcq	pcq	-nch
n-	mn	nn	ŋn	mn	mn	-nn
k-	mk	$\mathfrak{p}$ kq	lkq	lpkq	pkq	-pk <sup>h</sup>

Table-2

An explanation of the symbols employed in this grammar is as follows: "——" is the rewrite sign for phrase structure rules and morphophonemic rules in footnotes, and "…—" is the rewrite sign for transformational rules. Parentheses "( )" indicate optional elements, braces "{ }" denote the choice of one out of several elements, and brackets "[ ]" denote elements which must match in the input and in the output. The symbol "#" denotes sentence boundary, "/" indicates phrase boundary, and "+" indicates concatenation.

The phrase boundary "/" is postulated for several reasons. A phrase boundary must be a syllabic boundary, and open juncture occurs in the position of phrase boundary. That is to say, the tables for the morphophonemic changes can not be applied across phrase boundaries. Another reason is to be found in the transformational rule 3.34 yielding emphatic phrase order. (4)

The cover symbols X,Y,Z and W have been used to designate any occurring sequence, including null.

<sup>(4)</sup> Also see the discussion in E. Bach, An Introduction to Transformational Grammars, pp. 111-2.

# Chapter I

## Phrase Structure

Some, but not all, rules in this chapter are followed by examples in order to simplify comprehension. The translation of the examples into English is not always literally correspondent with Korean; that is, no matter how well we translate Korean into English, the English translation cannot express the real properties of the Korean language; and, some times, the literal description does not make sense in English at all. For instance, the sentence "ton i na eke isq ta". means "I have money.", but literally "Money is to me." which is not well-formed and not an acceptable English sentence.

The rules described below must be applied in the order indicated. These rules, together with the operation of the obligatory transformation, will generate the sentences of the language which, for reasons of over-all economy, I have chosen to view as kernel or non-derived sentences.

Given the sequence #S#S#S#S#S.....

Father was not reading a book assiduously.

This rule will generate all and only grammatically simple (i.e., nonderived) sentences, and all complex (i.e., derived) sentences of the language can be derived from it by means of relatively simple transformations.

The optional symbol "NP" here denotes noun phrase and indicates the grammatical subject of a sentence, and "SM", which is expanded in the next rule, denotes subject

<sup>(5) &</sup>quot;la" becomes "əla" under the condition that the preceding "NP" ends in a consonant; i.g., la

→ əla in envir. XC

markers. "Adv" denotes adverbs, adverbial complexes and a postpositional phrase. The obligatory element "Pred" indicates predicate, and "ta", "nya", "kuna", "la" and "ca" denote declarative, interrogative, exclamatory, imperative and hortative sentence endings, respectively. (6)

The optional morpheme "isi" is an honorific infix for the subject noun phrase. Since not all NPs may occur with this honorific morpheme, a context sensitive rule is necessary (see 1.4). "IS", which will be expanded in 1.46, denotes the various inflectional suffixes of predicate which must always co-occur with "Aux", indicating auxiliary predicate. Another context sensitive rule is required to handle the fact that certain auxiliary predicates have to take certain inflectional suffixes (see 1.46).

The morpheme "ci" is a special inflectional suffix of predicate or auxiliary predicate characteristic of their negations (see 1.32). "Neg" denotes three negative morphemes (see (1.32).

1.2

$$SM \longrightarrow \left\{ \begin{array}{c} ka^{(7)} \\ esa \\ kqesa \end{array} \right\}$$

Among three subject markers, the distribution of "esə" and "kqesə" is highly restricted, while "ka" may co-occur with any kinds of subject noun phrases (see the next rule.)

## 1.3

ki haksæn iy pyən esə kyənki lil iki əsq ta. /  $N_{\text{human}} + iy + N_1 + \text{esə}/$  Pred + T + ta/

<sup>(6)</sup> In the Korean language, the sentence types are determined in most cases by the sentence endings, and each type has its own typical terminal juncture. So, even though in the half language level (cf. 3.31) the declarative, the interrogative, the imperative and the hortative sentences end in the same segmental phoneme /ə/, they have different terminal junctures; namely, the pitch for the declarative sentence is from 'mid' to 'low', from 'mid' to 'high' for the interrogative, from 'high' to 'low' for the imperative, and the sustained pitch for the hortative sentence. (cf. 3.27—31)

<sup>(7)</sup> ka—→i in envir. XC

The student's side won the game.

Does father go to (his) office?

"D" deonotes determiners, "N<sub>human</sub>" deonotes nouns of human (i.e., nouns used for human beings), and the optional morpheme "iy", meaning 'of' in English, is used to make the possessive construction of its preceding noun phrase; namely, "N<sub>human</sub> iy N<sub>1</sub>" is "N<sub>1</sub> of N<sub>human</sub>" or "N<sub>human</sub> 's N<sub>1</sub>" in English. As indicated in the above rule, since the subject marker "kqesə" contains some form of a respectful expression in itself, only "N<sub>human</sub>" and "ProNom", which is expanded in 1.35, may have it as their subject marker. "N<sub>1</sub>", which is referred to the lexicon, denotes a very small class of nouns.

#### 1.4

$$NP + ka/(Adv)/Pred + isi \longrightarrow \begin{cases} N_{buman} \\ ProNom \end{cases} + ka/(Adv)/Pred + isi$$

The rule signifies that, when the honorific morpheme for the subject noun phrase "isi" is chosen, " $N_{human}$ " or "ProNom" must be selected as the subject of a sentence.

#### 1.5

$$\left( \begin{bmatrix} NP + ka \\ ProNom + (iy) + N_1 + esa \end{bmatrix} \right) + X + \left( \begin{matrix} la \\ ca \end{matrix} \right) - \left( \begin{matrix} ne + ka \\ ne + (iy) + N_1 + esa \end{matrix} \right) + X + \left( \begin{matrix} la \\ ca \end{matrix} \right)$$

X is abbreviation of (/Adv)/Pred(+IS+Aux) (+ci+Neg).

Note: X does not contain "isi".

The above rule generates imperative sentences and hortative sentences. Since the imperative and the hortative forms in this level (the language level described in phrase structure) are used only in the lowest level of the language; that is to say, the person spoken to must be younger or socially lower than the speaker, the morpheme "isi" can not co-occur with "la" and "ca". The morpheme "ne" means 'you', and "uli" means 'we', in English.

#### 1.6

$$\text{Pred} \longrightarrow \left\{ \begin{cases} \text{Adj} \\ \text{V}_c \end{cases} \text{ in envir.} + \dots + \begin{cases} \text{ta} \\ \text{nya} \\ \text{kuna} \end{cases} / \right\}$$

$$\left\{ \text{Adjunct/)VP} \right\}$$

ki yə haksæn i tosəkwan esə konpuha ko isq ta.

/ NP +ka/ Adjunct+ VP+ IS+Aux+ta/
ki yə haksæn i cən e ipqi əsq kuna!

/ NP +ka/Adv/ Adj+T+kuna/
ki yə haksæn i chəncæ i əsq nya?

/ NP +ka/ Vc+T+nya/

The girl student is studying at the library.

How pretty the girl student was before!

Was the girl student a genius?

The above rule classifies "Pred" into three subclasses – "VP" (verbal predicate), "Adj" (adjectival predicate) and "V<sub>c</sub>" (copulative predicate). This classification is necessary since they perform quite different roles in the transformational level of grammar and even in the phrase structure component. (See the rules 1.8, 1.17, 1.18, 3.2 and 4.2)

The optional element "Adjunct", composed of a noun plus a certain kind of postposition, denotes various verbal predicate modifiers. We may therefore give a rule for this as follows:

## 1.7

Adjunct → NP+PostP

"PostP" denotes postpositions.

## 1.8

$$Aux \longrightarrow \left\{ \begin{array}{ll} Aux_v & \text{in envir. VP...} \\ Aux_a & \text{in envir. Adj...} \\ Aux_c & \text{in envir. Vc....} \end{array} \right\}$$

There are three kinds of auxiliary predicates: auxiliary for verbal predicate "Aux,", auxiliary for adjectival predicate "Aux," and auxiliary for copula "Aux,". (See 1.43-5).

$$VP \longrightarrow \left\{ \begin{matrix} NP + 1\mathfrak{i}1^{(8)}/V_{tr} \\ V_{in} \end{matrix} \right\}$$

ki i ka cənyək il mək əsq ta. /NP+ka/ NP+lil / V<sub>tr</sub>+T+ ta/

əməni ka o si əsq ta.  $/NP + ka/V_{in} + isi + T + ta/V_{in}$ 

He ate supper.

<sup>(8)</sup>lil→il in envir. XC\_\_\_\_.

Mother came.

The dichotomy of "VP" into transitive verb "V<sub>rt</sub>" and intransitive verb "V<sub>in</sub>" is required for the passive transformation, that is, a transitive verb may undergo the passive transformation, but an intransitive verb may not.

"lil" denotes the object marker.

#### 1.10

$$V_{tr} \longrightarrow \left\{ \begin{array}{l} NP + e/V_{tr-3} \\ V_{trr} \end{array} \right\}$$

sənsæp i yəpə lil uli eke kalichi si ko isq $_2$  ta.  $/N_{human} + SM/NP + lil$  /  $NP + e/V_{tr-3} + isi + IS + Aux + ta$ . /

The teacher is teaching us English.

nœ ka 
$$c^h$$
æk il sa asq ta.  
/NP+SM/NP+lil/ $V_{trx}$ +T+ta/

I bought a book.

The morpheme "e" denotes the indirect object marker (Cf. 4.1) in a sentence which has two objects, and "V<sub>tr-3</sub>" denotes dative transitive verbs. "V<sub>trx</sub>", which is expanded in the next rule, represents miscellaneous transitive verbs.

#### 1.11

$$V_{trx} \longrightarrow \begin{cases} C_{tr-1} \\ V_{tr-2} \\ V_{ti} \end{cases} \quad \text{anywhere}$$

The morpheme "capsu", meaning 'to eat', always occurs with the honorific morpheme "isi". "V<sub>tr-1</sub>", which is referred to the lexicon, denotes a class of transitive verbs which can not undergo the dative transitive transformation but can undergo one of the three kinds of passive transformations. (Cf. 3.5, 3.33.)

"V<sub>tr-2</sub>" has been specified since it may undergo the dative transitive and two of the three kinds of passive transformations. (See 3.5, 3.33). "V<sub>ti</sub>", which is referred to the lexicon and is specified in order to give a co-occurrence restriction between "Adjunct" and "VP", denotes verbs which mean the transportation of something, work using instruments, etc. (Cf. 1.28, 1.29).

#### 1.12

$$V_{tr-2} \longrightarrow egin{pmatrix} V_{tr-21} \ V_{tr-22} \ V_{tr-23} \ V_{tr-24} \ V_{tr-25} \end{pmatrix}$$

The above division of " $V_{tr-2}$ " into five subclasses, which are all referred to in the lexicon, is made because each subclass must have different 'dative transitive formatives (affixes)' in the dative transformation. (Cf. 3.5)

#### 1.13

$$V_{in} \longrightarrow \begin{Bmatrix} NP + ka_1^{(9)}/t\ddot{o} \\ V_{int} \end{Bmatrix}$$

ki i ka haksæp 
$$i_1$$
 tö əsq ta.  $/NP + SM/NP + ka_1/$  tö  $+ T + ta/$ 

He became a student.

The morpheme "tö", meaning 'to become' in English, occurs with the construction "NP +ka<sub>1</sub>" (here the subscript is employed in order to distinguish "ka<sub>1</sub>" from the subject marker "ka"). "V<sub>intr</sub>" denotes intransitive verbs except "tö".

#### 1.14

$$V_{intr} \longrightarrow \left\{ \begin{pmatrix} V_{mot} & & & \\ V_{dup} & & & \\ V_{inx} & & & \\ V_{1} + a + \begin{Bmatrix} ka \\ o \end{Bmatrix}^{(10)} \right\} \quad anywhere$$

- (9) ka<sub>1</sub>→i<sub>1</sub> in envir. XC ....
- (10) By giving an example, I would like to explain why I handle the construction "V<sub>1</sub>+ə+{ka, o}" in this way, even though it is morphologically a combination of two verbs; in other words, it might be handled in the transformational component. The sentence "næ ka pap e<sub>1</sub> til ə ka /NP+SM/Adjunct/V<sub>1</sub>+ə+ka

ta." 'I go in the room.' might be transformed from the following two sentences;  $+ \tan/$ 

næ ka pap e til ta. 
$$/NP+SM/Adjunct/V_1+ta/$$
 'I enter the room.' and næ ka ka ta.  $/NP+SM/ka+ta/$  'I go.'

But the latter treatment looks uneconomical, and it is hardly acceptable to our common sense "a" becomes "a" when its immediately preceding last vowel is /a,o/.

"Vh", which includes only three morphemes, must occur with "isi" like "capsu" (see 1.11).

"Vmot", which is specified to give a co-occurrence restriction between "Adjunct" and "VP" and is referred to the lexicon, represents verbs of motion, and "Vdup" denotes the verbs which can occur with the duplicative adverb "Dup" (see 1.22).

The morphemes "ka" and o", meaning 'to go' and 'to come' respectively, make some special verbal complexes with certain inflected verbs "V1+2". "V1" denotes a small class of verbs. "isq", meaning 'there is' or 'there are' in English, is the so-called 'verb of existence or location' (see 1.20). " $V_{inx}$ ", which is expanded in the next rule, denotes miscellaneous intransitive verbs.

#### 1.15

$$V_{inx} \longrightarrow \begin{Bmatrix} V_{in-1} \\ V_{in-2} \end{Bmatrix}$$

"Vin-1" denotes a class of intransitive verbs which may not be transformed into transitive verbs, while "Vin-2" is a large class of intransitive verbs that may be transformed into transitive verbs by means of a certain 'transitive formative'. (see 3.4).

#### 1.16

$$V_{in-2} \longrightarrow \begin{pmatrix} V_{in-21} \\ V_{in-22} \\ V_{in-23} \\ V_{in-24} \\ V_{in-25} \end{pmatrix}$$

The classification of "Vin-2" into five subclasses, which are all referred to the lexicon, is needed since each one must have different 'transitive formatives(affixes)' in order for each of them to be inflected as transitive verbs. (Cf. 3, 4).

#### 1.17

The weather becomes very cold.

<sup>(11)</sup> a—a when its immediately preceding last vowel is /a,o/.

"PreAdj", abbreviated from 'preadjectival', denotes a small class of adverbs which can modify only adjectival predicate, but not "VP" or " $V_c$ ". The morpheme "ci", which is never used independently, occurs with only inflected adjectivals "Adj<sub>1</sub>+ $\mathfrak{d}$ " and means roughly 'to become'.

#### 1.18

$$V_{c} \longrightarrow {NP \brace N_{3} + c \ni k} + i$$

cə kəs i namu i ta.

NP + SM/NP + i+ta/ 'That is a tree.'

ki i ka cinchwi cək i ta.

 $NP+SM/N_3 + c + i + t = 4$  'He is progressive.'

The morpheme "cək", meaning probably '-ive' in English if it is considered a morpheme, makes an adjectival type construction with a small class of nouns "N<sub>3</sub>". However, this construction, unlike adjectivals, always occurs with the copula verb "i", meaning 'to be' in English.

#### 1.19

$$\begin{bmatrix} N_{\text{human}} \\ ProNom \end{bmatrix} + SM(/Adv)/NP + \begin{bmatrix} i \\ ka_1/t\ddot{o} \end{bmatrix} \longrightarrow \begin{bmatrix} N_{\text{human}} \\ ProNom \end{bmatrix} + SM(/Adv)$$
 
$$/ \begin{cases} N_{\text{human}} \\ Numeral_a + sal \\ Numeral_o + cqæ + (pen) \\ Numeral_c + pen \end{cases} + \begin{bmatrix} i \\ ka_1/t\ddot{o} \end{bmatrix}$$

næ ka haksæp i ta. /ProNom+SM/N<sub>human</sub>+i+ta I am a student.

apəci kqesə swin sai  $i_1$  tö si əsq ta.  $/N_{human} + SM$   $/Numeral_a + sal + ka_1/t$ ö+ isi + T + ta/t

(My) father became fifty years old.

ki i ka yəl cqæ pən i ta. /ProNom+SM/Numeral<sub>o</sub>+cqæ pən+i+ta

He is the tenth.

The above rule is not sufficient to give full restriction to the co-occurrence of "i" and "tö" (see 1.13) with other items. For instance:

\*haksæŋ i haksæŋ i ta."  $N_{human} + SM/N_{human} + i + ta/$  \*'The student is a student.'

However, it shows the primary distributions of the morphemes "i" and "tö" in the language.

The symbol "ProNom" is the abbreviation of pronominal (see 1.35). "Numerala" denotes adjectival numerals, "Numerala" denotes the so-called Sino-Korean numerals (numerals borrowed from Chinese) and "Numerala" denotes ordinal numerals. The morpheme "sal" means 'age', "cqæ" means the morpheme '-th', and "pən" means 'number', in English.

#### 1.20

$$NP + PostP/isq$$
 —  $NP + e_1/isq$   $c^h$ æk i  $c^h$ æksan wi  $e_1$  isq ta.  $/NP + SM/$   $NP$   $+ e_1/isq + ta/$  A book is on the desk. haksæn i kyosil  $e_1$  isq əsq ta.  $/NP$   $+ SM/NP + e_1/isq + T + ta$ 

(There) was a student in the class.

The above rule shows the co-occurrence restriction between Adjunct and the verb of existence or location "isq". (see 1.14). See rule 1.26 for further restrictions. "e<sub>1</sub>" means 'at', 'in', or 'on' in English.

#### 1.21

$$(/NP + ka)(/Adv) / \begin{pmatrix} V_{mot} \\ V_1 + \mathfrak{d} + \begin{pmatrix} ka \\ 0 \end{pmatrix} \end{pmatrix} \longrightarrow (/\begin{pmatrix} N_{an} \\ N_t \\ ProNom \end{pmatrix} + ka)(/Adv) / \begin{pmatrix} V_{mot} \\ V_1 + \mathfrak{d} + \begin{pmatrix} ka \\ 0 \end{pmatrix} \end{pmatrix}$$

The above rule specifies that, when " $V_{mot}$ " or " $V_1+\mathfrak{d}+\{ka, o\}$ " is chosen as a predicate, the subject noun may be an animate noun " $N_a$ " or a noun of transportation " $N_t$ ", or "ProNom"

#### 1.22

The inclusion of " $N_{time} + PostP$ " in " $A_{dv}$ " but not in "Adjunct" like other "NP + PostP's"

is made in order that it may occur with " $V_c$ " and "Adj". "hi" is the morpheme which makes adverbials from " $N_{adj}$ ". By putting the particle "ke", which is almost equivalent to the English morpheme '-ly', after "Adj<sub>1</sub>" (Cf. 1.17), we can make adverbs from "Adj<sub>1</sub>". Dup denotes reduplicated adverbs, and most of them are onomatopoetic. The Koreans often use different adverbs with the same verbs where the Americans use different verbs; 'piŋkil-piŋkil us ta' (to smile) 'paŋsitpaŋsit us ta' (to chuckle) 'kʰikkʰik us (ta)' (to giggle), and 'həhə us (ta)' (to laugh heartily). "Adv<sub>x</sub>" denotes miscellaneous adverbs.

#### 1.23

$$Adv_{x} \longrightarrow \begin{cases} Adv_{x_{1}} \\ Adv_{past} \end{cases}$$

The above dichotomy has been made in order to restrict the co-occurrence of tense with "Adv", namely, "Adv<sub>past</sub>" must occur with past tense. (Cf. 1.31). "Adv<sub>x1</sub>" denotes miscellaneous adverbs except "Adv<sub>past</sub>".

## 1.24

$$N_{\text{time}} + PostP \longrightarrow N_{\text{time}} + \begin{cases} e_1 \\ pute \\ kqaci \\ tonan \end{cases}$$

achim  $e_1$ ; 'on the morning' yəl si kqaci; 'until 10 o'clock'  $N_{\text{time}} + PostP$ 

"e<sub>1</sub>" together with "N<sub>time</sub>" means 'at', 'on', or 'in', "putə" means 'from', or 'since', and "kqaci" means 'until' or 'to', in English. "topan" means 'during'.

The rules from 1.25 to 1.29 will be given to restrict the co-occurrence of "Adjunct" with various verb classes.

## 1.25

$$PostP + \begin{pmatrix} V_{mot} \\ \\ V_1 + \mathfrak{d} + \begin{cases} ka \\ o \end{pmatrix} \end{pmatrix} \longrightarrow \begin{pmatrix} kqaci \\ es\mathfrak{d}_1 \\ \\ e_1 \\ lo^{12} \end{pmatrix} + \begin{pmatrix} V_{mot} \\ \\ \\ V_1 + \mathfrak{d} + \begin{cases} ka \\ o \end{pmatrix} \end{pmatrix}$$

"kqaci" means 'to', "esə1" means 'from', "e1" means 'to', 'at', or 'in', and "lo" means

<sup>(12)</sup> lo—ilo in envir. XC\_\_\_\_.

'toward', in English.

1.26

$$NP + \begin{pmatrix} kqaci \\ es \theta_1 \\ e_1 \\ lo \end{pmatrix} \longrightarrow N_2 + \begin{pmatrix} kqaci \\ es \theta_1 \\ e_1 \\ lo \end{pmatrix}$$

1.27

$$N_2 \longrightarrow {N_{loc} \choose N_{an}} + (N_d)$$

"Na" denotes nouns of direction.

#### 1.28

 $PostP/NP + lil/V_{ti} \longrightarrow lo(sqə)^{(13)}/NP + lil/V_{ti}$ 

"lo(sqə)" means 'by', 'with', or 'by means of' in English.

The selection of either "lo" or "losqə" is stylistic.

#### 1.29

$$NP + lo(sqa) \longrightarrow N_{ti} + lo(sqa)$$

"N<sub>ti</sub>" denotes nouns of transportation and instrument.

## 1.30

PostP----kwa(14)

"kwa" means '(together) with' in English.

## 1.31

$$Adv_{past} + X + T \longrightarrow Adv_{past} + X + (əsq_1) + əsq_2$$

X is abbreviation of the nodes developing from Pred (+isi) (+IS+Aux) (+ci+Neg).

The above rule means that "Adv<sub>past</sub>" always occurs with the past tense marker "əsq" and optionally with the perfect tense marker "əsq<sub>1</sub>".

## 1.32

$$ci + Neg + \begin{pmatrix} (T) + \begin{pmatrix} ta \\ nya \\ kuna \end{pmatrix} & \longrightarrow ci + \begin{pmatrix} \begin{cases} aniha \\ motha \end{pmatrix} \\ \\ \begin{cases} la \\ ca \end{pmatrix} & \begin{pmatrix} la \\ kuna \end{pmatrix} \end{pmatrix}$$

<sup>(13)</sup> lo(sqə) → ilo(sqə) in envir. XC\_\_\_\_\_.

<sup>(14)</sup> kwa → wa in envir. XV\_\_\_\_.

ki i ka hakkyo 
$$e_1$$
 ka ci aniha yəsq ta. 
$$/D+i+ka/N_{loc} + e_1/V_{mot}+ci+aniha+T+ta/$$
 ki i ka hakkyo  $e_1$  ka ci motha kesq nya? 
$$/D+i+ka/N_{loc} + e_1/V_{mot}+ci+motha+T+nya/$$
 hakkyo  $e_1$  ka ci mal la. 
$$N_{loc} + e_1/V_{moc}+ci+mal+la/$$
 Ha did not go to school

He did not go to school.

Won't he go to school?

Don't go to school.

"aniha" means 'do not', and "motha" means 'cannot' in English. "mal" is the special negative morpheme for the imperative and hortative sentences.

#### 1.33

$$T \longrightarrow (\mathfrak{psq}_1) + \begin{Bmatrix} \mathfrak{psq} \\ \mathbf{kesq} \end{Bmatrix}$$
 (15)

"kesq" denotes future tense.

#### 1.34

$$NP \longrightarrow \left\{ \begin{matrix} (D) + (PreNom) + N \\ ProNom \\ D + k \ni s \end{matrix} \right\}$$

"PreNom" denotes attributive adjectives, viz, the adjectives which are never used as predicate adjectivals, (16) and "N" denotes nouns. "ProNom" indicates pronouns and some other pronoun-type noun phrases (see the next rule). "D+kəs" represents a small and special class of noun-like constructions, composed of determiner plus the particle "kəs", which are widely used in Korean. The particle "kəs" never appears as an independent word:

For example, i kəs i na iy kəs i ta. 
$$D+k \Rightarrow +k a/NP + V_c + ta. \qquad \text{`This is mine.'}$$

<sup>(15)</sup>  $\begin{bmatrix} \operatorname{asq}_1 \\ \operatorname{asq} \end{bmatrix} \longrightarrow \begin{bmatrix} \operatorname{asq}_1 \\ \operatorname{asq} \end{bmatrix}$  when its penultimate vowel is /a,o/.

<sup>(16)</sup> W. Winter indicated the possible necessity of such as distinction for English adjectives in "Transforms without kernels?", Language 41, pp. 484-9.

## 1.35

$$ProNom \longrightarrow \left\{ \begin{matrix} Pronoun \\ \\ D + \begin{cases} i \\ \\ pun \end{matrix} \right\} \right\}$$

In Korean, the distribution of the pronoun is restricted to the description of human beings, but is not used to describe animals or other things. The morphemes "i" and "pun" for which there are no adequate English morphemes do not appear as independent words like "kəs" in the language. They also are used only for human beings like pronouns. "pun" is more polite than "i".

ki pun kqesə sənsæŋ i si ta. 
$$/D+pun+SM/N_{\tt human}+i+isi+ta/$$

He (That person or man) is a teacher.

#### 1.36

This rule is presented in order to specify that "pronoun" never has "kqesə" as its subject marker and never occurs in a sentence in which the subject honorific morpheme "isi" appears. Only the morpheme "kitil", which is also a member of "pronoun" and means 'they', can have "kqesə" as its subject marker and appear in the construction which contains "isi".

#### 1.37

$$N - {N_{an} \choose N_{in}}$$

"Nan" denotes animate nouns, and "Nin" denotes inanimate nouns.

## 1.38

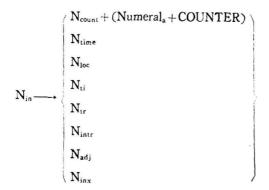
$$N_{an} \longrightarrow {N_{human} \brace N_{am}} + (Numeral_a + COUNTER)$$

"N<sub>am</sub>" denotes nouns of animal. The dummy symbol "COUNTER", which will be handled in the obligatory transformation (see 4.3), means 'numeral classifiers'.

#### 1.39

$$N_{\text{human}} \longrightarrow \begin{cases} N_{\text{human}-1} \\ N_{\text{human}-2} \end{cases}$$

This dichotomy is necessary for the optional transformation of 'noun honorific "nim"' (see 3.32).



"N<sub>time</sub>", which will be expanded in 1.42, denotes nouns of time, and "N<sub>loc</sub>" denotes nouns of location and also includes the things which we can approach or run away from. "N<sub>ti</sub>" denotes nouns of transportation and instrument, and "N<sub>count</sub>" represents countable inanimate nouns, and will be subcategorized in the next rule, based on co-occurrence with COUNTER. "N<sub>tr</sub>" and "N<sub>intr</sub>" are classes of nouns which can undergo the transformation of 'denominal verbalization', and "N<sub>adj</sub>" denotes a class of nouns which can undergo the transformation of 'denominal adjectivalization.' (cf. 3.1, 3.3) "N<sub>inx</sub>" indicates miscellaneous inanimate nouns.

#### 1.41

$$N_{count} \longrightarrow \begin{cases} N_{count-1} \\ N_{count-2} \\ N_{count-3} \\ N_{count-4} \\ N_{count-5} \\ N_{count-6} \\ N_{count-7} \\ N_{count-8} \end{cases}$$

As mentioned in the introduction (cf. p. 2), in Korean there are more than fifty noun subclasses each of which requires a different counting classifier. However, in this paper only ten, the most productive classes including "N<sub>human</sub>" and "N<sub>am</sub>", are presented. (See 4.3).

#### 1.42

$$N_{time} \longrightarrow \begin{cases} N_{time-1} & seki \\ & nyən \\ & pun \\ & c^{h}o \end{cases}$$

$$Numeral_{c} + \begin{cases} si \\ sikan \\ hæ \end{cases}$$

$$Numeral_{o} + cqæ + \begin{cases} sikan \\ hæ \end{cases}$$

This rule is presented in order to show the distribution of three kinds of numerals together with time units. "seki" means 'century', both "nyən" and "hæ" mean 'year', "si" means 'o'clock', "sikan" means 'hour', "pun" means 'minute', and "cho"means 'second'. "Numeral<sub>c</sub>" is Sino-Korean numerals, "Numeral<sub>a</sub>" is adjectival numerals, and "Numeral<sub>o</sub>" is ordinal numerals.

## 1.43

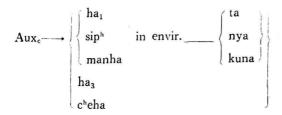
$$Aux_{v} \longrightarrow \begin{cases}
\begin{pmatrix} ha_{1} \\ Aux_{p} \\ sip^{h} \\ manha \end{pmatrix} & \text{in envir.} \qquad \begin{cases} ta \\ nya \\ kuna \end{cases} \\
po \\
poli \\
ha_{2} \\
ha_{3} \\
sqah \\
c^{h}eha
\end{cases}$$

## 1.44

$$Aux_a \longrightarrow \begin{cases} ha_1 \\ manha \end{cases} \text{ in envir.} \qquad \begin{cases} ta \\ nya \\ kuna \end{cases}$$

$$c^heha$$

## 1.45



## 1.46

Shown in this rule is the fact that a given auxiliary must have the corresponding inflectional suffix of a predicate. "ha<sub>1</sub>" is the obligatory auxiliary, "ha<sub>2</sub>" is the iterative, "ha<sub>3</sub>" is the admissive, "cheha" is the pretensive, "Aux<sub>p</sub>" is the progressive, "siph" is the desiderative, "po" is the tentative, "poli" is the completive, "sqah" is the emphatic, and "manha" is the auxiliary for worth.

#### Examples:

onil hakkyo 
$$e_1$$
ka ya ha ta. 
$$/Adv_{x1}/\ N_{loc} + \ e_1 + V_{mot} + \mathfrak{dya} + ha_1 + ta/$$

(I) must go to school today.

Does he (always) sleep in the daytime?

<sup>(17)</sup> əya—→aya in envir. the penultimate vowel is /a, o/. əya—→yəya in envir. Xha

<sup>(18) 1—→</sup>il in envir. XC

<sup>(19)</sup> a-a in envir. the penultimate vowel is /a, o/.

cip  $e_1$  o kin  $ha_3$  ta.  $/N_{loc} + e_1/V_{mot} + kin + ha_3 + ta/$ 

He is coming home, (although he is late.)

ton il kaci l manha kuna!  $/N_{\rm in} + {\rm lil}/V_{\rm tr-1} + 1 + {\rm manha} + {\rm kuna}/$ 

(Oh!) It is worth while to have money.

cuk in cheha la!  $/V_{in-21}+nin+cheha+la/$ 

(Keep still as if) you were dead.

ai ka nol ko isq<sub>2</sub> ta.  $/N_{human} + SM + V_{in-25} + ko + isq_2 + ta/$ 

The baby is playing (now).

næ ka silkwa lil mək ko  $sip^h$  ta.  $/NP + SM/N_{in} + lil/V_{tr-21} + ko + sip^h + ta/$ 

I want to eat fruit.

i mun il yəl ə po ca.  $/D+N_{in}+lil/V_{tr}+\flat+po+ca/$ 

Let's try to open this door.

ki pun kqesə pəlsqə ka a pəli əsq ta.  $/D + pun + SM/Adv_{past}/V_{mot} + a + pəli + T + ta/$ 

He has already left.

ai ka sqau ə sqah ta.  $/NP+SM/V_{in}+a+sqah+ta/$ 

The children are fighting (repeatedly).

## 1.47

$$Aux_{p} \longrightarrow \begin{cases} \text{kesi in envir. isi.....} + \_ \\ \text{isq}_{2} \text{ elsewhere} \end{cases}$$

# Chapter II

## Sample Lexicon

In the sample lexicon, especially in verbs and adjectives, "ta" in parentheses does not belong by itself to the verbs or adjectives at all. However, since no Korean ever understands verbs or adjectives without "ta", I put it in parentheses in order to make them easier to understand. "ta" here is exactly equal to "ta" which indicates the declarative sentence ending.

Adj:	c <sup>b</sup> up(ta)—cold	Adv <sub>x1</sub> :	ilcqik—early
	təp(ta)—hot		yəki—here
	ipqi(ta)—pretty		cəki—there
	mip(ta)—ugly	D:	i—this
	alimtap(ta)—beautiful		cə—that
	khi(ta)—large		yo—the very
	cak(ta)—little		ətqən—a certain
	manh(ta)-many, much		ki—the, that
	cək(ta)—small	Dup:	culcul: of the flowing of a stream
	nilk(ta)—old		colcol: the same as preceding but
	cəlm(ta)—young		in a small scale
	coh(ta)—good	Dup:	pəncqəkpəncqək—of shining
	napqi(ta)—bad		pancqakpancqak-twinkle
$\mathrm{Adv}_{\mathtt{pa}}$	st: imi—already	$N_3$ :	yənun—hero
	pəlsə—already		kamsan—impression
	akqa—a while ago		cinchwi-progressiveness
	əce—yesterday	$N_1$ :	phyən—side
	kice-the day before yesterday		thim—team
$Adv_{x1}$	ama—perhaps, probably		hakkyo-school
	cacu—often		nala-country, nation
	cal—well	$N_{adj}$ :	koyo—calmness
	tasi—again		sunkyəl-chastity
	onil—today		chonmyən—brightness
			*

Nadj:	kyəlpæk—innocence	N <sub>count-3</sub> :	talkyal—egg
	minchəp—quickness		kwasil—fruit
$N_{am}$ :	kæ—dog		khop—bean
	koyani—cat		sqal—rice
	so-cattle		koksik-grain
	mal-horse	N <sub>count-4</sub> :	sin—shoes
	ka <b>p</b> aci—puppy		kutu—shoes
	manaci-foal		yanmal—socks
	sonaci—calf		pəsən—socks
$N_{human-1}$ :	salam-human beings, man		cankap—gloves
	apəci—father	$N_{count-5}$ :	pi-broom
	əməni—mother		maktæki-stick
	halapəci—grandfather		khal-knife, sword
	halməni—grandmother		tokqi—axe
	haksæn-student		yənphil—pencil
	ai—child	$N_{count-6}$ :	sul-whiskey, all drinking alcohol
	namphyən—husband		p <sup>b</sup> otocu—wine
	puin-wife		mul-water
$N_{human-2}$ :	atil-son	$N_{count-7}$ :	coni-paper
	tqal—daughter		tamyo—blanket
	sənsæn—teacher	$N_{count-8}$ :	kqoch—flower
	kyocan-superintendent of school		canmirose
	tæthoplyən—President	COUNTE	R: myən-for $N_{human}$
	sacan-President of business		mali-for Nam
	kyosu-professor		kæ-for N <sub>count-1</sub>
	caŋkyo—officer		$kwan-for\ N_{count-2}$
$N_{count-1}$ :	sanca—box		al-for N <sub>count-3</sub>
	talkyal—egg		$k^hy$ əllæ—for $N_{count-4}$
	sakwa—apple		calu-for N <sub>count-5</sub>
	poksuŋa—peach		can-for N <sub>cotnt-6</sub>
	kwaca—candy		cap-for N <sub>count-7</sub>
$N_{count-2}$ :	chæk-book		soni-for N <sub>count-8</sub>

Nintr:	koppu—study		se-three
	il—work		ne—four
	silp <sup>h</sup> æ—failure		tasəs—five
	swetwe—corruption		yəl—ten
	pap—cooked rice		simu-twenty
	koki-meat		pæk—hundred
	pi—rain	Numeral	: il—one
	sæŋmyəŋ—life		ii—two
	yonki—courage		sam-three
N <sub>loc</sub> :	səul—Seoul		sa—four
	hakkyo—school		o-five
	cip—house		sip—ten
	mikuk—America		iisip—twenty
	tosəkwan—library		pæk-hundred
	samusil—office	Numeral	: c <sup>h</sup> əs <sup>(20)</sup> —first
$N_t$ :	pæ-ship, boat		tul-second
	kicha—train		ses-third
	catopcha—automobile		nes-fourth
	cəncha—street car		tasəs—fifth
$N_{\alpha}$ :	(includes N <sub>t</sub> )		yəl—tenth
	tokqi—axe		simul—twentieth
	thop—saw	$N_{tr}$ :	hunke-advice
	kʰal—knife		punhæ—analysis
$N_{\text{time}-1}$ :	сэро—пооп		səlke—design
	cənyək—evening		into—lead
	achim-morning		mocip—collection
	nac—daytime		cosa-investigation
	pam-night		salin-murder
Numera	l <sub>a</sub> : han—one	$N_d$ :	öncqok—left
	tu—two		olincqok-right

<sup>(20)</sup> The morphemes do not mean ordinal numerals by themselelves. Except "chas", all morphemes must have the morpheme "cqæ" in order to be ordinal numerals (see 1.19) and (1.42).

	aph—front		pichna(ta)—twinkle
	twi-behind	$V_{ii}$ :	unpanha(ta)—carry
	wi—above		susopha(ta)—transport
	mith—beneath		kqakq(ta)—cut, slice off
	taim—next		cqali(ta)—cut
	yəp <sup>h</sup> —side	$V_{in-1}$ :	ka(ta)—go
	sok—inside		o(ta)—come
	pakq—outside	$V_{in-21}$ :	ca(ta)—sleep
PreAdj:	mæu-very		nok(ta)—melt
	phək-very much		sak(ta)—be digested
	kacap—best, most		sok(ta)-be cheated
PreNom:	sæ—new		cul(ta)—lessen, decrease
	nal—raw		nil(ta)-increase
	cham-true	$V_{in-22}$ :	nok(ta)-melt
	ön—whole		ik(ta)—be ripe
	p <sup>h</sup> us—fresh		mac(ta)—be beaten
Pronoun	: na—I	$V_{in-23}$ :	namta—be left over, stay
	uli(til)—we		sum(ta)—hide
	ne—you		us(ta)—laugh
	nəhi(til)—you(plural)		kulm(ta)—starve
	kitil—they	$V_{in-24}$ :	il(ta)—happen
	ce—I(humble)		tha(ta)—burn
$V_1$ :	til(ta)—enter		cha(ta)—fill
	na(ta)—exit		sə(ta)—stand
	tol(ta)—turn		tha(ta)—ride
	kəl(ta)—walk	$V_{in-25}$ :	nal(ta)—fly
	tqwi(ta)—run		tol(ta)—spin
$V_{mot}$ :	ka(ta)—go		nol(ta)—play
	o(ta)—come		hili(ta)—flow
	tqwi(ta)-run		mali(ta)—become dry
$V_{\text{dup}}$ :	tqwi(ta)—run us(ta)—laugh	$V_{tr-1}$ :	mali(ta)—become dry sa(ta)—buy
$V_{\tt dup}$ :		$V_{tr-1}$ :	

masi(ta)-drink an(ta)-embrace tənci(ta)—throw pəs(ta)—undress cu(ta)-give cqic(ta)-tear  $V_{tr-3}$ : kalichi(ta)-teach  $V_{tr-24}$ : ci(ta)-carry(something on one's  $V_{tr-21}$ : mək(ta)—eat back) po(ta)—see phi(ta)-fire pak(ta)-print  $Vt_{r-25}$ : kili(ta)-bring up hal(ta)-lick pul(ta)-whistle nak(ta)-catch fish cqali(ta)-cut sqi(ta)-write til(ta)-lift cap(ta)-hold, catch Vtr-22: mul(ta)-bite əp(ta)—carry(baby on one's back) mal(ta)-roll tat(ta)-close cumu(si+ta)-sleep Vh: ip(ta)—dress ke(si+ta)-be  $V_{tr-23}$ : math(ta)-keep tolaka(si+ta)-die sin(ta)-put on (shoes)

# Chapter III

## Optional Transformations

In this chapter, three kinds of optional transformations will be discussed: embedding transformations (3.1, 3.3—3.20), simplex transformations (3.2, 3.21—3.34), and conjoining transformations (3.35—3.41).

Each rule will be stated as follows: 1) The transformational rule, 2) Restriction, if necessary, 3) Examples, followed by the corresponding symbols in order to show the relationships of the elements of the examples to the transformational rule, 4) The translation of the example string into English, and 5) A brief explanation of the rule.

The insertion of the simplex transformation 'Progressive', 3.2, among embedding transformations has been made because the progressive morpheme "nin<sub>1</sub>" is necessary for the tense restriction of embedding transformations.

#### 3.1 Denominal Adjectivalization

$$\begin{array}{l} X + V_{\text{intr}} + Y \\ X' + N_{\text{adj}} + Y' \end{array} \cdots \longrightarrow X + N_{\text{adj}} + ha + Y \label{eq:superpotential}$$

Restriction: X does not contain "Adjunct".

Y does not contain the auxiliaries "isq2", "siph", "po", "pəli", "haz" "and" "sqah". (Cf. 1,43)

$$x$$
 ki i ka ca ta.  $x$   $+V_{intr}+Y/$ 

$$\cdots \rightarrow \stackrel{\text{ki i ka kyəlpæk ha ta.}}{X} + \stackrel{\text{N}_{adj}}{+} + \stackrel{\text{ha}+Y/}{}$$

ki i ka kyəlpæk il cohaha ta.

$$X' + N_{adj} + Y'$$

Matrix: He sleeps.

··· He is innocent.

Constituent: He likes innocence.

The above rule yields denominal adjectivals by adding the particle "ha" to "N<sub>adj</sub>" and by embedding in the restricted matrix environment. The reason that I have not given the environment of "Adj" to the matrix string is that these denominal adjectivals can occur in the imperative and the hortative sentences. (Cf. 1.6)

## 3.2 Progressive

$$\begin{array}{c} X + \begin{picture}(0,0) \put(0,0) \put(0,$$

Restriction: Y does not contain the progressive auxiliary "isq<sub>2</sub>" and the auxiliary for worth "manha".

VP here does not contain the verb of existence "isq".

He goes to school. ...→ He is going to school.

nalsqi ka təu ə ci ta. nalsqi ka təu ə ci 
$$n_1$$
 ta.  $X + Adj_1 + \vartheta + ci + ta/$   $X + Adj_1 + \vartheta + ci + nin_1 + ta/$ 

The weather becomes warm. ... The weather is getting warm.

The distribution of the progressive morpheme "nin<sub>1</sub>" is limited in the language; it occurs only before the declarative sentence "ta". As indicated above, whenever "Adj" occurs with the progressive morpheme "nin<sub>1</sub>", "ə+ci" must be chosen. (Cf. 1.17). The subscript is used in order to distinguish it from the absolute/oppositive subject marker "nin" (see 3.18.)

Note that the optional "T" of the input string is obligatorily deleted.

## 3.3 Denominal Verbalization

$$X + {V_{in} \choose V_{tr}} + Y$$

$$X' + {N_{intr} \choose N_{tr}} + Y'$$

$$\cdots \rightarrow X + {N_{intr} \choose N_{tr}} + ha + Y$$

na nin ka  $n_1$  ta.  $X + V_{in} + Y$ 

 $\cdots \rightarrow \begin{array}{c} \text{na nin koppu ha } n_1 \text{ ta.} \\ X + N_{\text{intr}} + \text{ha} + Y \end{array}$ 

na nin koppu lil cohaha n<sub>1</sub> ta.

 $X + N_{intr} + Y'$ 

Matrix: I am going.

...→ I am studying. Constituent: I like to study (the study).

<sup>(21)</sup> nin1 → n1 in envir. XV \_\_\_\_. From now on "T" will include "nin1"

ki i ka ki yəca lil aa 
$$n_1$$
 ta.
$$X + V_{tr} + Y$$

$$+V_{tr}+Y$$

$$\cdots \longrightarrow \begin{array}{c} \text{ki i ka ki yəca lil salap ha } n_1 \text{ ta.} \\ X + N_{tr}+ha+Y \end{array}$$

salan in alimtap ta.

 $N_{tr} + Y'$ 

Matrix: He knows the girl.

··· He loves the girl.

Constituent: Love is beautiful.

This rule generates denominal verbs by means of addition of the particle "ha" to "N<sub>intr</sub>" and "N<sub>tr</sub>" (Cf. 1,40) and by embedding these nouns plus the particle "ha" in the environment of the matrix string.

## 3.4 Transitive

$$\begin{array}{c|c} X + V_{\text{trx}} + Y & & & \\ V_{\text{in-21}} & & & \\ V_{\text{in-22}} \\ V_{\text{in-23}} \\ V_{\text{in-24}} \\ V_{\text{in-24}} \\ \end{array} + Y' & \begin{array}{c|c} V_{\text{in-21}} \\ V_{\text{in-23}} \\ V_{\text{in-24}} \\ V_{\text{in-25}} \end{array} + \begin{pmatrix} i \\ hi \\ ki \\ u \\ V_{\text{in-25}} \end{pmatrix} + Y$$

chal i nok ta.  $NP+SM/V_{in-21}+Y'$ 

Matrix: Heat destroyed iron.

···-Heat melted iron.

Constituuent: Iron melts.

This rule specifies that the subclasses of "V<sub>in-2</sub>" (Cf. 1.16) may be embedded in the environment of the matrix string of "V<sub>irx</sub>" by means of the addition of the various derivational suffixes as indicated above.

#### 3.5 Dative Transitive

$$\begin{array}{c|c} X + V_{tr-3} + Y \\ \hline V_{tr-21} \\ V_{tr-22} \\ V_{tr-23} \\ V_{tr-24} \\ V_{tr-25} \\ \end{array} + Y' \qquad \cdots \rightarrow X + \begin{pmatrix} V_{tr-21} \\ V_{tr-22} \\ V_{tr-23} \\ V_{tr-24} \\ V_{tr-25} \\ \end{pmatrix} + \begin{pmatrix} i \\ hi \\ ki \\ u \\ li \\ \end{pmatrix} + Y$$

apəci kqesə pap il ai eke cu si ko isq
$$_2$$
 ta. 
$$\frac{NP + SM/NP + lil/NP + e}{X} \frac{V_{tr-3} + isi + IS + Aux_v + ta}{Y}$$

ai ka pap il mək ta.  $\frac{NF + SM/NP + lil}{X'} V_{trx} + Y'$ 

... The father is feeding the child food.

Matrix: The father is giving the child food.

Constituent: The child eats food.

This transformation generates dative transitive verbs from "V<sub>tr-2</sub>" (Cf. 1.12) through the addition of the derivational suffixes to the subclasses of "V<sub>tr-2</sub>", as indicated above, and by means of embedding them in the matrix string provided by "V<sub>tr-3</sub>" (Cf. 1.10).

## 3.6 Causative-1

$$\begin{array}{c} X + V_{trx} + Y \\ \\ X' + \begin{bmatrix} V_{in} \\ N_{intr} \end{bmatrix} + Y' \end{array} \longrightarrow X + \begin{bmatrix} V_{in} + ke + ha \\ N_{intr} + siki \end{bmatrix} + Y$$

əməni ka ai lil cohaha ta.

$$X \to V_{trx} \to Y$$
 $\cdots \to 0$  əməni ka ai lil ca ke ha ta.
 $X \to V_{in} + ke + ha + Y$ 

ai ka ca ta.

 $X'+V_{in}+Y'$ 

Matrix: Mother likes the child. ...→ Mother makes the child sleep.

Constituent: The child sleeps.

The above rule produces causative verbs from " $V_{in}$ " and " $N_{intr}$ " through the addition of "ke+ha" to " $V_{in}$ " and "siki" to " $N_{intr}$ ", and embedding both " $V_{in}+ke+ha$ " and " $N_{intr}+ke+ha$ " in the matrix string of " $V_{trx}$ ".

#### 3.7 Causative-2

ki i ka yəpə lil uli eke kalichi əsq ta.

koppu ka himtil ta. N<sub>tr</sub> + Y' Matrix: He taught us English. ...→ He made us study English.

Constituent: Studying is hard.

This rule generates causative verbs from transitive verbs and " $N_{tr}$ " by means of the addition of "ke+ha" to " $V_{tr}$ " and "siki" to " $N_{tr}$ ," and by embedding " $V_{tr}+ke+ha$ " and " $N_{tr}+siki$ " in the environment of the matrix string provided by " $V_{tr-3}$ ".

## 3.8 Conditional

$$NP + SM + Y + Pred + W + (T) + Z \qquad \cdots \rightarrow NP' + SM' + Y' + Pred' + W'$$

$$NP' + SM' + Y' + Pred' + W' + (T') + Z'$$

$$+ \begin{cases} (T' + Z') + (manyak) + \\ 1 + tqæ \end{cases} \begin{cases} myən \\ kətin \end{cases}$$

$$/NP + SM + Y + Pred + W + (T) + Z$$

Restriction:  $NP + ... + Pred \neq NP' + ... + Pred'$ 

When "kətin" is chosen, NP+SM=NP'+SM'.

When T'+Z' is not chosen,  $T \neq$  " $\Rightarrow$ sq".

When NP+SM=NP'+SM' and T="esq", T'="esq".

Z' does not contain {nya, kuna, la, ca}.

ne ka cösu lil tqalaka kesq nya?

$$NP+ka+$$
  $Pred$   $+$   $T+$   $Z$ 

cösu ka talana asq<sub>1</sub> ta.

NP' + ka + Pred' + T' + Z'

cösu ka talana asq $_1$  ta myən ne ka cösu lil tqalaka kesq nya? NP'+SM'/Pred' + T'+Z'+myən/NP+SM/ Pred + T+Z

Matrix: Will you chase the criminal?

Constituent: The criminal has escaped.

When the criminal has escaped, will you chase him?

This transformation generates the conditional form of a constituent string by optionally adding the morpheme "manyak", 'if', as well as the obligatory "myən", "kətin", "l+tqæ", "l+cəke". The choice among them is not a stylistic problem, but in most cases "l+tqæ" and "l+cəke" are translated as 'when', and "myən" and "kətin", as 'if'. See the obligatory transformational rule, 4.4, for the actual position of "manyak".

## 3.9 Simultaneous

$$NP+SM+Y+VP+Z$$
  
 $NP'+SM'+Y'+VP'+Z'$   $\cdots \rightarrow NP+SM+Y'+VP'+my$   $\Rightarrow NY+VP+Z$ 

Restriction: NP+SM=NP'+SM'

 $VP \neq VP'$ 

Z=Z'

ki i ka tampæ lil  $p^h$ i  $n_1$  ta. NP+SM + Y + VP+Z

...  $\rightarrow$  ki i ka kələka myənsə tampæ lil phi n<sub>1</sub> ta. NP + SM + VP' + myənsə/Y + VP + Z

ki i ka kələka  $n_1$  ta. NP' + SM' + VP' + Z'

Matrix: He is smoking.

... - While he is walking, he is smoking.

Constituent: He is walking:

This rule combines any number of strings by inserting the morpheme "myənsə", meaning roughly 'while' in English, as indicated above, in which an actor (the subject) performs various number of actions simultaneously.

## 3. 10 Causality

$$\begin{array}{c} X + \operatorname{Pred} + Y + (T) + Z \\ X' + \operatorname{Pred}' + Y' + (T') + Z' \end{array} \\ \cdots \longrightarrow X' + \operatorname{Pred}' + Y' + (T') + \left\{ \begin{array}{c} \operatorname{\mathsf{asa}} \\ \operatorname{nikqa} \\ \operatorname{milo} \end{array} \right\} / X + \operatorname{\mathsf{Pred}} + Y + (T) + Z \\ \end{array}$$

Restriction:  $X + Pred \neq X' + Pred'$ 

T=T'

ki yəca ka ki i wa kyəlhon ha yəsq ta.

NP + ka / Pred + T + R

ki i ka puca i əsq ta. NP'+ka/Pred'+T'+Z'

ki i ka puca i əsq milo ki yəca ka ki i wa kyəlhon ha yəsq ta. NP'+ka / Pred' + T'+milo/NP  $\phantom{+}$ +ka/ Pred  $\phantom{+}$ + T+Z

Matrix: She got married with him.

···→ Because he was rich, she got married with him. Constituent: He was rich.

This rule yields causative subordinate clauses by means of the morpheme "əsə, nikqa, or milo" meaning 'because' or 'since' in English. The choice among "əsə, nikqa, milo" is stylistically determined.

## 3. 11 Purposive

<sup>(22)</sup> ləko → lyəko

Restriction: NP + SM = NP' + SM'

 $VP \neq VP'$ 

T=T'

yəlsim hi koppu ha ko isq ta.

+VP+ W + ZNP +SM+Y

li kun i pilaun tæhak e<sub>1</sub> til ə

NP' + SM' + Y'+ VP'

li kun i pilaun tæhak  $e_1$  til ə ka lyəko yəlsim hi koppu ha ko isq ta. NP +SM+ Y' +VP' +ləko/ Y +VP + W +Z

Matrix: Mr. Lee is studying hard.

Constituent: Mr. Lee enters Brown University.

Mr. Lee is stuyding hard in order to enter Brown University.

This transformation yields the purposive subordinate clause of a constituent string by adding "lə" or "lyəko" as described above. The choice between "lə" and "lyəko" is stylistic.

## 3. 12 Transcendental

NP + SM + Y + Pred + W + (T) + Z

NP' + SM' + Y' + Pred' + W' + (T') + Z'

$$NP' + SM' + Y' + Pred' + W' + (əsq) + \begin{cases} tilato \\ ilcilato \end{cases} / NP + SM + Y + Pred + W + (T) + Z$$

Restriction:  $NP + SM + Y + Pred \neq NP' + SM' + Y' + Pred'$ 

If " $\Rightarrow$ sq" is chosen,  $T = "\Rightarrow$ sq".

If "əsq" is not chosen, T+"əsq".

When NP=NP', NP+SM or NP'+SM' must be deleted in the resultant string.

na nin ki kəs il ha yə po kesq ta.

NP + SM + Pred+IS+Aux + T+Z

W

cuk ta. nin

NP' + SM' + Pred' + Z'

na nin cuk tilato ki kəs il ha yə po kesq ta. NP' + SM' + Pred' + tilato/Pred + W + T + Z

Matrix: I will try it.

... Even if I should die (for it), I will try it.

Constituent: I die.

<sup>(23)</sup> ilcilato → lcilato in envir. XV\_\_\_\_\_.

In this rule, the tense (T') of the constituent string can be rewritten as "əsq", but not as "nin<sub>1</sub>" or "kesq". Through the addition of "tilato" or "ilcilato", which is stylistically determined, the constituent string may be transformed into a transcendental subordinate clause.

### 3.13 Contrastive

$$NP+SM+Y+Pred+W+(T)+Z$$

$$NP'+SM'+Y'+Pred'+W'+(T')+Z'$$

$$NP'+SM'+Y'+Pred'+W'+\begin{cases} (kesq)+kətin_1\\ (əsq)+ninte \end{cases} /NP+SM+Y+Pred+W+(T')+Z$$

Restriction: When NP+SM=NP'+SM', Pred = Pred', "ninte" must be chosen, and NP+SM or NP'+SM' must be deleted.

If "kesq" is chosen, T="kesq".

If "əsq" is chosen, T="əsq".

If neither "kesq" nor "əsq" is chosen, T≠"əsq".

ki ai ka ki kəs il ha kesq nya?

NP+SM+ Pred +T+Z

ne ka ki kəs il ha ci motha ta.

NP' + SM' + Pred' + W' + Z'

ne ka ki kəs il ha ci motha kətin ki ai ka ki kəs il ha kesq nya?

NP'+SM'+Pred' +W' +kətin/NP+SM+Pred +T+Z

Matrix: Will (can) that child do it?

Constituent: You cannot do it.

If even you cannot do it, (how) can that child do it?

kim yan in milən ha ta.

$$NP + SM + Adj + Z$$

kim yan in ipqi nte milən ha ta. NP' +SM'+Pred'+ninte+Pred +Z

kim yan in ipqi ta. NP' + SM' + Pred' + Z'

Matrix: Miss Kim is stupid.

... Miss Kim is beautiful but stupid.

Constituent: Miss Kim is beautiful.

This contrastive transformational rule is somewhat involved. The contrastive morpheme "kətin" may occur optionally with the future tense marker "kesq", while "ninte" may occur with the past tense marker "əsq". The choice between "kətin" and "ninte" is sometimes stylistically determined, but not always. The subscript in "kətin," has been employed in

order to distinguish it from the conditional morpheme "kətin". (Cf. 3.8)

## 3.14 Sequential

$$NP + SM + Y + Pred + W + (T) + Z$$

$$NP' + SM' + Y' + Pred' + W' + (T') + Z'$$

$$NP' + SM' + Y' + Pred' + W' + ca(maca)/NP + SM + Y + Pred + W + (T) + Z$$

Restriction: W' does not contain IS+Aux+ci+Neg.

$$T = T_1$$

When NP+SM=NP'+SM', Pred+Pred', and NP+SM or NP'+SM' must be deleted.

Matrix: He went.

... As soon as he came, he left.

Constituent: He came.

This transformation generates the sequential subordinate clause by means of embedding the morpheme "ca" or "camaca", both meaning roughly 'as soon as' in English, between the matrix string and the constituent string as indicated in the rule. The choice of the optional "maca" is stylistically determined.

#### 3. 15 Attributive Adjectivalization

$$X + \begin{pmatrix} N \\ D + \begin{pmatrix} i \\ pun \\ k \ni s \end{pmatrix} \end{pmatrix} + Y$$

$$NP' + SM' + Adj' + ta \#$$

$$NP' + SM' + Adj' + ta \#$$

$$(D) + \begin{pmatrix} i \\ pun \\ k \ni s \end{pmatrix} + Y$$

Restriction: 
$$NP' = \begin{pmatrix} N & & \\ & i & \\ D+ & pun & \\ & kəs \end{pmatrix}$$

When Y includes Adj, Adj ≠ Adj'.

kyosu nim kqesə cip il kaci ko isq ta.

$$X + N + Y \dots$$

cip i alimtap ta. NP'+SM'+Adj+ta#

kyosu nim kqesə alimtau n cip il kaci ko isq ta. X + Adj' + n + NP + Y

Matrix: The professor has a house.

··· The professor has a beautiful house.

Constituent: The house is beautiful.

ki yəca ka ki kəs il cohaha n<sub>1</sub> ta. X D+kəs+ Y

ki kəs i ipqi ta. NP'+SM'+Adj'+ta#

Matrix: She likes it.

··· She likes the pretty one.

Constituent: It is pretty.

In the output string "D" (determiner) becomes an optional element. When "D" is chosen, the output string is " $X+Adj'n+D+\{i, pun, kəs\}+Y$ ".

However, the meaning of the latter is different from the string without D. For example, "ipqi n kəs" means 'the pretty one', but "ipqi n ki kəs" means 'the pretty one' with Adj'+n+kəs heavy stress on 'pretty', in English. As described in the rule, all predicate adjectives can be transformed into attributive adjectives by means of the addition of the morpheme "n".

3. 16 Subordinators "nin2", "n" and "l" (relative pronouns)

$$X + \begin{pmatrix} i \\ D + \begin{pmatrix} i \\ pun \\ k \ni s \end{pmatrix} \end{pmatrix}^{1} + Y + (T) + Z$$

$$X' + NP' + P + Y' + V + W + \begin{pmatrix} (nin_{1}) \\ psq \\ kesq \end{pmatrix}^{2} + Z$$

$$X + X' + Y' + V + W + \begin{pmatrix} n \\ n \\ l^{(24)} \end{pmatrix}^{2} / \begin{pmatrix} NP \\ l \\ pun \\ k \ni s \end{pmatrix}^{1} + Y + (T) + Z$$

(24) 
$$\begin{bmatrix} n \\ i \end{bmatrix}$$
 —  $\begin{bmatrix} in \\ il \end{bmatrix}$  in envir. XC\_\_\_\_\_.

Restriction:

$$NP' = \left\{ \begin{array}{c} NP \\ \\ D + \left\langle \begin{array}{c} i \\ pun \\ k \Rightarrow s \end{array} \right\rangle \right\}$$

V is "Vintr", "twe", "Vtrx", or "Vtr-3".

The dummy symbol "P" is used only once here and may include SM, "lil", "e" and "PostP"

kim yan i ki namca lil salan ha ta.  $\frac{NP' + ka}{X'} \frac{+ kil}{P} \frac{V}{P} + \frac{Z'}{P}$ 

li yan i kim yan i salan ha nin namca lil salan ha  $n_1$  ta.  $X + X' + V + \min_2 + NP + Y + T + Z$ 

Matrix: Miss Lee loves that man.

... Miss Lee loves that man whom Miss Kim loves.

Constituent: Miss Kim loves that man.

ki salam i na iy apəci i ta. NP +ka/NP +V<sub>c</sub>+Z

ki salam i cəki kələka ko isq₂ ta.

 $NP + \frac{ka}{A}dv + V + IS + Aux + Z'$ 

cəki kəl ə ka ko isq $_2$  nin $_2$  ki salam i na iy apəci i ta.  $Y' + V + W + nin_2 + NP + Y + Z$ 

Matrix: The man is my father.

Constituent: The man is walking over there.

The man who is walking over there is my father.

The rule presented in the above is somewhat involved. The morphemes generated on the right of the rule have two grammatical meanings: one is tense, and another is the function of relative pronouns. " $\min_2$ " must be chosen, when the tense of the constituent string is simple present, " $\phi$ ", or present progressive " $\min_1$ "; "n" must be chosen when the tense of the constituent string is " $\operatorname{asq}$ ", and "l" must be chosen when the tense of the constituent string is " $\operatorname{kesq}$ ".

### 3. 17 Demonstrative "kəs"

$$\begin{array}{c} X + NP + i + Z \\ X' + Y + \begin{pmatrix} VP \\ Adj \\ V_c \end{pmatrix} + W + Z' \\ \end{array} \longrightarrow \begin{array}{c} X + Y + \begin{pmatrix} VP \\ Adj \\ V_c \end{pmatrix} + W + l + k \Rightarrow s + i + Z \end{array}$$

Restriction: Z does not contain (+isi)(+IS+Aux)(+ci+Neg).

W is 
$$(+isi)(+IS+Aux)(+ci+Neg)(+T)$$
.

Here  $T \neq$  "kesq". X = X'

ki i ka na iy apəci i ta.

$$X + NP + i + i$$

 $\cdots \rightarrow \begin{tabular}{lll} ki & i & ka & samusil & e_i & ka & si & l & kæs & i & ta. \\ X & + & Y & + VP + W + l + kæs & i + Z \\ \end{tabular}$ 

ki i ka samusil e<sub>1</sub> ka si ta.

X' + Y + VP + W + Z'

Matrix: He is my father.

This transformation signifies that the constituent string may be embedded in the position of the last NP in a copulative sentence (the matrix string) through the addition of "l", implying the future tense meaning like the subordinator "l" (see 3.16), and "kəs". So, the sentence "næ ka ka l kəs i ta". means '(It is the fact that) I will go'.

X + VP+1+kəs+i+Z

3. 18 Appositive

$$X+NP+Y$$

$$NP + SM + NP' + i + ta/$$
 $NP + SM + NP' + i + ta/$ 

Restriction: NP≠NP'

NP' does not include "D+kəs".

li yan i yəlsim hi il ha ko isq2 ta.

li yan i thaiphisith i ta.

$$X' + NP' + i + ta/$$

thaiphisith i n li yan i yəlsim hi il ha ko isq<sub>2</sub> ta. NP' +i+n+ NP+ Y

Matrix: Miss Lee is working hard.

... The typist, Miss Lee is working hard.

Constituent: Miss Lee is a typist.

This rule generates nouns in apposition. The constituent string is a sentence which has the copula as its predicate.

# 3.19 Nominalization

$$\begin{array}{c} X + NP + Y \\ X' + Pred' + Y' + ta/ \end{array} \cdots \longrightarrow \begin{array}{c} X + X' + Pred'/Y' + \end{array} \left\{ \begin{matrix} im \\ ki \end{matrix} \right\} \begin{array}{c} ^{(25)} \\ + Y \end{array}$$

Restriction: Y' is (+isi). When X=X', X' must be deleted.

li kun i koppu ha ta. X' + Pred' + ta/

li kun i koppu ha  $\begin{bmatrix} m \\ ki \end{bmatrix}$  lil coaha ci aniha  $n_1$  ta.

$$X + Pred' + \begin{Bmatrix} im \\ ki \end{Bmatrix} + Y$$

Matrix: Mr. Lee does not like NP.

... - Mr. Lee does not like to study.

Constituent: Mr. Lee studies.

The choice between "im" and "ki" is not stylistically determined; they have slightly different meanings—"im" is chosen for the description of notional facts, while "ki" is chosen for real facts which are progressing at that time.

# 3. 20 Possessive Construction

$$X + NP + Y$$

$$\cdots \rightarrow X + NP' + iv + NP + Y$$

NP' + SM + NP'' + lil + kaci + ta/

Restriction: NP≠NP'

NP=NP" When NP=D+kəs, D must be deleted in the resultant string.

NP does not include "ProNom". NP' does not contain "D+kəs".

chæk i chæksan wi e, isq ta.

$$NP+$$
 Y

... na iy 
$$c^h$$
æk i  $c^h$ æsan wi e isq ta.  $NP' + iy + NP + Y$ 

na ka chæk il kaci ta. NP'+SM+NP''+lil+kaci+ta/

Matrix: There is a book on the desk.

··· There is my book on the desk.

Constituent: I possess a book.

This rule can concatenate any number of nouns by means of the possessive morpheme "iy", meaning 'of'; i.e., "na iy puin iy yə topsæp iy namphyən i na iy topsə i ta.

NP+iy+NP+iy+ NP +iy+ NP +SM+NP+iy+NP+i ta/

<sup>(25)</sup> im----m in envir. XV\_\_\_\_\_.

(My wife's sister's husband is my brother-in-law). The morpheme "kaci(ta)" may be glossed 'to have, to own, or to possess' in English.

3.21 'also, only', and Absolute/Oppositive Subject Marker

$$X+NP+SM+Y \longrightarrow X+NP+ \left\langle \begin{array}{c} to \\ man \\ nin^{(26)} \end{array} \right\rangle + Y$$

She is beautiful. ... She is beautiful, too.

Do you go? ···→ Do you go too?

næ ka koppu ha ci aniha yəsq ta.  $NP+ka/N_{verb}+ha+ci+Neg+T+ta/$  ...  $NP+man/N_{verb}+ha+ci+Neg+T+ta/$ 

I did not study. ... Only I did not study.

salam i yonki lil kaci əya ha  $n_1$  ta.  $NP + ka/NP + lil/V_{tr} + IS + Aux + nin_1 + ta/\cdots \rightarrow NP + nin/NP + lil/V_{tr} + IS + Aux + nin_1 + ta$ 

The man must have courage. ... A man must have courage.

næ ka hakkyo 
$$e_1$$
 ka  $n_1$  ta.  $n_1$  nin hakkyo  $e_1$  ka  $n_1$  ta.  $NP + ka/N_{loc} + e_1 + V_{in} + nin + ta/\cdots \rightarrow NP + nin/N_{loc} + e_1 + V_{in} +$ 

I am going to school. ... I am going to school, (but you are not).

The translations do not show the exact meaning of the Korean sentences. When translated, "to" and "man", meaning 'also' and 'only' respectively, look rather like adverbs, but in the position which they are in, they are rather postpositions. Often they take the place of subject markers.

The absolute and oppositive subject marker "nin" is sometimes hardly distinguishable from the normal subject marker "ka". In other words, semantically, in Korean they are not clearly distinctive from each other. "nin" is frequently used as a normal subject marker when the predicate of a sentence is emphasized or thought to be a more important expression than the subject.

<sup>(26)</sup> nin—in in envir. XC\_\_\_\_.
From now on SM will include "nin".

### 3. 22 Admissive

$$X + \begin{pmatrix} V_c \\ V_{in} \\ V_{tr} \\ Adj \end{pmatrix} + Z \xrightarrow{} X + \begin{pmatrix} V_c \\ V_{in} \\ V_{tr} \\ Adj \end{pmatrix} + kin + \begin{pmatrix} V_c \\ *V_{in} \\ *V_{tr} \\ Adj \end{pmatrix} + Z$$

Restriction: \*V<sub>in</sub> does not contain "NP+ka<sub>1</sub>" (see 1.11).

\*V<sub>tr</sub> does not contain "NP+e" (see 1.8).

ki kəs i kqoch i ta. ... 
$$\stackrel{\text{ki kəs i kqoch i kin kqoch i ta.}}{X + V_c} \stackrel{\text{ki kəs i kqoch i kin kqoch i ta.}}{X + V_c} + \stackrel{\text{kin kqoch i ta.}}{X}$$

It is a flower. ... (Anyway) it is a flower.

He became a professor. ... (Anyway I admit) that he became a professor.

By simply repeating a verb, copula or adjective, the Koreans make admissive sentences just as they do by use of the admissive auxiliary. Between the repeated elements, the same inflectional suffix "kin" as the admissive auxiliary must be inserted. The restriction indicated that the elements "NP+ka<sub>1</sub>" and "NP+e" cannot be repeated.

### 3. 23 Potential

$$\begin{array}{c} X + \begin{pmatrix} VP \\ V_c \\ N_{adj} + ha \end{pmatrix} + Y + (T) + \begin{pmatrix} ta \\ nya \\ kuna \end{pmatrix} / \cdots \rightarrow \\ X + \begin{pmatrix} VP \\ V_c \\ N_{adj} + ha \end{pmatrix} + Y + lsu^{(27)} + isq_3^{(28)} + (T) + \begin{pmatrix} ta \\ nya \\ kuna \end{pmatrix} / \end{array}$$

Restriction: "Y" is "(+isi) (IS+Aux) (+ci+Neg)."

"Aux" does not include "ha1", "isq", "siph", and "manha".

næ ka ki yəca wa kyəlhon ha tz. ... næ ka ki yəca wa kyəlhon ha lsu 
$$isq_3$$
 ta.  $X + VP + lsu + isq_3 + ta/$ 

I get married with that girl. ... I can get married with that girl.

The above rule yields a potential construction by means of embedding the potential suffix (which is my arbitrary term) "lsu" and the morpheme "isq<sub>3</sub>" as presented. "lsu+isq<sub>3</sub>"

<sup>(27)</sup> lsu → ilsu in envir. XV

<sup>(28)</sup> Three homophonous "isq" s have been introduced so far; the verb of existence "isq", the progressive auxiliary "isq<sub>2</sub>" and the niorpheme for potential construction "isq<sub>3</sub>". See the rules, 1.14, 1.14, 3.23, 3.24, and 3.26.

means roughly 'can' in English. As shown in the rule, only an "Nadi+ha" type adjectival predicate can undergo this potential transformation.

# 3. 24 Negation (29) of Potential

$$X + \begin{pmatrix} VP \\ V_c \\ N_{adj} + ha \end{pmatrix} + Y + lsu + isq_3 + (T) + \begin{pmatrix} ta \\ nya \\ kuna \end{pmatrix} / \cdots \rightarrow$$

$$X + \begin{pmatrix} VP \\ V_c \\ N_{adj} + ha \end{pmatrix} + Y + lsu + aps_3 + (T) + \begin{pmatrix} ta \\ nya \\ kuna \end{pmatrix} /$$

Restriction: Same as those of 3, 23.

Neg does not contain "motha".

na nin coyon ha lsu isq ta. 
$$X + N_{adj} + ha + lsu + isq_3 + ta/$$
 na nin coyon ha lsu əps<sub>3</sub> ta.  $X + N_{adj} + ha + lsq + əps_3 + ta/$ 

I can be quiet. ... I cannot be quiet.

ai ka nol ci aniha lsu isq
$$_3$$
 nya? ... ai ka nol ci aniha lsu əps $_3$  nya?  $X + VP + Y + lsu + isq_3 + nya/$  ...  $X + VP + Y + lsu + əps_3 + nya/$ 

Cannot the child play? ... Cannot the child help but play?

By replacing the potential "isq<sub>3</sub>" with the special negative morpheme "əps<sub>3</sub>" (see also the next rule), this rule produces a negative (30) construction from the 3.23 resultant string.

The rules presented so far may produce four kinds of negative potential sentences and a double negative sentence. For instance,

<sup>(29)</sup> By 'negation' here I do not mean 'negative' versus 'affirmative', because, by choosing the element "Neg" in 1.1, the rule 3.24 may yield a negative potential sentence.

næ ka ka ci motha lsu isq $_3$  ta. NP+SM/ $V_{in}$ +ci+Neg+lsu+isq $_3$ +ta/ '(Probably, there will be the case that) I cannot go.'

næ ka ka ci aniha lsu isq $_3$  ta. 'I can not go, (if I do not want to).' (Both are from 3.23) NP+SM/V $_{in}$ + Y +lsu+isq $_3$ +ta/

næ ka ka lsu əps $_3$  ta. 'I cannot go.'

næ ka ka ci aniha lsu  $\mbox{-} ps_3$  ta. 'I cannot help but go.' or 'I have to go.' NP+SM/V  $_{in}+$  Y +lsu+  $\mbox{-} ps_3+ta/$  'I cannot help but go.' or 'I have to go.'

<sup>(30)</sup> The term 'negative' is used as the same sense as in the above footnote.

# 3.25 Negative

$$X + \text{Pred} + Z \xrightarrow{\cdots \to X} X + \begin{pmatrix} an + Adj_1 \\ i \end{pmatrix} + Z \\ \begin{cases} an \\ mot \end{cases} + \begin{pmatrix} V_{intr} \\ t\ddot{o} \\ V_{trx} \\ V_{tr-3} \end{pmatrix}$$

Restriction: Z does not contain {la/, ca/}.

It is cold today. ... It is not cold today.

$$\frac{\text{apəci kqesə ca} \quad \text{si} \quad n_1 \quad \text{ta.}}{NP + SM} / V_{\text{intr}} + \frac{\text{si} + \text{nin}_1 + \text{ta}}{7}} \cdots \rightarrow \frac{\text{apəci kqesə an} \quad \text{ca} \quad \text{si} \quad n_1 \quad \text{ta.}}{NP + SM} / \text{an} + V_{\text{intr}} + \frac{\text{si} + \text{nin}_1 + \text{ta}}{\text{si} + \text{nin}_1 + \text{ta}}$$

Father is sleeping. ... Father is not sleeping.

The meanings of the negative morphemes "an" and "mot" are hardly distinguishable from the other negative morphemes "aniha" and "motha", respectively. (See 1.32). Since they are also in complementary distribution in large context: that is to say, the former set occurs only before predicate, while the latter occur after predicate, the former are definitely the allomorphs of the latter. However, two reasons; namely, the restricted distribution of the former as described in the rule and the occurrence of both the former and the latter in a sentence, force me to handle them in this way. The sentence "næ ka an ka ci aniha  $n_1$  ta." means 'I am going.' in which the verb 'go' is strongly emphasized (or has very heavy stress).

# 3.26 Negation of "isq"

$$X + isq + Z \longrightarrow X + aps + Z$$

Restriction: Z does not contain {la/, ca/} and "motha".

Is there a book on the desk? ...→ Isn't there a book on the desk?

The above restriction means that the imperative or hortative sentence which has the verb "isq" as its predicate cannot be transformed into a negative sentence by this rule, and that the negative morpheme "motha" (see 1.32) may not occur with "əps".

3.27 Honorific(31) for "ta/" (Declarative)

$$X + ta/\longrightarrow X + \left\{ \begin{array}{l} ne \\ o \\ mnita \end{array} \right\}^{(32)}$$

ki i ka koki lil cap asq ta. ... ki i ka koki lil cap asq ne. 
$$D+i+ka/NP+lil/V_{tr}+T+ta/$$
 ...  $D+i+ka/NP+lil/V_{tr}+T+ne/$ 

He caught fish.

apəci kqesə ka si əya ha ta. ... apəci kqesə ka si əya ha mnita. 
$$NP + kqesə/V_{in} + isi + IS + Aux + ta$$
 ...  $NP + kqesə/V_{in} + isi + IS + Aux + mnita/v_{in}$ 

Father must go.

This is the honorific for the declarative sentence. "ne" is higher in level than "ta", but lower than "o". "mnita" is the highest honorific expression for declarative sentences.

3.28 Honorific for "nya" (Interrogative)

$$X + nya / \cdots \rightarrow X + \begin{cases} ninka \\ o \\ mnika \end{cases} / {}^{(33)}$$

Are the children playing?

ki yəca ka ipqi nya? ... ki yəca ka ipqi o? 
$$D+N+ka/Adj+nya/$$
 ...  $ki$  yəca ka ipqi o?  $D+N+ka/Adj+o/$ 

Is she pretty?

Is he a student?

The degree of the honorificability of interrogative sentences increases from top to bottom. In other words, "nya" is the lowest interrogative sentence ending, while "mnika" is the highest.

(32) 
$$\begin{bmatrix} 0 \\ mnita \end{bmatrix} \longrightarrow \begin{bmatrix} so \\ simnita \end{bmatrix}$$
 in envir. XC\_\_\_\_\_\_.

(33)  $\begin{bmatrix} 0 \\ mnika \end{bmatrix} \longrightarrow \begin{bmatrix} so \\ simnika \end{bmatrix}$  in envir. XC\_\_\_\_\_\_.

(33) 
$$\begin{bmatrix} o \\ mnika \end{bmatrix} \longrightarrow \begin{bmatrix} so \\ simnika \end{bmatrix}$$
 in envir. XC\_\_\_\_\_\_

<sup>(31)</sup> The honorific transformations described in 3.27-3.31 are those for the person spoken to, but not for the subject of a sentence. In Korean, there are still at least five language distinctions to honor people, especially, elders and strangers. In order to describe this honorific system of the language, I will present the rules seperately according to the sentence types.

# 3.29 Honorific for "la" (Imperative)

$$(NP+SM)+Y+la/ \cdots \rightarrow \left( \begin{cases} cane \\ tansin \end{cases} + ka \\ N_{buman-2} + \begin{cases} ka \\ kqesa \end{cases} \right) + Y + \left( \begin{cases} ke \\ o^{(34)} \end{cases} \right) /$$

Restriction: If "ke" is chosen, Y does not contain "isi".

If "psiyo" is chosen, Y must contain "isi".

cane ka sinæ 
$$e_1$$
 ka la. ... cane ka sinæ  $e_1$  ka ke.  $NP+ka/N_{loc}+e_1+V_{mot}+la/$  ... cane ka sinæ  $e_1$  ka ke.

sənsæp nim kqesə sinæ 
$$e_1$$
 ka la sənsæp nim kqesə sinæ  $e_1$  ka si psiyo.  $N_{\text{human-2}}$  +kqesə/ $N_{\text{loc}}$   $e_1$ + $V_{\text{mot}}$ +la  $\longrightarrow$   $N_{\text{human-2}}$  +kqesə/ $Y$  +psiyo/

'You go to town!'

'(Teacher) go to town!'

The morpheme "ne", meaning 'you' (see 1.5), cannot occur in the imperative sentence which ends in "ke", "o", or "psiyo". "ne" must be replaced by "cane" or "tansin" in the sentence which ends in "ke" or "o", both meaning 'you'. In the highest level where the sentence ending is "psiyo", no pronoun can be used, but the personal name or the title of the social position of the person spoken to must be used.

# 3.30 Honorific for "ca" (Hortative)

$$X + (uli + ka) + Y + ca/ \longrightarrow X + (uli + ka) + Y + \begin{cases} se \\ psita \end{cases}$$
 /

Restriction: If "se" is chosen, Y does not contain "isi".

ka ca. 
$$Y + ca/\longrightarrow Y + se/$$

ka psita.  $Y + psita$ 

$$\begin{array}{cccc}
ka & si & psita. \\
VP + isi + psita/
\end{array}$$
'Let(us) go.'

As shown in the example, when "Y" contains the honorific morpheme "isi" in the environment "\_\_\_\_\_+psita/", the resultant string becomes the highest hortative expression.

<sup>(34)</sup> o → so in envir. XC ...

# 3. 31 Half Language (35)

$$X + \begin{pmatrix} ta \\ nya \\ la \\ ca \end{pmatrix} / \xrightarrow{} X + \begin{pmatrix} a \\ \{a, ci, ni\} \\ a \\ a \end{pmatrix} /$$

In the Korean half language, there are three kinds of sentence endings for the interrogative sentence, namely "a/", "ci/" and ni which are chosen stylistically. Since all sentence types except the exclamatory sentence may end in "ə/", the sentence "hakkyo ka a." may mean '(I) am going to school.', 'Are (you) going to school?', 'Go to school!', or "(Let us) go to school.' The distribution of different clause terminals has been discussed in the footnote 6 on p. 10.

### 3.32 Noun Honorific "nim"

$$X+N_{human-2}+Y \longrightarrow X+N_{human-2}+nim+Y$$

The student likes the teacher.

By adding the noun honorific morpheme "nim" after N<sub>human-2</sub> the Koreans usually express their respect for the person about whom they are talking.

### 3.33 Passive

$$\begin{array}{c} X+NP+ka+Y+NP'+lil+\begin{pmatrix} V_{tr} \\ V_{tr-2} \\ N_{tr}+ha \end{pmatrix} +Z \cdots \rightarrow \\ X+NP'+ka+Y+(NP+e+iyhayə)+\begin{pmatrix} V_{tr}+a+ci \\ V_{tr-2}+hi \\ N_{tr}+t\ddot{o} \end{pmatrix} +Z \end{array}$$

<sup>(35)</sup> The term 'half language' was translated literally from Korean "panmal" in which "pan" means 'half', "mal" means 'language'. The honorific degree of half language, which is the most familiar form and used more than any other levels of the language, is not clear. Sometimes it appears as the intermediate between "ta" and "ne" or between "ne" and "mnita". In any case it is not higher than "mnita", and not lower than "ta". (Cf. 3, 27).

In this level, the Koreans usually delete most function words, for example, SM, the object marker "lil", the indirect object marker "e", and some postpositions in "Adjunct". Instead of saying, for instance,

<sup>&</sup>quot;næ ka hakkyo e1 ka n1 ta." they may say,

<sup>&</sup>quot;na hakkyo ka a." '(I am going to school.)'

However, this problem (namely, deletion of function words) will not be formulated in this grammar.

a → a when its penultimate vowel is /a, o/.

Restrictions: "Z" does not contain {la, ca}.

nu ka phyənci 
$$e_1$$
 ki sasil il cək əsq ta. ...  $\mapsto$  ki sasil i phyənci  $e_1$  cək hi əsq ta.  $NP + ka/Y + NP' + lil/V_{tr-2} + Z$  ...  $\mapsto$   $NP' + ka/Y + V_{tr-2} + hi + Z$ 

Someone wrote that fact in the letter. ... That fact was written in the letter.

Did Einstein develop the principle of relativity? ...-

Was the principle of relativity developed by Einstein?

As shown in this rule, the object noun phrase "NP" in the active sentence becomes the subject in the passive construction, and the subject "NP" in the active sentence with the passive agent "e+iyhayə", meaning 'by' in English, occurs optionally in the passive sentence. "V<sub>tr</sub>" including all transitive verbs except "N<sub>tr</sub>+ha" type verbs may undergo the passive transformation by means of the addition of "ə+ci". In the Korean language, the active sentences which have dative transitive verbs "V<sub>tr-3</sub>" (which are a subclass of "V<sub>tr</sub>") as their predicates may not be transformed into passive sentences in two ways (unlike English). In other words, no indirect object may become the subject of passive sentences. For example,

ki i ka na eke immu lil cu əsq ta. 
$$NP + SM/NP + e + NP' + \text{lil}/V_{\text{tr}-3} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə) cu ə ci əsq ta.} \\ NP' + SM/NP + e / NP + e + \text{iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{ə} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{a} + \text{ci} + T + \text{ta}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{a} + \text{ci} + T + \text{a}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{a} + \text{ci} + T + \text{a}/\\ \text{immu ka na eke (ki i e iyhayə} + V_{\text{tr}-3} + \text{a} + \text{ci} + T + \text{$$

He gave me duty. ... Duty was given to me (by him).

However, there is no literal translation of 'I was given duty by him.' in the Korean language.

By adding the particle "hi"(36), " $V_{tr-2}$ " may be transformed into a passive verb. "tö" replaces "ha" in the passive transformation of the denominal verb " $N_{tr}$  + ha".

### 3. 34 Emphatic Phrase Order

$$/X_1/X_2/X_3/X_4/\begin{pmatrix}V\\Adj\\V_c\end{pmatrix}+Z \xrightarrow{} /X_1/X_3/X_2/X_4/\begin{pmatrix}V\\Adj\\V_c\end{pmatrix}+Z$$

Restriction: Neither X<sub>2</sub> nor X<sub>3</sub> contains #, the elements used in embedding transformation.

<sup>(36)</sup> hi-ki when its immediately preceding sound is /n, m, s, ch, kq, t/.

sənsæn nim kqesə əce hakkyo 
$$e_1$$
 ka si əsq ta. ...  $X_2$  /  $X_3$  /Pred+Z hakkyo  $e_1$  sənsæn nim kqesə əce ka si əsq ta.  $X_3$  /  $X_2$  /Pred+  $Z$ 

hakkyo 
$$e_1$$
 sənsæn nim kqesə əce ka si əsq ta $X_3$  /  $X_2$  /Pred+  $Z$ 

· The teacher went to school yesterday.

li kun in kim yan il salan ha 
$$n_1$$
 ta. ... kim yan il li kun in salan ha  $n_1$  ta.  $X_2$  /  $X_3$  /  $X_2$  /  $X_3$  /  $X_4$  /  $X_5$  /

Mr. Lee loves Miss Kim.

This rule is specified to show any items bounded by the phrase boundary "/", except verbs, adjectives and copula, may be inserted at the position of another phrase boundary. The above restrictions show that a phrase from other clauses cannot be embedded in a given clause.

# 3. 35 Discontinuous Conjunction of Predicate.

$$NP+SM+Z \longrightarrow NP'+SM'+Y+ \begin{pmatrix} V \\ Adj_1 \\ i \\ N+ha \end{pmatrix} + \begin{pmatrix} V \\ Adj_1 \\ i \\ N+ha \end{pmatrix} + Z' \begin{pmatrix} V \\ N+ha \end{pmatrix} + \begin{pmatrix} V \\ Adj_1 \\ i \\ N+ha \end{pmatrix} + \begin{pmatrix} V \\ A$$

Restriction: V contains "Vintr", "twe", "Vtrx" and "Vtr-3".

"N+ha" contains " $N_{intr}$ +ha", " $N_{tr}$ +ha" and " $N_{adj}$ +ha".

When NP+SM=NP'+SM', NP+SM or NP'+SM' must be deleted.

næ ka saŋkwan ha ci aniha  $n_1$  ta. NP+SM/ Z

ne ka ka ta. NP' + SM' + V + Z'

ne ka ka kana an ka kana næ ka sankwan ha ci aniha  $n_1$ ta.  $NP'+SM'+V+k \\ ana+n+V+k \\ ana+NP+SM/$  Z

Matrix: I do not care.

... I do not care whether you go or not.

Constituent: You go.

This transformation conjoins two strings by means of discontinuous conjunctives "na.....

an.....na," kəna.....an.....kəna", or "tənci..... an.....tənci", all meaning 'whether.....or not.' The choice of one among three is stylistically determined. Sometimes, instead of "an +Pred" (here Pred is V, Adjı, i, or "N+ha"), antonyms of Pred are used. For instance,

cuk na sa na ne ka ki kəs il ha yəya ha yəsq ta. 
$$V+na+V'+na+NP+SM+NP+lil/V_{tr}+IS+Aux+T+ta$$

You had to do that whether you lived or died.

3.36 Noun Conjunction "wa"(37)

$$X+NP+Y$$
 $X'+NP'+Y'$ 
 $\cdots \rightarrow X+NP'+wa+NP+Y$ 

Restriction: X = X'

NP≠NP′

so ka til esə, nol ko isq ta.

NP+ Y

 $\cdots$  moktop kwa so ka til esa, nol ko isq ta. NP' + wa + NP + Y

mokton i til esa, nol ko isq ta.

NP' + Y'

Matrix: Cattle are playing on the ranch.

··· A cowboy and cattle are playing on the ranch. Constituent: A cowboy is playing on the ranch.

Since in the optional 'Emphatic phrase order' transformation 3.34 the Adjunct, NP+kwa, may come before the subject, the resultant string of this transformation is ambiguous in English translation. For instance, the above example may mean 'A cowboy is playing with cattle on the ranch.'. However, in most cases, they are distinctive by juncture: e.g. the pause between Adjunct and the subject is much longer than that between NP'+wa and NP.

This rule adds the morpheme "wa", which serves as a co-ordinating additive conjunctive, between nouns, and is translated by 'and'. Any number of nouns may be concatenated by means of "wa" between them.

3.37 Co-ordinate Clause

$$NP+SM+Y+Pred+W+(T)+Z$$

$$NP'+SM'+Y'+Pred'+W'+(T')+Z'$$

<sup>(37)</sup> wa → kwa /XC\_\_\_\_.

$$NP' + SM' + Y' + Pred' + W' + (T') + \begin{cases} ko \\ mya \\ na \end{cases} / NP + SM + Y + Pred + W + (T) + Z$$

Restriction: When NP+SM=NP'+SM', T=T', Z=Z', and NP+SM must be deleted. Pred \( Pred' (See the next rule).

salam in il ha n<sub>1</sub> ta. NP + SM + Pred + T + Z

 $\cdots \rightarrow \begin{array}{c} so & nin \quad sa \quad isq \quad ko \ mal \quad in \\ NP' + SM' + Pred' + W' + ko/NP' + SM' \end{array}$ 

isq ta. so nin NP' + SM' + Pred' + W' + Z'

mal in tali n<sub>1</sub> ta. NP' + SM' + Pred' + T' + Z'

tali na salam in il ha n<sub>1</sub> ta. Pred' + na/NP + SM + Pred + T + Z

Matrix: A man is working.

A cow is standing, and a horse is running, but a man is working.

Constituent: A cow is standing.

A horse is running.

This transformation can concatenate any number of strings by adding the morpheme "ko" or "myə", which is stylistically determined and is translated as 'and' in English, or by adding "na", meaning 'but'. In most cases, but not always the absolute/oppositive subject marker "nin" is used.

3.38 'both.....and'

$$\begin{array}{c} X+NP+Y+(T)+Z \\ \cdots \longrightarrow X'+NP'+to+(Y'+(T')+ \begin{cases} ko \\ myo \\ \end{array} ) +X+NP+to+Y+(T)+Z \\ \end{array}$$

Restriction: NP+NP'

$$\begin{pmatrix} X \\ T \\ Z \end{pmatrix} = \begin{pmatrix} X' \\ T' \\ Z' \end{pmatrix} \qquad T' \neq "nin_1" \text{ in the resultant string.}$$

apəci ka sinæ e1 ka si n1 ta.

əmənəi ka sinæ e, ka si n, ta. Υ'

əməni to (sinæ  $e_1$  ka si ko) apəci to sinæ  $e_1$  ka si  $n_1$  ta. NP'+to ( Y +ko) NP+to+ Y +T+Z

Matrix: Father is going to town.

... Both father and mother are going to town. Constitutuent: Mother is going to town.

The title given to this rule does not seem to be adequate, since any number of strings may be concatenated by means of "to.....({ko, myə})......to", meaning roughly 'both...... and' in English. As indicated in the rule, the subject markers of both strings (or any number of strings which we want to conjoin by this rule) must be replaced by "to" (see 3.21).

# 3.39 Conjunction "sa"

$$X+VP+Y$$
  
 $X'+VP'+Y'$ 
 $X+VP'+s\flat+VP+Y$ 

Restriction: VP≠VP'

$$\begin{bmatrix} \mathbf{X} \\ \mathbf{Y} \end{bmatrix} = \begin{bmatrix} \mathbf{X'} \\ \mathbf{Y'} \end{bmatrix}$$

haksæn i konpuha yəsq ta.

$$X + VP Y$$

haksæn i tosəkwan e, ka asq ta.

$$X' + VP' + Y'$$

Matrix: The student studied.

Constituent: The student went to the library.

The student went to the library and then studied.

Also, any number of strings may be concatenated by means of the morpheme "sə", which means roughly 'and then'.

# 3.40 Multiple Adjuncts

$$X + Adjunct + Y$$

$$X' + Adjunct' + Y'$$
  $\longrightarrow X + Adjunct + Adjunct' + Y$ 

Restriction: Adjunct ≠ Adjunct'

$${X \choose Y} = {X' \choose Y'}$$

na nin kicha lo o asq ta.

$$X + Adjunct + Y$$

na nin chinku wa o asq ta.

na nin posithon esa, o asq ta.

na nin philopitans e1 o asq ta.

na nin kicha lo chinku wa posithon esa, phlopitans e, o asq ta.

X + Adjunct + Adjunct" + Adjunct" + Y

Matrix: I came by train.

Constituent: I came with (my) friend.

I came from Boston.

I came to Providence.

I came by train from Boston to Providence with (my) friend.

The above rule can also concatenate any number of Adjuncts. In Korean, there is no strict order for the arrangement of multiple adjuncts. So the decision is arbitrary as to which one is the matrix string and which one is the constituent string.

# 3. 41 'as.....as' and Comparative

$$\begin{array}{c} X + NP + SM + Y + Adj + Z \\ X' + NP' + SM' + Y' + Adj' + Z' \\ \end{array} \\ \stackrel{}{\longrightarrow} X + NP + SM + NP' + \left\{ \begin{array}{c} pota + (ta) \\ mank^him \\ c^halam \end{array} \right\} \\ + Y + Adj + Z \end{array}$$

Restriction: NP≠NP'

$$\begin{pmatrix} Adj \\ X \\ Y \end{pmatrix} = \begin{pmatrix} Adj' \\ X' \\ Y' \end{pmatrix}$$

li yan i alimtap ta.

NP + SM/Adj + Z

$$\cdots$$
 li yan i kim yan pota (tə) alimtap ta,  
 $NP + SM + NP' + pota$  (tə)  $+ Adj + Z$ 

kim yan i alimtap ta.

NP' + SM'/Adj' + Z'

Matrix: Miss Lee is pretty.

... Miss Lee is prettier than Miss Kim.

Constituent: Miss Kim is pretty.

pak kun i ki ta. NP +SM/Adj+Z

$$\longrightarrow$$
 pak kun i an kun mankhim ki ta.  
 $NP/SM/NP' + mankhim + Adj + Z$ 

an kun i ki ta. NP' + SM'/Adi' + Z'

Matrix: Mr. Park is tall.

... Mr. Park is as tall as Mr. Ahn.

Constituent: Mr. Ahn is tall.

In this transformation we have to be careful that the subject marker of the constituent string is deleted. The morphemes "pota+(tə)" mean 'more.....than' '-or er than', and both "mankhim" and "chələm" mean 'as.....as' in English. The choice between "mankhim" and "chələm" is stylistically determined.

# Chapter IV

# Obligatory Transformation

4.1 "eke" and "ekei"

$$X + \begin{pmatrix} NP + e \\ N_2 + e_1 \end{pmatrix} + Y \longrightarrow X + \begin{pmatrix} \begin{cases} N_{an} \\ ProNom \end{cases} + eke \\ N_{an} + eke_1 \end{pmatrix} + Y$$

ki i ka ton il na e cu əsq ta. 
$$X + NP + e + Y$$
  $X + ProNom + eke + Y$   $X + ProNom + eke + Y$ 

He gave me money.

Whenever animate nouns and pronominal occur with the indirect object marker "e<sub>1</sub>", and animate nouns occur with the postposition "e<sub>1</sub>", "e" and "e<sub>1</sub>" must be replaced by "eke" and "eke<sub>1</sub>", respectively.

4.2 Negation of the copula "i"

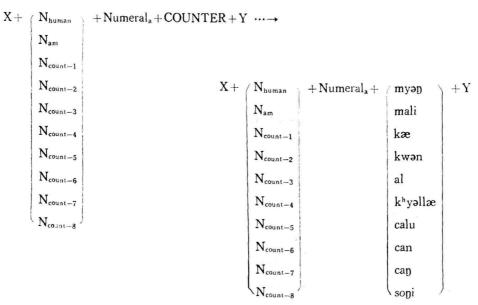
$$X + {NP \choose N_3 + c \flat k} + an + i + Y \longrightarrow X + {NP \choose N_3 + c \flat k} + ka_2^{(38)} + an + i + Y$$

He is not a president.

The input string can be derived from the optional transformational rule, 3.25 'Negative'. Whenever the copula "i" has the negative morpheme "an" before it, its preceding complements must have the particle "ka<sub>2</sub>", in which the subcript has been employed in order to distinguish "ka<sub>2</sub>" from the subject marker "ka" and the particle "ka<sub>1</sub>" (see 1.13).

<sup>(38)</sup> ka<sub>2</sub>—i<sub>2</sub> in envir. XC\_\_\_\_.

# 4.3 Numeral Classifiers



 $c^h$ æk tu kwən i  $c^h$ æksap wi  $e_1$  isq ta.  $N_{count-4} + Numeral_a + kwən + Y$ 

(There) are two books on the desk.

As presented in the rule, each subclass of nouns must have different counting classifiers. (Cf. 1.38, 1.40, 1.41.)

# 4.4 Position of "manyak"

$$X + (manyak) + {myən \choose kətin} + Y \longrightarrow (manyak) + X + {myən \choose kətin} + Y$$

The input of this rule is the output of the 'conditional' transformation, 3.8. This rule means that the morpheme "manyak" must come at the beginning of the conditional clause.

# **APPENDIX**

# Sample Derivations

```
A.
          #S#
(1. 1) \#/NP+SM/Pred+isi+T+ta/\#
(1. 2) \#/NP + kqesa/Pred + isi + T + ta/\#
(1. 3) \#/ProNom + kgesa/Pred + isi + T + ta/#
(1. 6) \#/ProNom + kqesə/VP + isi + T + ta/#
(1. 9) \#/\text{ProNom} + \text{kqes} = \sqrt{V_{in} + \text{isi} + T + \text{ta}/\#}
(1. 13) \#/ProNom + kqesə/V_{intr} + isi + T + ta/#
(1. 14) \#/\text{ProNom} + \text{kqes} = \sqrt{V_{\text{mot}} + \text{isi} + T + \text{ta}} = \sqrt{T + \text{ta}}
(1. 33) \#/\text{ProNom} + \text{kqes} = \sqrt{V_{\text{mot}} + \text{isi} + \text{ssq} + \text{ta}} = \sqrt{\frac{\pi}{2}}
(1. 35) \#/D+i+kqesə/V_{mot}+isi+əsq+ta/\#
(Lexicon) #/ki+i+kqesə/o+isi+əsq+ta/#
(Morphophonemic rules) #ki i kgesə osiətqa.#
                               'He came.'
B.
          #S#
(1. 1) \#/NP+SM/Pred+T+ta/\#
(1. 2) \#/NP+ka/Pred+T+ta/\#
(1. 6) \#/NP+ka/VP+T+ta/\#
(1. 9) \#/NP + ka/NP + lil/V_{tr} + T + ta/\#
(1. 10) \#/NP + ka/NP + lil + V_{trx} + T + ta/\#
(1. 11) \#/NP + ka/NP + lil/V_{tr-2} + T + ta/\#
(1. 12) \#/NP + ka/NP + lil/V_{tr-21} + T + ta/\#
(1. 33) \#/NP + ka/NP + lil/V_{tr-21} + ssq + ta/\#
(1. 34) #/ProNom+ka/NP+lil/V_{tr-21}+əsq+ta/#
(1. 34) \#/\text{ProNom} + \text{ka/ProNom} + \text{lil/V}_{tr-21} + \text{ssq} + \text{ta/} \#
(1. 35) \#/ProNom + ka/D + i + lil/V_{tr-21} + ssq + ta/\#
(1. 36) #/Pronoun+ka/D+i+lil/V_{tr-21}+ \Rightarrowsq+ta/#
(Lexicon) \#/na+ka/ki+i+lil/po+əsq+ta/\#
(Morphophonemic rules) # næ ka ki i lil poatqa. #
                              'I saw him.'
C.
          #S#
(1. 1) \#/NP+SM/Adv/Pred+T+ta/#
(1. 2) \#/NP + ka/Adv/Pred + T + ta/\#
(1. 6) \#/NP + ka/Adv/VP + T + ta/\#
```

```
(1. 9) \#/NP+ka/Adv/V_{in}+T+ta/\#
 (1. 13) #/NP+ka/Adv/Vintr+T+ta/#
 (1, 14) #/NP+ka/Adv/Vmot+T+ta/#
 (1. 22) #/NP+ka/Advx/Vmot+T+ta/#
 (1. 23) #/NP+ka/Advx1/Vmot+T+ta/#
 (1. 33) \#/NP + ka/Adv_{x1}/V_{mot} + sq + ta/\#
 (1. 34) #/ProNom+ka/Advx1/Vmot+2sq+ta/#
(1. 35) #/Pronoun/ka/Advx1/Vmot+əsq+ta/#
 (1. 36) \#/Pronoun+ka/Adv_{x_1}/V_{mot}+sq+ta/\#
 (Lexicon) #/na+ka/yəki/o+əsq+ta/#
 (Morphophonemic rules) # næ ka yəki watqa. #
                          'I came here."
D.
(3. 11) # næ ka yəki watqa. #
                                     ...→ # næ ka ki i lil po lyeko yeki watga. #
         #næ ka ki i lil poatqa.#
                                          'I came here to see him.'
E.
(3. 10) #næ ka ki i lil po lyəko yəki watqa.#
         #ki i kgesə osiətga.#
                                     #ki i kqesə osiəsq imilo næ ka ki i lil po lyəko yəki watqa. #
                                     'I came here to see him, because he was here.'
F.
(3, 27) #ki i kqesə osiəsq imilo næ ka ki i lil po lyəko yəki watqa.
                        #ki i kqesə osiəsq imilo næ ka ki i lil po lyəko yəki wasqimnita.#
                                                   'I came here to see him, because he was here.'
G.
        #S#
(1, 1) #/NP+SM/Pred+ta)
(1, 2) #/NP+ka/Pred+ta/#
(1. 6) \#/NP+ka/Adjunct/VP+ta/\#
(1. 7) #/NP+ka/NP+PostP/VP+ta/#
(1. 9) \#/NP+ka/NP+PostP/V_{in}+ta/\#
(1. 13) #/NP+ka/NP+PostP/Vintr+ta/#
(1. 14) \#/NP+ka/NP+PostP/isq+ta/\#
(1. 20) \#/NP + ka/NP + e_1/isq + ta/\#
(1. 26) \#/NP + ka/N_2 + e_1/isq + ta/\#
(1. 27) \#/NP + ka/N_{10c} + N_d + e_1/isq + ta/\#
(1. 34) \#/N + ka/N_{10c} + N_d + e_1/isq + ta/\#
(1. 37) \#/N_{in}+ka/N_{loc}+N_d+e_1/isq+ta/\#
(1. 40) #/Ncount+ka/Nioc+Na+e1/isq+ta/#
```

 $(1.41) \# /N_{count-2} + ka/N_{loc} + N_d + e_1/isq + ta/#$ 

```
(Lexicon) \#/c^h \approx k + ka/c^h \approx ksap + wi + e_1/isq + ta/\#
(Morphophonemic rules) #chæk i chæksap wie1 itga. #
                           'There is a book on the desk.'
H.
          #S#
(1. 1) #/NP+SM/Pred+ta/#
(1. 2) #/NP+ka/Pred+ta/#
(1. 6) #NP+ka/Vc+ta/#
(1. 18) \#/NP+ka/NP+i+ta/\#
(1. 34) \#D+k \Rightarrow +ka/NP+i+ta/\#
(1. 34) \#/D+k \Rightarrow +ka/N+i+ta/\#
(1. 37) \#/D + k \Rightarrow + ka/N_{in} + i + ta/\#
(1. 40) \#/D + k \Rightarrow + ka/N_{count-2} + i + ta/\#
(1. 41) \#/D + k \Rightarrow + ka/N_{count-2} + i + ta/\#
(3. 25) \#/D + k \rightarrow s + ka/N_{count-2} + an + i + ta/\#
(4. 2) \#/D + k \rightarrow s + ka/N_{count-2} + ka_2 + an + i + ta/\#
(Lexicon) \#/ki+k \rightarrow s+ka/c^{b} \approx k+i_{2}+an+i+ta/\#
(Morphophonemic rules) #kikəs i chæki anita.#
                          'It is not a book.'
(3. 20) #kikəs i chæk i anita.#
                                          ...→ #kikəs i na iy cbæki anita.#
          #næ ka chæk il kaci ta.#
                                                'It is not my book.'
J.
(3. 16) # ki kəs i na iy chæki anita.#
                                               ···→ #chæksap wie1 in nin2 kəs i na iy chæki anita.#
         #chæk i chæksan wie1 itqa.#
                                                     'The book on the desk is not mine.'
```

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# LANGUAGE RESEARCH

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# A Transformational Outline of Korean Hong Bae Lee

LANGUAGE RESEARCH CENTER SEOUL NATIONAL UNIVERSITY SEOUL, KOREA

# A TRANSFORMATIONAL OUTLINE OF KOREAN

by

Hong Bae Lee

# Preface

In this paper an effort has been made to formulate certain syntactic rules of Korean and to show the applicability of transformational theory to the Korean language. This is a revision of the article, A Transformational Outline of Korean, which was written as a Master's thesis at Brown University in the United States of America in June, 1966. I do not think that this present article is a readable and scientific paper in every respect; much more revision should have been made before it is released to the public. However, it is my hope that I can receive much valuable criticism about this paper from my senior linguists.

I wish to thank Prof. C. H. Whang at Language Research Center of Seoul National University for his help with this publication. My special thanks are due to Mr. S. J. Chang of Language Research Center and Major C. H. Lee of the Korean Military Academy, who have given me invaluable help for the revision.

Hong Bae Lee

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